

Relationship between Crystallinity Index and Enzymatic Hydrolysis Performance of Celluloses Separated from Aquatic and Terrestrial Plant Materials

Li Li,^a Wenbing Zhou,^{a,*} Hongwei Wu,^{b,*} Yun Yu,^b Fen Liu,^a and Duanwei Zhu^a

Hydrolysis experiments of five cellulose samples (separated from two aquatic plants and three terrestrial plants, respectively) were conducted at various cellulase loadings (7 to 200 FPU/g cellulose). No obvious correlation was found between CrI and hydrolysis performance at low enzyme loadings (e.g. 7 and 28 FPU/g cellulose), as the hydrolysis was controlled by enzyme availability and the differences in cellulose structure were unimportant. At a sufficiently high enzyme loading (e.g. 200 FPU/g cellulose), the yield of reducing sugar was linearly proportional to the CrI value. Therefore, to establish such a correlation between cellulose structure and hydrolysis performance, hydrolysis experiments must be conducted under the conditions where enzyme availability is not a limiting factor. It was found that celluloses from sugarcane bagasse and water hyacinth have low CrI, achieve high sugar yields, exhibit fast reactions during enzymatic hydrolysis at low enzyme loadings, and can potentially be good feedstocks for bio-ethanol production.

Keywords: Cellulose; Crystallinity index; Enzymatic hydrolysis performance; Aquatic/terrestrial plants; Water hyacinth

Contact information: a: Laboratory of Eco-Environmental Engineering Research, Microelement Research Center of Huazhong Agricultural University; Key Laboratory of Arable Land Conservation (Middle and Lower Reaches of Yangtze River), Ministry of Agriculture, Wuhan 430070, China;

b: School of Chemical and Petroleum Engineering, Curtin University, GPO Box U1987, Perth, WA 6845, Australia;

*Corresponding authors: zhouwb@mail.hzau.edu.cn (W.-B. Zhou); h.wu@curtin.edu.au (H. Wu)

INTRODUCTION

Depletion of fossil fuels, e.g. petroleum and coal that are the dominant sources for supplying cheap energy for the world's economy, has prompted recent significant research efforts in finding viable and sustainable alternatives (Chang *et al.* 2011). Among various options, conversion of abundant lignocellulosic biomasses to biofuels has received significant attention. Currently, bio-ethanol production from corn and sugarcane has posed a threat to the food supply (Guragain *et al.* 2011), and the cost of these raw materials accounts for up to 40 to 70% of the production cost (Quintero *et al.* 2008). Lignocellulosic biomass serves as a cheap and abundant feedstock (Balat 2011), in it has the potential to produce low-cost bio-ethanol at a large scale. Its production does not compete with food production. Therefore, bio-ethanol from lignocellulose is considered to be second-generation and sustainable so that it receives considerably wider acceptance than the first-generation bio-ethanol from crops. The production of bio-ethanol from lignocellulosic biomass materials consists of four major steps including pretreatment, hydrolysis, fermentation, and product recovery (Hu *et al.* 2008). Enzymatic hydrolysis

used for cellulose saccharification is a vital step as glucose is released for subsequent bio-ethanol production via fermentation (Harun and Danquah 2011).

While lignocellulosic biomasses are available from diverse sources at huge quantities and low costs, the performance of enzymatic saccharification may be strongly dependent on the diverse species, complex chemical compositions, and structural characteristics of the feedstock materials. The sugar yields from biomass enzymatic hydrolysis vary from plant to plant as a result of the differences mainly in cellulose content (Sukumaran *et al.* 2009). Terrestrial plants are generally reported to have higher sugar yields than aquatic plants (Guragain *et al.* 2011; Sukumaran *et al.* 2009). The presence of hemicellulose and lignin is also known to have significant influence on the enzymatic hydrolysis of the cellulose in the lignocellulosic structure (Hendriks and Zeeman 2009; Yu *et al.* 2008). There are several pretreatment methods for improving the saccharification of cellulose hydrolysis, including pretreatment using dilute acid, lime, or microwave energy, *etc.* (Chen *et al.* 2009, 2012; De Vasconcelos *et al.* 2013; Xia *et al.* 2013). These pretreatments can significantly break down the recalcitrance of lignocellulosic biomass, thus improving the accessibility of cellulase to cellulose and enhancing the sugar yield from enzymatic hydrolysis of cellulose.

