# Partial Simultaneous Saccharification and Fermentation at High Solids Loadings of Alkaline-pretreated *Miscanthus* for Bioethanol Production

Young-Lok Cha, Gi Hong An, Yuri Park, Jungwoo Yang,\* <sup>†</sup> Jong-Woong Ahn, Youn-Ho Moon, Young-Mi Yoon, Gyeong-Dan Yu, and In-Hu Choi

In this study, alkaline pretreatment at a bench scale (15-L capacity) was performed to obtain a higher solid residue for the SSF (simultaneous saccharification and fermentation) of *Miscanthus sacchariflorus* "Goedae-Uksae 1" (GU) under the following conditions: 1 M NaOH concentration, 150 °C, and 60 min residence time. Compositional analysis and scanning electron microscope analysis revealed the pretreatment to be highly effective for achieving delignification and morphological changes. Spiral impellers were used for the rapid liquefaction of pretreated GU into slurry, and no additional nutrients were added to the fermentation mixture to reduce overall process costs. The SSF was subsequently conducted in a laboratory-scale fermenter (5-L capacity) for 108 to 120 h with 12% and 16% glucan containing pretreated GU. Consequently, 62.8 g/L and 81.1 g/L of ethanol were obtained. Based on these data, the theoretical ethanol yields from 1 kg of GU (dry weight base) were estimated at 164.6 to 171.1 g/L.

Keywords: Alkali pretreatment; Bioethanol; High solids loading; Miscanthus; Simultaneous saccharification and fermentation

Contact information: Bioenergy Crop Research Center, National Institute of Crop Science, Rural Development Administration, Muan-ro 199, 534-833, Republic of Korea; <sup>†</sup>Present Address: School of Life Science & Biotechnology for BK21 Plus, Department of Biotechnology, Korea University, Seoul 136-713, Republic of Korea; \* Corresponding author: dbl3jwy@korea.ac.kr

# INTRODUCTION

There is a strong interest in bioethanol derived from lignocellulosic biomass because of recent oil crises and global climate change caused by the greenhouse effect (Hill *et al.* 2006). As an additive or substitute for gasoline, bioethanol has great potential because of its complete combustion and lower emissions, and because it can be used without modifying existing car engines (Sørensen *et al.* 2008). Five steps are generally required to produce bioethanol: the pretreatment of biomass, enzymatic hydrolysis, fermentation, distillation, and dehydration (Agbor *et al.* 2011). However, prior to the processes for lignocellulosic bioethanol production, biomass is required as a renewable energy source (Erb *et al.* 2012). Biomass, such as agricultural residues and bioenergy corps, generally requires cultivation, collection, transportation, and storage for bioethanol production (Meehan *et al.* 2013).

Typical *Miscanthus* species consist of 40 to 60% cellulose, 20 to 40% hemicellulose, and 10 to 30% lignin (Brosse *et al.* 2012). Cellulose (or hemicellulose) is a major source of fermentable sugar for bioethanol production. However, cellulose binds with hemicellulose and lignin in fibrous plants, which contributes to its recalcitrance

(Sánchez and Cardona 2008). In addition, cellulose has a highly crystalline structure that is insoluble in water and resistant to depolymerization (Zheng *et al.* 2009). The pretreatment of lignocellulosic biomass is therefore necessary to disrupt the tight structure of cellulose, hemicellulose, and lignin, facilitating the conversion of biomass into fermentable sugars (Hendriks and Zeeman 2009; Alvira *et al.* 2010). Pretreatment includes physical, chemical, and biological processing, depending on the type of lignocellulosic biomass and catalyst used (Mosier *et al.* 2005).

Each method has advantages and disadvantages (Brodeur *et al.* 2011). For instance, pretreatment with weak acid is known to be a comparatively simple and conventional method, but it produces fermentation inhibitors, such as furfural and 5-HMF (Taherzadeh and Karimi 2008). Alkaline pretreatment using NaOH significantly removes lignin, but produces large quantities of process wastes (liquid fraction) (Chen *et al.* 2013). Pretreatment is widely regarded as one of the most expensive processes and strongly influences downstream processes for bioethanol production, such as enzymatic hydrolysis and fermentation (Kumar *et al.* 2009). Thus, many pretreatment techniques have been considered for low-cost approaches and for generating high sugar yields (Kumar and Murthy 2011).

In addition to pretreatment, sugar and ethanol yields have significant effects on processing costs (Yang and Wyman 2008). To be economically viable, a minimum ethanol concentration of 39.1 g/L (approximately 5% ethanol) must be produced in industrial-scale distillation operations (Varga *et al.* 2004). Therefore, higher sugar concentrations should be achieved by increasing the solids loading in the reactor, which leads to increased ethanol production (Olofsson *et al.* 2008). Several studies have shown the potential for the industrial application of high solids loadings of pretreated biomass (Kristensen *et al.* 2009; Li *et al.* 2013). However, increasing concentrations of substrates can lead to decreased ethanol yield due to inefficient mixing and mass transfer in fermentation reactions (Modenbach and Nokes 2012; 2013).

In this study, alkaline pretreatment was performed with *Miscanthus sacchariflorus* "Goedae-Uksae 1" (GU). Giant *Miscanthus*, or "Goedae-Uksae" in Korean, can reach 4 m in height, 9.6 mm in stem thickness, and has dimensions two-fold higher than those of common *Miscanthus* species (Moon *et al.* 2010). GU is cultivated on "Youngan" and "Ungpo" in the Kum river area of 148 ha in Korea as an energy crop because of its high yield potential (up to approximately 30 t/ha).

Herbaceous crops and agricultural residues are reported to be suitable for alkaline pretreatment (Brosse *et al.* 2012). The major advantage of alkaline pretreatment is delignification, which enhances enzymatic accessibility to cellulose and, therefore, saccharification (Park and Kim 2012). It has been reported that the cellulose recovery of *Miscanthus sinensis* following pretreatment with soda was the highest among different reagents (Serrano *et al.* 2010).

Pretreatment was performed at the bench scale (15-L capacity) with fabricated equipment for bioethanol production from GU. After the pretreatment of GU, nutrient (or buffer) requirements and impeller type were determined for efficient simultaneous saccharification and fermentation (SSF). Finally, fermentation of pretreated GU was performed at 593 g/L and 790 g/L loadings, corresponding to 12% and 16% glucan concentration (dry weight base), respectively, in a 5-L fermenter, then overall processes were analyzed.

# EXPERIMENTAL

## Materials

GU was harvested from Muan, Korea in 2013. After cutting, milling, and sieving, GU samples of less than 3 mm were obtained (Korea Pulverization Machinery Co., Inchon, Korea). They were dried in an oven at 60 °C for 24 h, then stored in a desiccator.

# Methods

#### Alkaline pretreatment

A solid-to-liquid ratio of 1:9 GU and 1.0 M NaOH were mixed in a 15-L reactor equipped with pressure and temperature sensors. The reactor was set to 150 °C for 60 min, and the mixture was agitated at 60 rpm during the pretreatment reaction. The jacket surrounding the reactor was filled with heated oil, which was the primary heat source. After the reaction finished, pressurized  $N_2$  gas was loaded into the vessel to 10 bars to collect pretreated samples into a cyclone separator. The pretreated GU was washed with tap water for neutralization, filtered through a non-woven fabric bag, and then used for enzymatic saccharification or fermentation.

## Scanning electron microscopy (SEM) analysis

A scanning electron microscope (TM-100, Hitachi; Tokyo, Japan) was used to analyze changes in the physical structure of GU before and after alkaline pretreatment. The SEM was operated at an accelerating voltage of 15 kV under a vacuum.

## Partial SSF of pretreated GU at high solids concentrations

Partial SSF was conducted according to the procedure described in NREL/TP-510-42630 (Dowe and McMillan 2001) and NREL/TP-510-42629 (Selig et al. 2008), with minor modifications. The reaction was carried out in a 5-L fermenter with an agitation speed of 150 rpm for 108 to 120 h. The reaction slurry consisted of 593 g/L and 790 g/L loadings of pretreated GU (wet-weight), 30 filter paper units (FPU)/g cellulase (Novozymes, Cellic CTec2), and sterile water. Exponentially-grown Saccharomyces cerevisiae CHY1011 (Han et al. 2011) in 50 mLYPD medium (10 g of peptone, 5 g of yeast extract, and 20 g of glucose in 1 L) were harvested by centrifugation at 15,000 rpm for 10 min at 4 °C. Harvested cells were washed with 10 mL of sterilized water and added to the fermentation mixture, resulting in a 1 L fermentation working volume. The initial cell density measured by colony counting was estimated at approximately 8.4×10<sup>6</sup> CFU/mL. Before adding yeast cells to the reaction mixture, enzymatic hydrolysis was conducted for an initial 24 h at 50 °C. Then, SSF was performed at 33 °C for an additional 84 to 96 h with an agitation of 150 rpm after the addition of yeast cells. Samples were taken periodically to determine ethanol and sugar concentrations using gas chromatography (GC) and high-performance liquid chromatography (HPLC), respectively. Before loading onto the analytical columns, samples were centrifuged at 13,000 rpm for 10 min, filtered through a 0.22-µm membrane, and boiled for 10 min to deactivate the enzyme, if necessary. The HPLC (Waters, Milford, MS, USA) with an Aminex HPX-87H column (Bio-Rad, Hercules, CA, USA) was set to 65 °C. The mobile phase was 0.5 mM H<sub>2</sub>SO<sub>4</sub>, delivered at a constant flow rate of 0.6 mL/min. Peaks were detected using a refractive index detector (Waters, 2410; USA) and identified by retention time. Quantification was performed using a calibration curve generated for each sugar. An HP-Innowax 19091N-133 column (Agilent, USA) for GC was used to capture ethanol with helium gas (15 mL/min) as the carrier, and ethanol was detected by a flame ionization detector (FID, Agilent, USA) at 210 °C.

# Chemical analysis

Compositional analysis of GU was performed according to NREL/TP-510-42618 (Sluiter *et al.* 2008). After a two-step acidic hydrolysis, sugar concentration in the hydrolysis liquid was determined by HPLC. Ash content was determined according to NREL/TP-510-42622 (Sluiter *et al.* 2005). Moisture content was determined using an HR83 halogen moisture analyzer (Mettler-Toledo, Schwerzerbach, Switzerland). For chemical composition analysis, such as contents of nitrogen and phosphate, GU samples were analyzed by the Foundation of Agri. Tech. Commercialization & Transfer, Korea.