Cellulose crystallinity, usually measured as crystallinity index (CrI), is considered an important parameter determining the enzymatic hydrolysis susceptibility of cellulose. The crystallinity has been found to have a greater impact on enzymatic hydrolysis than other structural characteristics such as the degree of polymerization of the cellulose (DP), or the specific surface area (SSA) (Peng *et al.* 2013). Cellulose with a lower CrI is more susceptible to hydrolysis because of its loose structure (Chen *et al.* 2011; Hendriks and Zeeman 2009). For example, during hydrolysis in hot-compressed water (HCW), the hydrolysis reactions of amorphous cellulose is considerably faster than for crystalline cellulose (Yu and Wu 2011; Yu and Wu 2010). For enzymatic hydrolysis of microcrystalline cellulose, it has also been reported that the lower cellulose CrI, the higher will be the sugar yield and the faster will be the hydrolysis reaction rate (Fan *et al.* 1981; Peng *et al.* 2013; Wang *et al.* 2006). However, besides the intrinsic crystalline structure of microcrystalline cellulose, the availability of enzymes is also an important factor that determines the reaction rate of enzymatic hydrolysis of microcrystalline cellulose. This is of particular importance for the comparison in enzymatic hydrolysis reaction performance among various substrates with different structures. This is clearly demonstrated in a recent study on the comparison in enzymatic hydrolysis reaction rates between raw crystalline cellulose and a mixture of various glucose oligomers (as the product of the raw cellulose pretreatment using HCW), under the carefully-designed reaction conditions where the availability of enzymes is not a limiting factor (Zhou *et al.* 2013). Therefore, to truly establish the linkage between cellulose structural parameter (*e.g.* CrI) with its enzymatic hydrolysis susceptibility, the enzymatic hydrolysis reaction conditions need to be carefully chosen to ensure that the availability of enzymes is not a limiting factor. Unfortunately, this important factor appeared to be largely overlooked in the previous studies.

Therefore, this study aims to carry out a systematic study to establish a potential relationship between CrI of plant biomass originated cellulose and the enzymatic hydrolysis performance of these substrates, under well-designed conditions where the availability of enzyme is not a limiting factor. Five different cellulose samples were prepared from aquatic plants (water hyacinth, *i.e.*, *Eichhornia crassipes* and water peanut, *i.e.*, *Alternanthera philoxeroides*) and terrestrial plants (miscanthus, sugarcane bagasse

and metasequoia chips). The enzymatic hydrolysis reactions were carefully examined at a series of different cellulase loadings, enabling the establishment of the relationship between the CrI of the celluloses and enzymatic hydrolysis performance. This study also investigated the differences in the enzymatic hydrolysis performance among celluloses separated from aquatic and terrestrial plants.

EXPERIMENTAL

Materials

Cellulase (*T. reesei* ATCC 26921, Sigma-Aldrich, USA) as a lyophilized powder was used for enzymatic hydrolysis of the celluloses separated from various lignocellulosic biomass materials. The filter paper activity of cellulase was measured using standard IUPAC procedures (Ghose 1987), and the enzymatic activity of the cellulose was 0.7 FPU/mg. The cellulase was then dissolved in 50 mM acetic acid-acetate buffer (pH = 5.0) for use. The glucose standard, and reagents used were purchased from Sinopharm Chemical Reagent Co., Ltd, China. The lignocellulosic biomass samples used in this study represented a variety of materials ranging from annual aquatic and terrestrial herbaceous plants, *i.e.* water hyacinth (*Eichhornia crassipes*), water peanut (*Alternanthera philoxeroides*), miscanthus and sugarcane bagasse, to woody material, *i.e.*, metasequoia chips. Water hyacinth and water peanut were obtained from a lake in Huazhong Agricultural University. Miscanthus and sugarcane bagasse were collected from Wuhan Botanical Garden and a juice shop in Wuhan, respectively. Chips from metasequoia as terrestrial woody plant material was provided by a sawmill in Wuhan. All biomass samples were air-dried, milled, and then sieved to prepare samples of 0.250 to 0.425 mm for subsequent experiments.

Separation of Cellulose from Lignocellulosic Biomass

Separation of cellulose from the five prepared biomass samples was performed according to a nitric acid-ethanol method detailed elsewhere (Wang and Cheng 2011). Briefly, the process was carried out in boiling water bath with a condensate reflux pipe. For each biomass sample, ~1 g of the sample was treated in 25 mL of nitric acid-ethanol mixture (at a 1:4 volumetric ratio) for one hour and then subjected to filtration using G2 glass sand core tundish. The treatment was repeated 4 times before the obtained cellulose sample was dried in an oven at 105 °C to a constant weight. The dried cellulose sample was then milled and sieved to a size range of 0.250 to 0.425 mm for subsequent experiments.

Determination of Crystallinity Index (CrI)

The CrI of raw materials and prepared cellulose samples were measured by a powder X-ray diffractometer. The specimen was scanned at 2°/min for 2θ from 5° to 40° with a step size of 0.05°. The crystallinity index (CrI) were determined based on the equation shown below (Kim and Holtzaple 2006):

$$CrI = \frac{I_{002} - I_{am}}{I_{002}} \times 100 \quad (1)$$

where I_{002} is the intensity of the diffraction from the 002 plane at $2\theta=22.6^\circ$ and I_{am} is the intensity of the background scatter measured at $2\theta=18.7^\circ$. It is known that the I_{002} peak corresponds to the crystalline fraction and the I_{am} peak corresponds to the amorphous fraction (Wang *et al.* 2006).