# **RESULTS AND DISCUSSION**

# Alkaline Pretreatment of GU and Compositional Changes Before and After Pretreatment

The GU was incubated with 1 M NaOH (w/v) at a solid-to-liquid ratio of 1:9 at 150 °C for 60 min. The particle size of GU was determined according to previous studies, showing a higher saccharification rate with less than 3 mm of biomass (Wang et al. 2010; Kang et al. 2012; Haque et al. 2013). The pretreatment conditions compared with the previous studies are summarized in Table 1. Generally, pretreatment is influenced by NaOH concentration, liquid-to-solid ratio, temperature, and residence time (Hendriks and Zeeman 2009). Alkaline pretreatment in most studies was carried out in an autoclave or oil bath at 100 to 145 °C with 1 to 7% NaOH. Overall, increased temperature conditions were accompanied by decreased NaOH concentrations and residence times. The main difference between this study and the previous NaOH pretreatment was an explosion caused by pressured nitrogen gas. However, this explosion was necessary to easily collect the samples from the reactor due to its structural limitation through the cyclone system. Choi et al. (2013) optimized NaOH-catalyzed explosion pretreatment using response surface methodology (RSM) with the following conditions: 3% NaOH, 160 °C, and 11.3 min. The pressurized nitrogen gas was 20 bars (Choi et al. 2013), while that of the nitrogen in this study was 10 bars. The shorter residence time and lower NaOH in comparison with this study was because of an additional soaking step at room-temperature for 12 h with 3% NaOH (Choi et al. 2013). However, direct comparisons might be difficult because: (1) different pretreatment conditions (as shown in Table 1) reflect that the effectiveness of NaOH is strongly dependent on the chemical and physical characteristics of biomass feedstock; (2) the biomass-to-NaOH ratio varies among studies; and (3) particle size varies. Thus, pretreatment efficiency is determined by downstream processes such as enzymatic hydrolysis and fermentation (Kumar et al. 2009).

The compositional analysis of raw biomass indicates that GU was composed of  $40.3 \pm 1.4$  wt% cellulose,  $23.6 \pm 0.4$  wt% hemicellulose,  $24.4 \pm 0.3$  wt% lignin, and  $3.0 \pm 0.1$  wt% ash. After pretreatment,  $40.2 \pm 0.2$ % solids (dry weight base) was recovered and the solids consisted of  $80.9 \pm 1.0$  wt% cellulose,  $12.3 \pm 1.8$  wt% hemicellulose,  $5.0 \pm 0.2$  wt% lignin, and  $1.0 \pm 0.1$  wt% ash. Cellulose, the major fermentable sugar source, was recovered up to 80.3%, while up to 92% of initial lignin content was removed.

# bioresources.com

# **Table 1.** Studies of Sodium Hydroxide Pretreatment with a Variety of Conditions and Biomass

	Conditions					Saccharification and (or) Fermentation			Ethanol	
Biomass	Particle Size (mm)	S:L†	NaOH (%, w/w)	Temp (°C)	Residence Time (min)	Dosage (Enzyme g/g Cellulose)	Time (h)	Efficiency <sup>a</sup> (%)	Yield <sup>b</sup> (%)	References
Barley	20.00 to 25.00	1:9	2.00	105.0	10.0	20 FPU (cellulase) 40 IU (ß-glucosidase)	72	86.5	•	Haque <i>et al</i> . 2012
Birch Spruce	0.80	NS†	7.00	100.0	120.0	20 FPU (Celluclast 1.5 L) 50 IU (Novozyme 188)	96	82.3 35.7	54.7° 26.0°	Mirahmadi <i>et al</i> . 2010
Coastal Bermuda grass	2.00	1:10	0.75	121.0	15.0	40 FPU (cellulase) 70 CBU (cellobiase)	72	90.4		Wang <i>et al</i> . 2010
Cogon grass	20.00	1:20	10.00	15.0 to 20.0	1440.0	0.255 mL/g WIS <sup>1</sup> (Accellerase 1000)	72		76.2 <sup>d</sup>	Lin and Lee 2011
Empty fruit bunch	5.00	1:5/ 20	3.00	160.0	11.3	40 FPU* (CTec 2)	72	88.8	88.0 <sup>d</sup>	Choi <i>et al.</i> 2013
Elephant grass	3.00	1:20	2.00	120.0	60.0	30 FPU (Accellerase 1500)	26	82.0	95.0 <sup>d</sup>	Cardona <i>et al</i> . 2014
Wheat straw	40.00 to 60.00	1:20	1.00	121.0	60.0	35 FPU (NS)	120		70.7 <sup>d</sup>	Zhang <i>et al</i> . 2013
Switch grass	2.00	1:10	1.00	50.0	720.0	15 FPU (cellulase) 20 CBU <sup>**</sup> (cellobiase)	72	74.4		Xu <i>et al</i> . 2010
Switch grass	2.00	1:10	1.00	121.0	30.0	40% (CTec 2) and 6% (HTec 3) g/g dry biomass	72	78.7		Wang <i>et al</i> . 2012b
Poplar	2.00	1:8	2.80	94.0	60.0	15 FPU (cellulase)	48	42.2		Rawat <i>et al</i> . 2013
Rapeseed straw	0.71 to 1.40	1:10	7.90	68.4	330.0	30 FPU (cellulase) 30 IU (β-glucosidase)		94.0		Kang <i>et al</i> . 2012
Miscanthus	1.00	1:9	2.50	105.0	10.0	15 FPU (cellulase) 30 IU*** (ß-glucosidase)	72	87.0		Haque <i>et al</i> . 2013