Enzymatic Hydrolysis of the Prepared Cellulose Samples

The enzymatic hydrolysis experiments were conducted at a wide range of cellulase loadings of 7 to 200 FPU/g cellulose, aiming at determining the suitable reaction conditions where the availability of enzyme is not the reaction limiting factor. In a hydrolysis experiment, ~15 mg of a cellulose sample was added into a 50 mL flask with 15 mL of 50 mM acetic acid-acetate buffer (pH = 5.0). The flasks were put in a water bath that was preheated to 50 °C and continuously stirred at 150 rpm. The cellulase dissolved by acetic acid-acetate buffer was then added into the flask to start the experiment. The experiment proceeded at 50 °C in the water bath. Once a desired reaction time (1, 2, 4, 8, 12, 24, 48, and 72 h, respectively) was reached, the flasks (with sample) were taken out and immediately immersed in boiling water bath for 5 min to terminate the reaction. The samples were then collected for further analysis. Blank experiments without cellulase addition were also performed for each cellulose sample. All enzymatic hydrolysis reactions were conducted in triplicate.

Determination of Reducing Sugar and Initial Hydrolysis Reaction Rate

The samples collected from each enzymatic hydrolysis experiment were first filtered by 0.45 μm filters. The supernatant liquid was collected and subjected to subsequent sugar analysis. The yield of reducing sugar was determined by the DNS method (Chen *et al.* 2009) using a Visible Spectrophotometer at a wavelength of 520 nm. The yield of reducing sugar was calculated as Yield of reducing sugar (g/100g) = reducing sugar at time of t (g) \times 100 / initial cellulose sample (g).

A good linear relationship between yield of reducing sugar and hydrolysis time was obtained using the data collected within 4 h. Thus, the initial hydrolysis reaction rate (V_0 , mg/L·h) was calculated as the slope of linear curve fitted by reducing sugar yield data of 0 (=0), 1, 2, 4 h. This method was used in previous studies (Harun and Danquah 2011; Yeh *et al.* 2010).

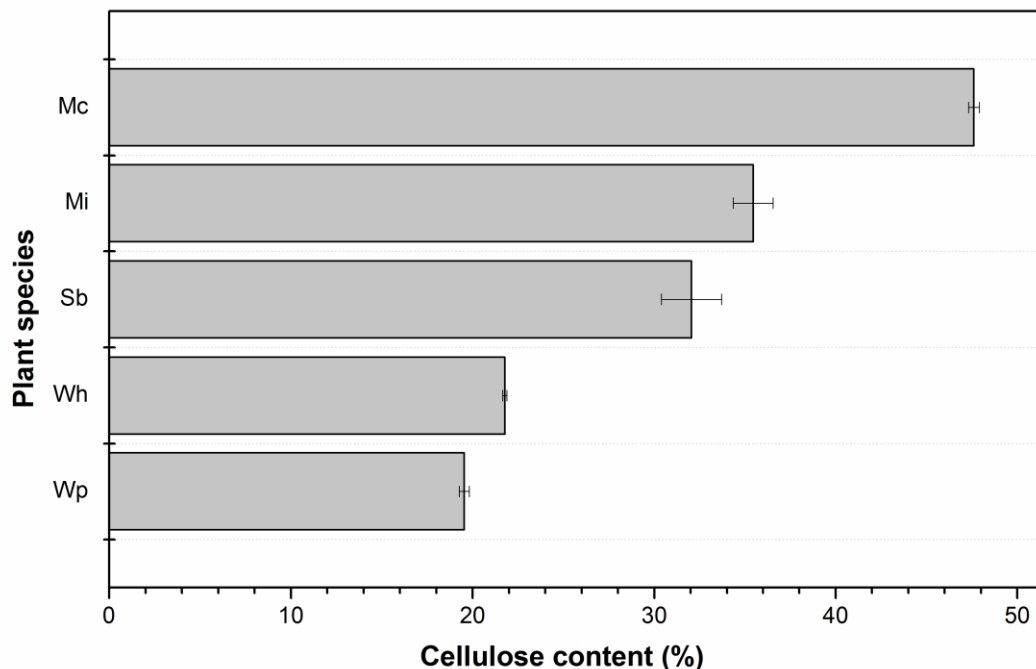
RESULTS AND DISCUSSION

Cellulose Contents of Plant Biomass Samples and CrI of the Prepared Cellulose Samples

Figure 1 presents the cellulose contents of various biomass materials used in this study. It can be found that the cellulose contents of the aquatic plants were significantly lower than those of the terrestrial plants. For example, the cellulose contents of water peanut and water hyacinth were 19.55% and 21.78%, while those of sugarcane bagasse, miscanthus, and metasequoia were 32.06%, 35.45%, and 47.61%, respectively. Such results are basically consistent with the cellulose contents of similar biomass materials reported in the literature (Jeon *et al.* 2010; Nigam 2002; Sasaki *et al.* 2003).

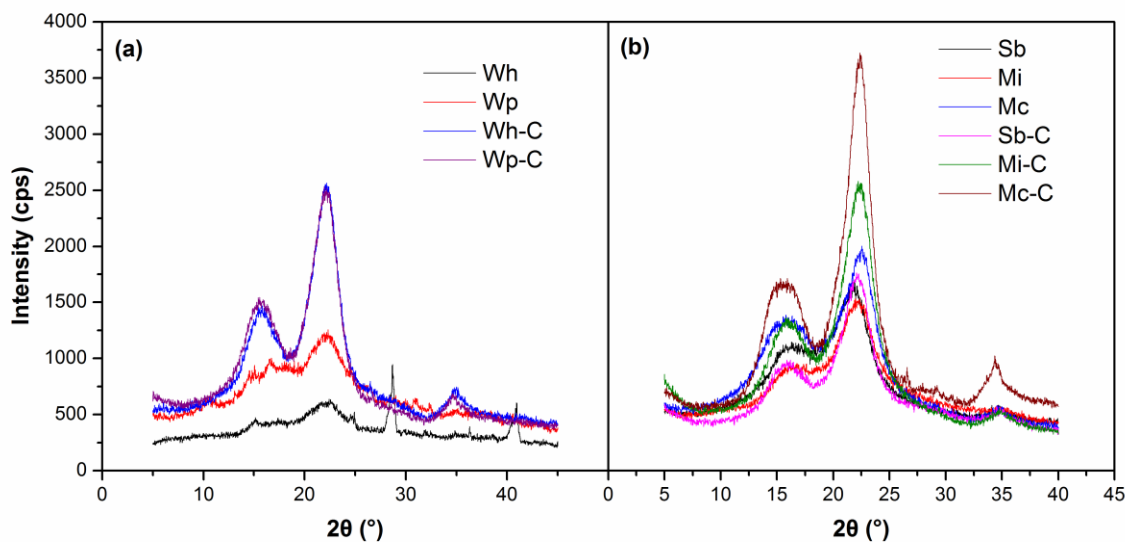
The CrI of a cellulose sample is an indication of the degree of formed crystallinity in the sample when the cellulose aggregates. The XRD patterns of different plant samples and their prepared celluloses are shown in Fig. 2. It can be seen that the peak patterns of

aquatic and terrestrial plant samples and their celluloses were similar. However, the peak intensities of crystalline diffraction ($2\theta=22.6^\circ$) were significantly different between aquatic (Fig. 2a, 'Wh' and 'Wp') and terrestrial plant samples (Fig. 2b, 'Sb', 'Mi' and 'Mc'). The former were apparently lower than the latter, especially for the 'Wh' sample, *i.e.*, water hyacinth being the lowest one (Fig. 2a, black line), indicating its lowest absolute crystalline cellulose content.



Note: Wp-Water peanut, Wh-Water hyacinth, Sb-Sugarcane bagasse, Mi-Miscanthus, Mc-Metasequoia chips.

Fig. 1. Cellulose contents of different kinds of plant materials



Note: Wp-Water peanut, Wh-Water hyacinth, Sb-Sugarcane bagasse, Mi-Miscanthus, Mc-Metasequoia chips, -C means celluloses prepared from plant materials.

Fig. 2. XRD patterns of different plant samples and prepared celluloses. (a) Aquatic and (b) Terrestrial.

Table 1. CrI of Different Plant Samples and Prepared Celluloses

Plant type	Sample	CrI _B ^a (%)	CrI ^b (%)	Increment ^c (%)
Aquatic herbaceous	Water peanut	29.6	57.9	95.2
	Water hyacinth	32.1	59.9	86.9
Terrestrial herbaceous	Sugarcane bagasse	38.7	56.4	45.7
	Miscanthus	43.2	61.2	41.6
Terrestrial woody	Metasequoia chips	47.0	70.6	50.3
^a CrI _B is biomass crystallinity index				
^b CrI is cellulose crystallinity index				
^c Increment represents the increase percentage of CrI based on CrI _B				

The specific CrI values of plant materials and prepared celluloses were then calculated, and the results are listed in Table 1. The CrI values of aquatic plants (CrI_B) were slightly lower than those of terrestrial plants. Such a finding may be at least partly related to the lower cellulose contents of these materials (Kim and Holtzapfle 2006; Zhu *et al.* 2010). Among all the celluloses prepared by the nitric acid-ethanol method, the CrI of cellulose derived from metasequoia chips was the highest (70.6%), while those of other types of plant celluloses ranged from 56.4% to 61.2%. The celluloses separated from aquatic plants exhibited significantly higher CrI values than their parent materials, and such increases in the CrI were much higher than those for terrestrial plants (Table 1). This could be explained by two reasons. One is that more amorphous components (*e.g.* hemicellulose, lignin, *etc.*) in the raw materials would have been removed during the cellulose separation process of the aquatic plants, which have lower cellulose contents (hence higher contents of amorphous components). The other is that the amorphous cellulose portions in the aquatic plants are more prone to recrystallization to form crystalline cellulose, resulting in greater increases in CrI than those for the terrestrial plants (Lionetto *et al.* 2012; Satyanagalakshmi *et al.* 2011).

Yield of Reducing Sugar during Enzymatic Hydrolysis of Celluloses Separated from Various Plants

Figure 3 presents the data on the yields of reducing sugar from the enzymatic hydrolysis of celluloses as a function of hydrolysis time at various enzyme loadings. At least three important observations can be made based on the results.