# bioresources.com

## Table 1. cont.

Miscanthus	1.00 to 3.00	1:6	5.90	145.3	29.0	50 FPU (cellulase) 30 CBU (ß-glucosidase)	72	90.0	84.6 <sup>c</sup>	Han <i>et al</i> . 2011
Miscanthus	3.00	1:9	4.00	150.0	60.0	30 FPU (Ctec 2)	96	•	91.4 <sup>e</sup>	This study

\*FPU = Filter paper Unit; \*\*CBU = Cellobiase Unit; \*\*IU = International Unit

<sup>1</sup>WIS = Water-Insoluble-Solids

<sup>†</sup>S:L = Solid-to-liquid ratio

<sup>++</sup>NS = not specified

<sup>a</sup>Efficiency (<sup>'</sup>/<sub>8</sub>)=reducing glucose (g/L)x0.9x100/initial glucose (g/L)

<sup>b</sup>Ethanol yield (%)=produced ethanol (g/L)/initial glucose (g/L)x0.512x100

°SHF = separate hydrolysis and fermentation

<sup>d</sup>SSF = simultaneous saccharification and fermentation

<sup>e</sup>pSSF = partial simultaneous saccharification and fermentation

The substantial reduction of lignin content is an important concern because the degree of delignification could determine the efficiency of alkaline pretreatment for further saccharification (Chang and Holtzapple 2000; Li *et al.* 2013). It could be argued that the loss of hemicellulose was approximately 79%. This could be the reason that pretreatment conditions were comparably more severe than the conditions of previous reports (Table 1).

However, only 6-carbon fermentable yeast was used in this study. Further study will be focused on the optimization of pretreatment methods to increase hemicellulose content together with microbial strains development for 5-carbon utilization (*e.g.*, xylose).

## Structural Changes of GU Before and After Pretreatment

Scanning electron microscopy images of GU before and after pretreatment are shown in Fig. 1. Untreated GU samples showed tight, intact surfaces consisting of hemicelluloses, lignin, and binding materials (Fig. 1a), while pretreated samples were cracked, scattered, and developed heterogeneous structures throughout the biomass (Fig. 1b). Cellulose fibers were distinctly opened from the complex of the homologous bundles after pretreatment (Fig. 1b). These reflect effective delignification by NaOH, as similar observations have been reported in cogon grass and *Miscanthus sinensis* (Lin and Lee 2011; Haque *et al.* 2013). It is expected that the increased pore size and surface area in pretreated GU could contribute to enhanced enzyme accessibility and hydrolysis.



**Fig. 1.** Scanning electron microscopy (SEM) analysis. Micrographs from untreated (a) and treated (b) *Miscanthus* (x100)

## Partial SSF with Pretreated GU at High Solids Loadings

Additives (*e.g.*, nutrients) required for fermentation could play an important role in the overall cost reduction of bioethanol production (Kadam and Newman 1997). For example, dry distiller's grain and soluble, major byproducts of corn-based ethanol production, were used as external nutrient supplements in SSF with high solids loadings of pretreated corn stover (Lau *et al.* 2008). Here, to estimate whether YP (yeast extract and peptone) or a citrate buffer influenced on yeast fermentation, four different medium conditions were prepared depending on with/without YP and the buffer. Figure 2 shows kinetics of ethanol production in a 250-mL flask in SSF at four different medium conditions. For the purpose of evaluation of ethanol production glucose consumption rates were eliminated. As expected, yeast cultivated with YP and the buffer exhibited the highest ethanol productivity (g/L/h) and yield (0.87 g/L/h and 92.1%, respectively), due to stable enzyme reaction by the buffer, resulting in highest glucose conversion rate; and 2)

sufficient nutrients provided by YP. The final ethanol concentration in the medium containing all supplements was approximately 62 g/L, while that in the medium with no supplement was approximately 56 g/L. Although the ethanol concentration in the reaction mixture without supplements was clearly lower, the theoretical ethanol yield of the mixture without additives was more than 82%. Considering the potential cost reduction (weighing lower ethanol concentrations against supplementation), it was decided that SSF be performed without the use of additives, because the yeast can grow and ferment converted glucose from GU into ethanol. It was reported that raw *Miscanthus* contains 1.7% protein (Vanderghem *et al.* 2012), 0.6% potassium, 0.1% chloride (Jørgensen 1997), and 0.2% phosphate.



**Fig. 2.** Determination of additional nutrients for the SSF of pretreated GU. The fermentation mixture with YP and buffer ( $\blacklozenge$ ); with YP and without buffer ( $\blacktriangle$ ); without YP and with buffer ( $\blacksquare$ ); and without YP and buffer ( $\bullet$ ). Bars represent standard deviation from two independent experiments

Enzymatic saccharification or fermentation with high solids generally induces a lack of available water, high viscosity, and thereby an insufficient transfer of biomass and heat in reaction. Therefore, the rapid liquefaction of pretreated biomass is a key factor in determining fermentation productivity and yield (Jørgensen *et al.* 2007). Thus, the impeller was characterized according to mixing behavior; the use of the plate-and-frame, double-curved-blade impeller, and peg mixer was at first avoided because of limited power input and structure in the 5-L fermenter (Modenbach and Nokes 2012). Instead, a Spiral impeller was equipped in the fermenter, as reported previously (Zhang *et al.* 2010). The maximum solid loading in pretreated GU was determined to be 16% glucan (790 g/L of solid, wet weight base), because solids containing more than 16% glucan prevented the impeller from proper mixing because of high viscosity.