First, the reducing sugar yield increased with hydrolysis time, as expected, although those of different materials exhibited different patterns of increase. For example, at an enzyme loading of 200 FPU/g, the reducing sugar yield of celluloses from water peanut (Fig. 3a), water hyacinth (Fig. 3b), and sugarcane bagasse (Fig. 3c) almost reached the maximum value after hydrolysis for 24 h, suggesting that further hydrolysis reactions lead to little sugar production. However, it took 48 h for the hydrolysis of miscanthus cellulose (Fig. 3d) to reach the maximum. On the other hand, the sugar yield of metasequoia chips cellulose (Fig. 3e) still exhibited an apparent trend for further slight increase after hydrolysis for 72 h.

Second, the data demonstrated that the enzyme loading had a significant effect on cellulose hydrolysis performance. For each sample, an increase of enzyme loading generally led to an increase in the sugar yield. However, the sugar yields of different celluloses under a same enzyme loading and their increase extent under elevated enzyme loadings were strongly dependent on the cellulose materials. For example, at a low enzyme loading of 7 FPU/g, after hydrolysis for 72 h, the hydrolysis reactions of water

hyacinth cellulose (Fig. 3b), sugarcane bagasse cellulose (Fig. 3c), and miscanthus cellulose (Fig. 3d) had already led to high reducing sugar yields (77.71, 85.72, and 66.52 g/100 g, respectively). Such performances were substantially better than those of the other two materials: water peanut (Fig. 3a) and metasequoia chips (Fig. 3e). It can also be seen that at all enzyme loadings, metasequoia chips cellulose showed the lowest reducing sugar yields, and the cellulose from sugarcane bagasse showed the highest yield after 72 h hydrolysis time.

Meanwhile, it can also be seen that, after hydrolysis for 24 h at enzyme loading of 28 FPU/g, the sugar yield of water hyacinth cellulose (81.23 g/100g, Fig. 3b) was close to that of sugarcane bagasse cellulose (87.19 g/100g, Fig. 3c) but higher than those of the other 3 cellulosic materials. The enzymatic hydrolysis of water hyacinth cellulose was also faster than the other three cellulose materials during the initial hydrolysis stage (within 24 h) at an enzyme loading of 28 FPU/g. The results suggest that the water hyacinth cellulose is more available to cellulase than other plant celluloses (except sugarcane bagasse cellulose) at a relatively low enzyme loading of 28 FPU/g. It is known that water hyacinth has strong reproductive capacity and high productivity; its overgrowth has caused many environmental problems, such as blocking of waterways, loss of water bodies' ecological function, and pollution of water bodies after decomposition (Guragain *et al.* 2011). Therefore, the results presented here suggest that a high yield of reducing sugar may be achieved at a relatively low enzyme loading via the enzymatic hydrolysis of water hyacinth cellulose. This points to the potential advantages in practical application for utilizing water hyacinth as a feedstock for bio-ethanol production.

Last and importantly, the data in Fig. 3 suggest that an enzyme loading of 200 FPU/g is sufficient to ensure that the hydrolysis reactions take place under conditions where the availability of enzyme is not a limiting factor. The curves for reducing sugar yield under enzyme loading of 200 FPU/g were very close to or even overlying those corresponding to an enzyme loading of 140 FPU/g. In fact, a further increase in enzyme loading up to 250 or 300 FPU/g led to little further increase or even decrease in the sugar yield from the hydrolysis of these celluloses (data not shown). A possible reason may be that an increased enzyme loading results in increased medium viscosity, and the increased viscosity makes the diffusion of enzymes to the substrate and the leaving of products from the substrate vicinity more difficult, decreasing the efficiency of the hydrolysis reaction (Roberts *et al.* 2011). As a whole, under an enzyme loading of 200 FPU/g, the hydrolysis reactions are no longer limited by enzyme availability so that the hydrolysis performance is truly determined by the structure of the cellulose materials.

Correlation between CrI and Yield of Reducing Sugar

Figure 3 shows that after enzymatic hydrolysis for 72 h, the yield of reducing sugar for all of the celluloses at various enzyme loadings of 7 to 200 FPU/g reached a plateau or was about to reach a plateau. Therefore, to display the influence of cellulose CrI to the yield of reducing sugar, the yield of reducing sugar after enzymatic hydrolysis for 72 h were used.

Figure 4 shows the correlation between the cellulose CrI and the yield of reducing sugar in enzymatic hydrolysis time of 72 h at various enzyme loadings of 7 to 200 FPU/g. The results clearly display that at lower enzyme loadings (*e.g.* 7 and 28 FPU/g), there was no apparent correlations between the cellulose CrI and the yield of reducing sugar.

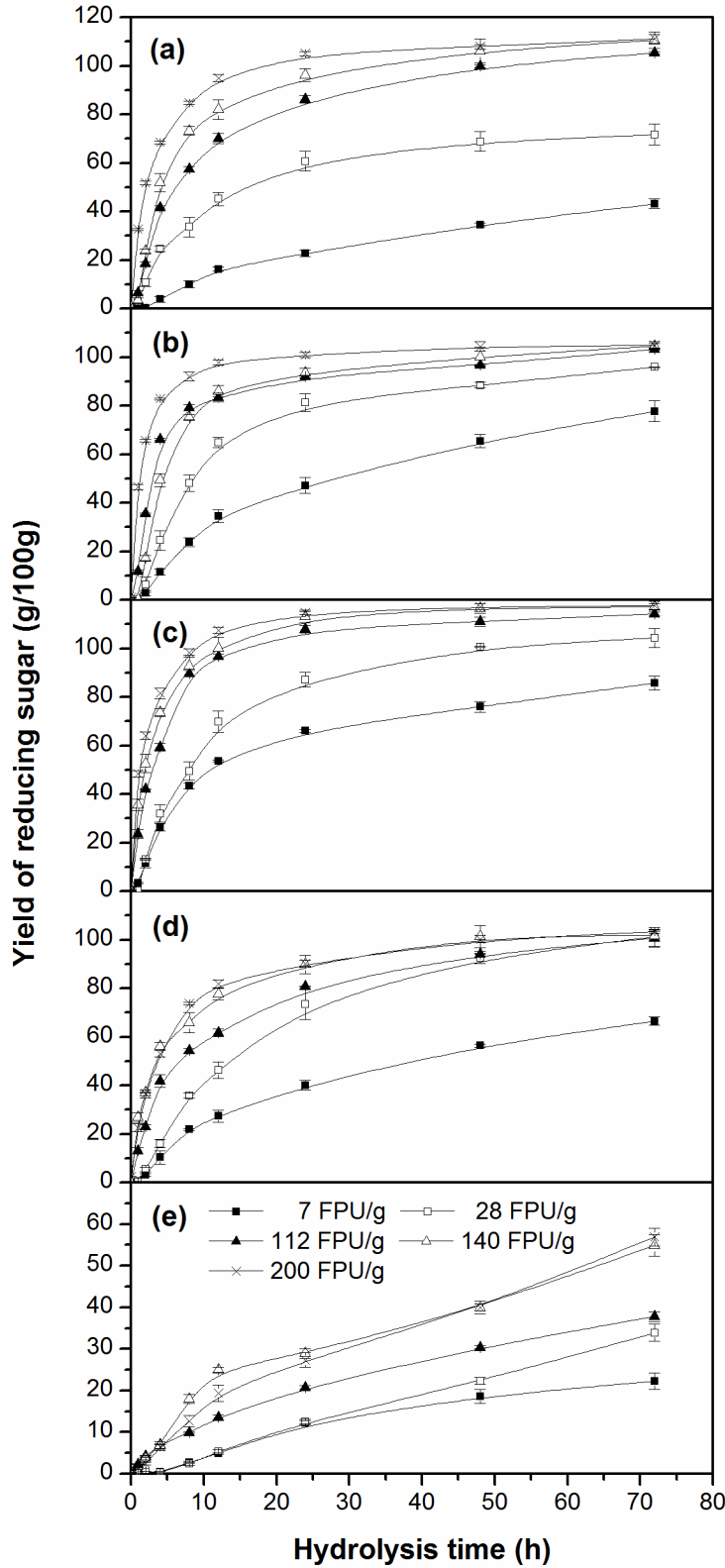


Fig. 3. Yields of reducing sugar from enzymatic hydrolysis of five different plant celluloses at various enzyme loadings of 7 to 200 FPU/g: (a) Water peanut, (b) Water hyacinth, (c) Sugarcane bagasse, (d) Miscanthus, (e) Metasequoia chips