The efficiency of the alkaline pretreatment of GU was evaluated by partial SSF. The collected pretreated GU containing 75 wt% of moisture contents was 1.6 kg from 1 kg raw materials, and its cellulose contents was 80.9 wt%. Thus, a glucan loading of 12 % to 16 % (w/v) in a 1-L working volume was achieved by loading 14.8 wt% and 19.7 wt% of pretreated GU solids (dry weight base). This solids concentration was reached stepwise over 4 h accompanied by the addition of enzymes and water to avoid the rapid generation

of high viscosity. Such fed-batch schemes have been investigated for saccharification or fermentation with high solids loadings (up to 25%, based on dry weight) (Hodge et al. 2009; Wang et al. 2012a; Zhang et al. 2013). In addition, the concept of increasing solids concentrations in a stepwise manner is a continuous process in which pretreated biomass accumulates gradually in a saccharification or fermentation tank (Schell et al. 2003; Han et al. 2013). Figure 3 shows the kinetics of partial SSF with 12% and 16% glucancontaining pretreated GU. Converted glucose concentrations increased rapidly up to 109 g/L (Fig. 3b) during the saccharification phase for the first 24 h, which indicates that the stirring system equipped with a spiral impeller was effective for biomass mixing and diffusion (supplementary Fig. 1). After 48 h, following the addition of yeast at 24 h, the fermentation rate seemed to keep up with the saccharification rate because the glucose concentration was consistently less than 5 g/L. Maximum ethanol concentrations for 12% and 16% glucan loadings were  $62.8 \pm 2.0$  g/L (Fig. 3a) and  $81.1 \pm 2.0$  g/L (Fig. 3b), corresponding to theoretical ethanol yields of 93.0 % and 90.9 %, respectively. This phenomenon appeared in many other studies, due to inefficient mixing and mass transfer with increased solids concentration (Jørgensen et al. 2007; Han et al. 2011).

Meanwhile, non-utilized xylose concentration was the same as 10.5 g/L and 13.0 g/L from 12% and 16% glucan, respectively, containing GU. Because Cellic Ctec II (Novozymes) mainly consists of cellulase, xylose concentration would be almost the same as average 11.7 g/L in both 12% and 16% glucan-containing pretreated GU.



**Fig. 3.** Partial SSF kinetics with pretreated GU containing 12% glucan (a) and 16% glucan (b); ethanol (▲), glucose (●), xylose (♦), and arabinose (■). Fermentation was conducted in a 5-L reactor. Arrows indicate cell addition at 24 h. Bars represent standard deviation from three independent experiments

## **Overall Process**

The overall process following pretreatment and fermentation is shown in Fig. 4. Under the described pretreatment conditions, approximately 80.6% cellulose was recovered, and 92% lignin was removed. In these respects, the alkaline pretreatment conditions of 1 M NaOH, 150 °C, and 60 min at the bench scale (15-L capacity) were highly effective. High rates of lignin removal were the reason only 42% solids residue was obtained from 1 kg of GU (dry base weight) after pretreatment. For partial SSF for 72 h,

approximately 90% of the theoretical ethanol yield was achieved from up to 20% (w/v) solids (dry weight basis, glucan 16%) without the addition of extra nutrients, such as peptone and yeast extract. Therefore, theoretical ethanol was estimated as 171.7 g/L from 1 kg GU biomass, based on the high solids fermentation.



**Fig. 4.** Overall processes based on dry matter content. C = cellulose; H = hemicellulose; and L = lignin. \*S:L = solid:liquid ratio

# CONCLUSIONS

- Bench-scale pretreatment (15-L capacity) under the conditions of 1 M NaOH, 150 °C, and 60 min was demonstrated to be effective, resulting in a significant increase of cellulose content from 40% to 80%, along with significant lignin removal (up to 91%). However, unintended hemicellulose degradation caused by pretreatment should be overcome with further study. The effects of varied pretreatment factors, such as alkali concentration, reaction temperature, and reaction time should be investigated.
- 2. Yeast can grow and ferment in pretreated *Miscanthus sacchariflorus* "Goedae-Uksae 1" (GU) slurry without any nutrient supplementation when GU solids are enzymatically hydrolyzed. Thus, pretreated GU has the potential for reducing fermentation costs. Liquid fraction (LF) obtained during the pretreatment has the phenolic derivatives from lignin and shows high pH (Minu *et al.* 2012). It is surely hazardous to aquatic organisms. Therefore, LF could be recycled as a pretreatment solution and lignin can be extracted in further study. In addition, the water consumption for neutralization of pretreated GU should be minimized to reduce overall costs. Alternatively, LF and wasted water might be combined and tested for further pretreatment following the adjustment of NaOH concentration. Enzyme dosage also would be varied in high solids fermentation, because the enzyme costs account for 25% of total process in ethanol production (Brodeur *et al.* 2011).
- 3. Partial SSF with a 19% (w/v) solids loading (16% glucan concentration) yielded 81.1  $\pm$  2.0 g/L of bioethanol, corresponding to a 90.9% theoretical yield. Therefore, the present study is a significant contribution to bioethanol production from *Miscanthus*.