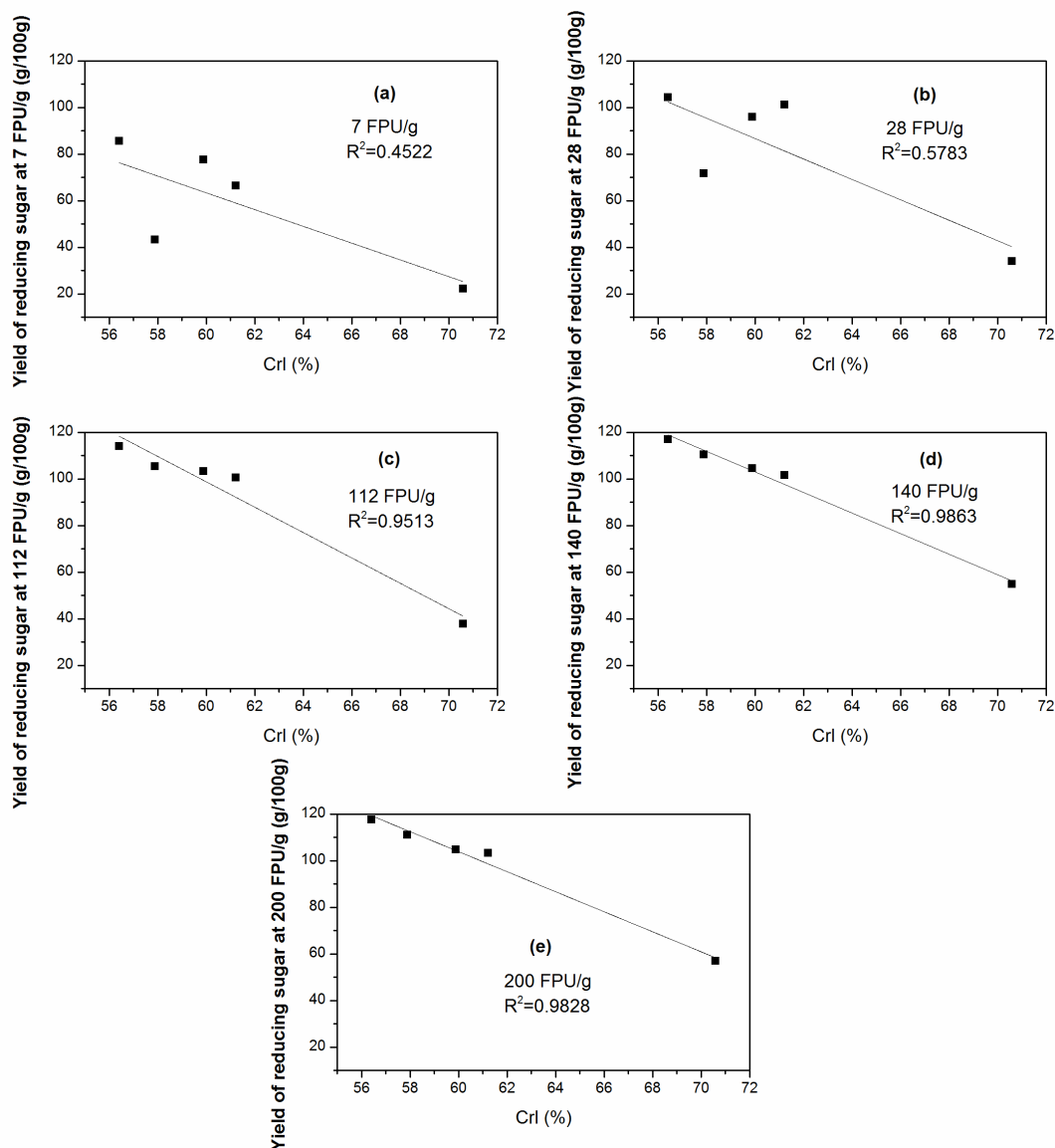


Fig. 4. Effect of cellulose CrI on yield of reducing sugar in enzymatic hydrolysis time of 72 h at various enzyme loadings of 7 to 200 FPU/g. (a) 7 FPU/g, (b) 28 FPU/g, (c) 112 FPU/g, (d) 140 FPU/g, (e) 200 FPU/g

However, at high enzyme loadings (*e.g.* 140 and 200 FPU/g), there was a strong negative correlation between the reducing sugar yield and cellulose CrI with a linear correlation coefficient (R^2) >0.98 . Such results presented in Fig. 4 have significant scientific implications in experimental design for establishing the correlations between cellulose structure and enzymatic hydrolysis performance. At low enzyme loadings, the amounts of enzyme present are insufficient for hydrolysis reactions, such that the overall hydrolysis is therefore controlled by enzyme availability. Under such reaction conditions, the differences in the structure of various cellulose materials are no longer important. Oppositely, at high enzyme loadings (particularly 200 FPU/g), there are abundant enzymes available for hydrolysis reactions so that enzyme availability is less important and no longer is the limiting factor. Under such conditions, the intrinsic structure of cellulose dictates the enzymatic hydrolysis reactions of the cellulose materials. Therefore,

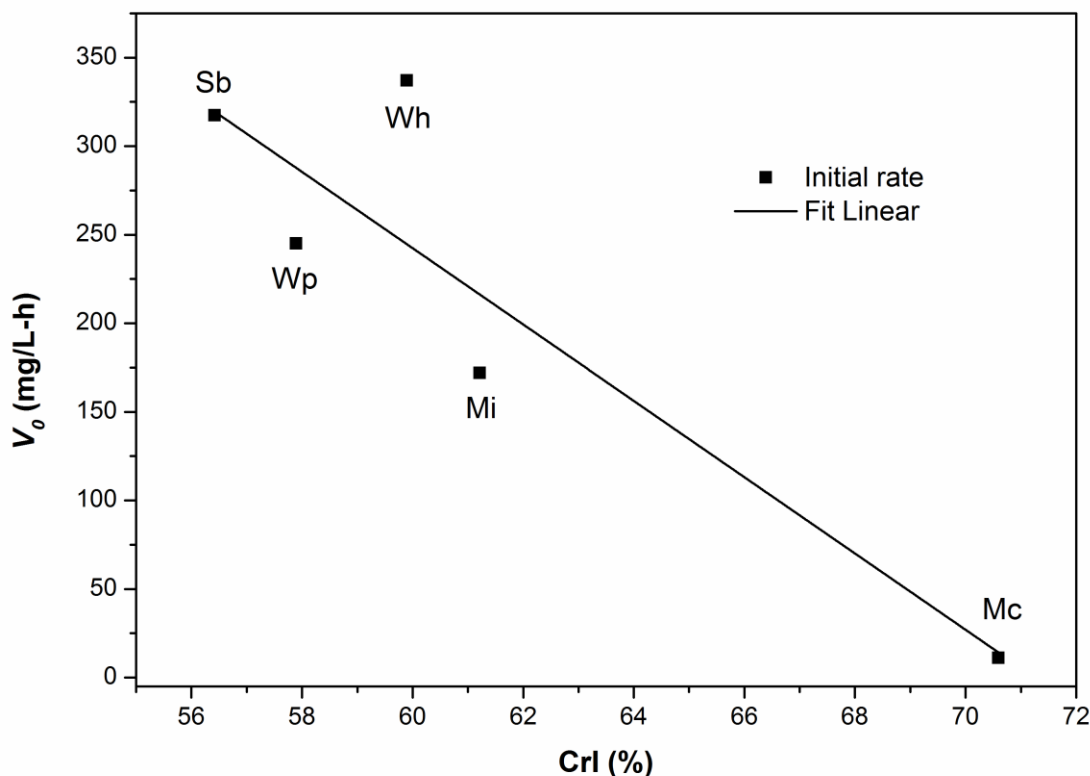
the results presented here clearly demonstrate that in order to establish the correlation between cellulose structure (such as CrI) and enzymatic hydrolysis performance, enzymatic hydrolysis experiments should be carefully designed to ensure that the hydrolysis reactions take place under conditions where enzyme availability is not the limiting factor.