## ACKNOWLEDGMENTS

This research was supported by the Cooperative Research Program for Agriculture Science & Technology Development (Project title: Development of Continuous Pretreatment of Cellulosic Biomass, Grant No. PJ 00929801), Rural Development Administration, Republic of Korea.

# **REFERENCES CITED**

- Agbor, B. V, Cicek, N., Sparling, R., Berlin, A., and Levin, D. B. (2011). "Biomass pretreatment: Fundamentals toward application," *Biotech. Adv.* 29(6), 675-685. DOI: 10.1016/j.biotechadv.2011.05.005
- Alvira, P., Tomás-Pejó, E., Ballesteros, M., and Negro, M. J. (2010). "Pretreatment technologies for an efficient bioethanol production process based on enzymatic hydrolysis: A review," *Bioresour. Technol.* 101, 4851-4861. DOI: 10.1016/j.biortech.2009.11.093
- Brodeur, G., Yau, E., Badal, K., Collier, J., Ramachandran, K. B., and Ramakrishnan, S. (2011). "Chemical and physiochemical pretreatment of lignocellulosic biomass: A review," *Enzyme Res.* 2011, 1-17. DOI: 10.4061/2011/787532
- Brosse, N., Dufour, A., Meng, X., Sun, Q., and Ragauskas, A. (2012). "Miscanthus: A fast-growing crop for biofuels and chemicals production," *Biofuels Bioprod. Biorefin.* 6(5), 580-598. DOI: 10.1002/bbb.1353
- Cardona, E., Rios, J., Peña, J., and Rios, L. (2014). "Effects of the pretreatment method on enzymatic hydrolysis and ethanol fermentability of the cellulosic fraction from elephant grass," *Fuel* 118, 41-47. DOI: 10.1016/j.fuel.2013.10.055
- Chang, V. S., and Holtzapple, M. T. (2000). "Fundamental factors affecting biomass enzymatic reactivity," *Appl. Biochem. Biotechnol.* 84(1), 5-37. DOI: 10.1385/ABAB:84-86:1-9:5
- Chen, Y., Stevens, M. A., Zhu, T., Holmes, J., and Xu, H. (2013). "Understanding of alkaline pretreatment parameters for corn stover enzymatic saccharification," *Biotechnol. Biofuels* 6(1), 8. DOI: 10.1186/1754-6834-6-8
- Choi, W., Park, J., Lee, J., Oh, Y., Park, Y. C., Kim, J. S., Park, J. M., Kim, C. H, and Lee, J. (2013). "Optimization of NaOH-catalyzed steam pretreatment of empty fruit bunch," *Biotechnol. Biofuels* 6(1), 170. DOI: 10.1186/1754-6834-6-170
- Dowe, N., and McMillan, J. (2001). "SSF experimental protocols lignocellulosic biomass hydrolysis and fermentation; Laboratory Analytical Procedure (LAP)," NREL/TP-510-42630.
- Erb, K., Haberl, H., and Plutzar, C. (2012). "Dependency of global primary bioenergy crop potentials in 2050 on food systems, yields, diversity conservation and political stability," *Energ. Policy* 47(4), 260-269. DOI: 10.1016/j.enpol.2012.04.066
- Han, M., Choi, G., Kim, Y., and Koo, B. (2011). "Bioethanol production by *Miscanthus* as a lignocellulose biomass: Focus on high efficiency conversion to glucose and ethanol," *BioResources* 6(2), 1939-1953.
- Han, M., Kang, K. E., Kim, Y., and Choi, G. (2013). "High efficiency bioethanol production from barley straw using a continuous pretreatment reactor," *Process Biochem.* 48(3), 488-495. DOI: 10.1016/j.procbio.2013.01.007

- Haque, M. A., Barman, D. N., Kang, T. H., Kim, K. M., Kim, J., Kim, H., and Yun, H. D. (2012). "Effect of diluted alkali on structural features and enzymatic hydrolysis of barley straw (*Hordeum vulgare*) at boiling temperature with low residence time," J. *Microbiol. Biotechnol.* 22(12), 1681-1691. DOI: 10.4014/jmb.1206.06058
- Haque, M. A., Barman, D. N., Kang, T. H., Kim, K. M., Kim, J., Kim, H., and Yun, H. D. (2013). "Effect of diluted alkali on structural features and enzymatic hydrolysis of *Miscanthus sinensis* at boiling temperature with low residence time," J. Biosystems Eng. 114(3), 294-305. DOI: 10.1016/j.biosystemseng.2013.01.006
- Hendriks, A. T. W. M., and Zeeman, G. (2009). "Pretreatments to enhance the digestibility of lignocellulosic biomass," *Bioresour. Technol.* 100, 10-18. DOI: 10.1016/j.biortech.2008.05.027
- Hill, J., Nelson, E., Tilman, D., Polasky, S., and Tiffany, D. (2006). "Environmental, economic, and energetic costs and benefits of biodiesel and ethanol fuels," *Proc. Natl. Acad. Sci. USA* 103(30), 11206-11210. DOI: 10.1073/pnas.0604600103
- Hodge, D. B., Karim, M. N., Schell, D. J., and McMillan, J. D. (2009). "Model-based fed-batch for high-solids enzymatic cellulose hydrolysis," *Appl. Biochem. Biotechnol.* 152(1), 88-107. DOI: 10.1007/s12010-008-8217-0
- Jørgensen, U. (1997). "Genotypic variation in dry matter accumulation and content of N, K and Cl in *Miscanthus* in Denmark," *Biomass Bioenerg.* 12(3), 155-169. DOI: 10.1016/S0961-9534(97)00002-0
- Jørgensen, H., Vibe-Pedersen, J., Larsen. J., and Felby, C. (2007). "Liquefaction of lignocellulosic at high-solids concentrations," *Biotechnol. Bioeng.* 96(5), 862-870. DOI: 10.1002/bit.21115
- Kadam, K. L., and Newman, M. M. (1997). "Development of a low-cost fermentation medium for ethanol production from biomass," *Appl. Microbiol. Biotechnol.* 47(6), 623-629. DOI: 10.1007/s002530050985
- Kang, E. K., Jeong, G. T., and Park, D. H. (2012). "Pretreatment of rape seed straw by sodium hydroxide," *Bioprocess Biosys. Eng.* 35(5), 705-713.
- Kristensen, J. B., Felby, C., and Jøgensen. H. (2009). "Yield-determining factors in highsolids enzymatic hydrolysis of lignocellulose," *Biotechnol. Biofuels* 2(1), 11. DOI: 10.1186/1754-6834-2-11
- Kumar, D., and Murthy, G. S. (2011). "Impact of pretreatment and downstream processing technologies on economics and energy in cellulosic ethanol production," *Biotechnol. Biofuels* 4, 27. DOI: 10.1186/1754-6834-4-27
- Kumar, P., Barrett, D. M., Delwiche, M. J., and Stroeve, P. (2009). "Methods for pretreatment of lignocellulosic biomass for efficient hydrolysis and biofuel production," *Ind. Eng. Chem. Res.* 48(8), 3713-3729. DOI: 10.1021/ie801542g
- Lau, M. W., Dale, B. E., and Balan, V. (2008). "Ethanolic fermentation of hydrolysates from ammonia fiber expansion (AFEX) treated corn stover and distillers grain without detoxification and external nutrient supplementation," *Biotechnol. Bioeng.* 99(3), 529-539. DOI: 10.1002/bit.21609
- Li, C., Tanjor, D., He, W., Wong, J., Gardner, J. L., Sale, K. L., Simmons, B. A., and Singh, S. (2013). "Scale-up and evaluation of high solid ionic liquid pretreatment and enzymatic hydrolysis of switchgrass," *Biotechnol. Biofuels* 6(1), 154. DOI: 10.1186/1754-6834-6-154
- Lin, Y., and Lee, W. (2011). "Simultaneous saccharification and fermentation of alkalipretreated cogon grass for bioethanol production," *BioResources* 6(3), 2744-2756.

- Meehan, P. G., Finnan, J. M., and McDonnel, K. P. (2013). "A comparison of the energy yield at the end user for *M*. x *giganteus* using two different harvesting and transport systems," *Bioenerg. Res.* 6(2), 813-821. DOI: 10.1007/s12155-013-9307-6
- Minu, K., Jiby, K. K., and Kishore, V. V. N. (2012). "Isolation and purification of lignin and silica from the black liquor generated during the production of bioethanol from rice straw," *Biomass Bioenerg*. 39, 210-217. DOI: 10.1016/j.biombioe.2012.01.007
- Mirahmadi, K., Kabir, M. M., Jeihanipour, A., Karimi, K., and Taherzaheh, M. J. (2010). "Alkaline pretreatment of spruce and birch to improve bioethanol and biogas production," *BioResources* 5(2), 928-938.
- Modenbach, A. A., and Nokes, S. E. (2012). "The use of high-solids loading in biomass pretreatment - A review," *Biotechnol. Bioeng.* 109(6), 1430-1442. DOI: 10.1002/bit.24464
- Modenbach, A. A., and Nokes, S. E. (2013). "Enzymatic hydrolysis of biomass at highsolids loadings - A review," *Biomass Bioenerg*. 56, 526-544. DOI: 10.1016/j.biombioe.2013.05.031
- Mosier, N., Wyman, C., Dale, B., Elander, R., Lee, Y. Y., Holtzapple, M., and Ladisch, M. (2005). "Features of promising technologies for pretreatment of lignocellulosic biomass," *Bioresour. Technol.* 96(6), 673-686. DOI: 10.1016/j.biortech.2004.06.025
- Moon, Y. H., Koo, B. C., Choi, S. H., Ahn, S. T., and Bark, Y. L. (2010). "Development of "*Miscanthus*" the promising bioenergy crop," *Korean J. Weed Sci.* 30, 330-339. DOI: 10.5660/KJWS.2010.30.4.330
- Olofsson, K., Bertilsson, M., and Lidén, G. (2008). "A short review on SSF An interesting process option for bioethanol production from lignocellulosic feedstocks," *Biotechnol. Biofuels* 1(1), 7. DOI: 10.1186/1754-6834-1-7
- Park, Y. C., and Kim, J. S. (2012). "Comparison of various alkaline pretreatment methods of lignocellulosic biomass," *Energy* 47(1), 31-35. DOI: 10.1016/j.energy.2012.08.010
- Rawat, R., Kumbhar, B. K., and Tewari, L. (2013). "Optimization of alkali pretreatment for bioconversion of poplar (*Populus deltoides*) biomass into fermentable sugars using response surface methodology," *Ind. Crop. Prod.* 44, 220-226. DOI: 10.1016/j.indcrop.2012.10.029
- Sánchez, Ó. J., and Cardona, C. A. (2008). "Trends in biotechnological production of fuel ethanol from different feedstocks," *Bioresour. Technol.* 99(13), 5270-5295. DOI: 10.1016/j.biortech.2007.11.013
- Schell, D. J., Farmer, J., Newman, M., and McMillan, J. D. (2003). "Dilute-sulfuric acid pretreatment of corn stover in pilot-scale reactor," *Appl. Biochem. Biotech.* 105(1), 69-85. DOI: 10.1385/ABAB:105:1-3:69
- Selig, M., Weiss, N., and Ji, Y. (2008). "Enzymatic saccharification of lignocellulosic biomass: Laboratory Analytical Procedure (LAP)," NREL/TP-510-42629.
- Serrano, L., Egües, I., González, A. M., Llano-Ponte, R., and Labidi, J. (2010). "Miscanthus sinensis fractionation by different reagents," Chem. Eng. J. 156(1), 49-55. DOI: 10.1016/j.cej.2009.09.032
- Sluiter, A., Hames, B., Ruiz, R., Scarlata, C., Sluiter, J., and Templeton, D. (2005). "Determination of ash in biomass: Laboratory Analytical Procedure (LAP)," NREL/TP-510-42622.
- Sluiter, A., Hames, B., Ruiz, R., Scarlata, C., Sluiter, J., Templeton, D., and Crocker, D. (2008). "Determination of structural carbohydrates and lignin in biomass: Laboratory Analytical Procedure (LAP)," NREL/TP-510-42618.