Effect of CrI on Initial Hydrolysis Reaction Rate

The initial hydrolysis reaction rates (V_0) of reducing sugar production from cellulose at various enzyme loadings of 7 to 200 FPU/g are shown in Table 2.

Table 2. Initial Hydrolysis Reaction Rate (V_0 , 0-4 h) of Different Plant Celluloses at Various Enzyme Loadings of 7 to 200 FPU/g

Sample	CrI (%)	V_0 (mg/L·h)				
		E_0 (FPU/g)				
		7	28	112	140	200
Sugarcane bagasse	56.4	56.7	66.2	147.8	186.7	317.5
Water peanut	57.9	10.2	43.7	90.2	111.0	245.1
Water hyacinth	59.9	22.1	47.3	149.0	99.4	337.3
Miscanthus	61.2	20.7	32.3	97.1	139.5	172.1
Metasequoia chips	70.6	0.8	2.2	16.8	15.2	11.1



Note: Wp-Water peanut, Wh-Water hyacinth, Sb-Sugarcane bagasse, Mi-Miscanthus, Mc-Metasequoia chips

Fig. 5. Effect of cellulose CrI on initial hydrolysis reaction rate (V_0 , 0-4 h) of cellulose at enzyme loading of 200 FPU/g.

It is noted that the initial hydrolysis rate (V_0) of water hyacinth cellulose was even higher than that of sugarcane bagasse cellulose at 200 FPU/g (337.3 vs. 317.5 mg/L·h, Table 2). This may be potentially related to the low content of crystalline cellulose in the raw water hyacinth biomass (see Section 3.1 and Fig. 2a).

As the tabulated data indicate, except in the case of water peanut cellulose, the initial hydrolysis reaction rates (V_0) of other plant celluloses at different enzyme loadings basically decreased with the increase of CrI, indicating that cellulose CrI is an important factor limiting the initial rate of hydrolysis reaction. For water peanut cellulose, its initial hydrolysis reaction rate (V_0) was very low at the enzyme loading of 7 FPU/g, *i.e.*, 10 mg/L·h, while it increased by factors of 4.4, 9.0, 11.1, and 24.5 at the enzyme loadings of 28, 112, 140, and 200 FPU/g, respectively. However, a relatively higher enzyme loading would be required to achieve rapid and effective hydrolysis of water peanut cellulose compared to other plant celluloses; therefore it is relatively not so favorable to use water peanut cellulose as a feedstock to produce low-cost bio-ethanol. Following the analysis given earlier, enzyme availability is not a limiting factor at an enzyme loading of 200 FPU/g. Figure 5 shows that the initial hydrolysis rate (V_0) decreased broadly with an increase in CrI, based on the data obtained at a hydrolysis time within 4 h.

CONCLUSIONS

1. The enzymatic hydrolysis susceptibilities of celluloses separated from five plant materials was evaluated. The results showed that the hydrolysis efficiency of five kinds of plant celluloses was in the order of sugarcane bagasse > water hyacinth > miscanthus > water peanut > metasequoia chips.
2. Significant negative correlation between enzymatic hydrolysis performance of five plant celluloses and their crystallinity indexes (CrI) was observed, at a sufficiently high enzyme loading (*e.g.* 200 FPU/g cellulose) where the intrinsic structure of cellulose (*i.e.* CrI) dictates their enzymatic hydrolysis reactions. However, there was no good correlation at low enzyme loadings (*e.g.* 7 and 28 FPU/g cellulose), as the hydrolysis was controlled by enzyme availability at lower concentration.
3. Due to the low CrI, celluloses from sugarcane bagasse and water hyacinth achieve high sugar yields through fast reactions during enzymatic hydrolysis at low enzyme loading, and they are potentially good feedstocks for bio-ethanol production.

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