- Sørensen, A., Teller, P. J., Hilstrøm, T., and Ahring, B. K. (2008). "Hydrolysis of *Miscanthus* for bioethanol production using dilute acid presoaking combined with wet explosion pre-treatment and enzymatic treatment," *Bioresour. Technol.* 99(14), 6602-6607. DOI: 10.1016/j.biortech.2007.09.091
- Taherzadeh, M., and Karimi, K. (2008). "Pretreatment of lignocellulosic wastes to improve ethanol and biogas production: A review," *Int. J. Mol. Sci.* 9(9), 1621-1651. DOI: 10.3390/ijms9091621
- Vanderghem, C., Brostaux, Y., Jacquet, N., Blecker, C., and Paquot, M. (2012).
  "Optimization of formic/acetic acid delignification of *Miscanthus x giganteus* for enzymatic hydrolysis using response surface methodology," *Ind. Crop. Prod.* 35, 280-286. DOI: 10.1016/j.indcrop.2011.07.014
- Varga, E., Reczey, K., and Zacchi, G. (2004). "Optimization of steam pretreatment of corn stover to enhance enzymatic digestibility," *Appl. Biochem. Biotechnol.* 113(1), 509-523. DOI: 10.1385/ABAB:114:1-3:509
- Wang, Z., Keshwani, D. R., Redding, A. P., and Cheng, J. J. (2010). "Sodium hydroxide pretreatment and enzymatic hydrolysis of coastal Bermuda grass," *Bioresour. Technol.* 101, 3583-3585. DOI: 10.1016/j.biortech.2009.12.097
- Wang, W., Zhuang, X. S., Yuan, Z. H., Yu, Q., Qi, W., Wang, Q., and Tan, X. (2012a). "High consistency enzymatic saccharification of sweet sorghum bagasse pretreated with liquid hot water," *Bioresour. Technol.* 108, 252-257. DOI: 10.1016/j.biortech.2011.12.092
- Wang, Z., Li, R., Xu, J., Marita, J. M., Hatfield, R. D., Qu, R., and Cheng, J. J. (2012b). "Sodium hydroxide pretreatment of genetically modified switchgrass for improved enzymatic release of sugars," *Bioresour. Technol.* 110, 364-370. DOI: 10.1016/j.biortech.2012.01.097
- Xu, J., Cheng, J. J., Sharma-Shivappa, R. R., and Burns, J. C. (2010). "Sodium hydroxide pretreatment of switchgrass for ethanol production," *Energ. Fuel* 24(3), 2113-2119. DOI: 10.1021/ef9014718
- Yang, B., and Wyman, C. E. (2008). "Pretreatment: The key to unlocking low-cost cellulosic ethanol," *Biofuel Bioprod. Biorefin.* 2(1), 26-40. DOI: 10.1002/bbb.49
- Zhang, J., Chu, D., Huang, J., Yu, Z., Dai, G., and Bao, J. (2010). "Simultaneous saccharification and ethanol fermentation at high corn stover solids loading in a helical stirring bioreactor," *Biotechnol. Bioeng.* 105(4), 718-728. DOI: 10.1002/bit.22593
- Zhang, W., Lin, Y., Zhang, Q., Wang, X., Wu, D., and Kong, H. (2013). "Optimization of simultaneous saccharification and fermentation of wheat straw for ethanol production," *Fuel* 112, 331-337. DOI: 10.1016/j.fuel.2013.05.064
- Zheng, Y., Pan, Z., and Zhang, R. (2009). "Overview of biomass pretreatment for cellulosic ethanol," *Int. J. Agr. Biol. Eng.* 2(3), 51-68.

Article submitted: August 1, 2014; Peer review completed: September 14, 2014; Revised version received and accepted: September 22, 2014; Published: September 29, 2014.

# APPENDIX

**Supplementary Fig. 1.** Two Impellers used in this study for high solids fermentation and performance of mixing behaviors by the impellers.



