

# Enhanced Cellulose Degradation of Wheat Straw during Aqueous Ethanol Organosolv Treatment

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The degradation of cellulose in wheat straw during aqueous ethanol organosolv (AEO) treatment under different pulping conditions was investigated. For this purpose, molecular weight distribution (MWD) and degree of polymerization (DP) of the resulting cellulose pulp were determined using high performance size exclusion chromatography (HPSEC). The most pronounced effects regarding cellulose degradation were observed when varying the ethanol-to-water ratio. UV detection in HPSEC indicated that residual lignin in wheat straw fibers from organosolv treatments does not occur in free form, but rather is associated with hemicellulose (xylan) and to a minor extent also with cellulose. The established method was suitable for relative comparisons of MWD of variously treated wheat straw fibers and hence for obtaining information regarding the severity of organosolv treatment in terms of cellulose degradation. In summary, AEO treatment at a low ethanol-to-water ratio favours the efficient delignification and removal of xylan from wheat straw, but this occurs at the price of a greatly reduced fiber quality in terms of DP.

*Keywords:* Wheat straw; Liquid hot water treatment; Organosolv; High performance size exclusion chromatography; *N,N*-Dimethylacetamide / lithium chloride; Cellulose

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## INTRODUCTION

Wheat straw is an agricultural residue that occurs in large quantities and can be transported in a relatively dense, pressed form. Due to its typical low water content, it is easy to store and currently is only used for low value applications such as mulch (Bilalis *et al.* 2003), energy, and animal bedding (Ward *et al.* 2000). However, it may also be used in a lignocellulosic feedstock biorefinery, either without pretreatment to produce syngas *via* pyrolysis (Kamm and Kamm 2004) or by fractionation into its main components of cellulose, hemicellulose, and lignin. These cell wall components occur in tight association with each other, requiring harsh pulping conditions to separate them (Kamm *et al.* 2006). The recalcitrance of the lignocellulosic network mainly results from hydrogen bonds between cellulose, hemicellulose, and lignin, but also from chemical bonds between lignin and hemicellulose (Chen 2014). Furthermore, there is some evidence indicating that chemical bonds may also exist between cellulose and lignin (Lawoko 2005; Du *et al.* 2013).

For the selective fractionation of lignocellulose, different treatments are available depending on the subsequent valorization steps of the individual streams. Many pretreatments conducted with wheat straw involve pressurized water treatments from 160 °C to 240 °C, carried out at neutral (liquid hot water, LHW) (Nabarlatz *et al.* 2007), acidic (Kabel *et al.* 2007), or alkaline (Saha and Cotta 2006) conditions, as well as steam explosions (Montane *et al.* 1998) and ethanol organosolv treatments (Wildschut *et al.*

2013). LHW treatment is a very simple and cheap pretreatment technology using only water as a solvent at elevated temperatures and pressures, with the focus of solubilizing carbohydrates, mainly hemicelluloses (Kim *et al.* 2009). For organosolv treatment, typically an organic solvent or a mixture with water is used to dissolve mainly lignin and hemicelluloses at temperatures between 180 °C to 220 °C. Cellulose is recovered as a solid fraction (Young and Akhtar 1998; Zhao *et al.* 2017) and may be sold as fibers or processed further to platform chemicals.

A combination of LHW and aqueous ethanol organosolv (AEO) treatment of wheat straw has been reported by few authors. Ruiz *et al.* (2011) reported a good xylose recovery and production of cellulose-enriched solids. Huijgen *et al.* (2012) found improved enzymatic glucose yields, but at a reduced lignin yield, due to the formation of “pseudo lignin” and recondensation during prehydrolysis. Preliminary studies in the authors’ laboratory focused on optimization of treatment conditions during LHW and AEO treatment of wheat straw (Weinwurm *et al.* 2012). During LHW treatment at medium temperatures, hemicellulose- and cellulose-derived sugars were maximized. In contrast, during AEO treatment, a 1.5 to 5 fold lower amount of sugars was removed, less degradation of products occurred, and higher delignification was reached. However, the quality of the remaining cellulose fibers in terms of degree of polymerization (DP), as well as impurities such as residual lignin, hemicelluloses, and degradation products have not been determined. The DP is an essential indicator of fiber quality and can be calculated from molecular weight distributions obtained by high performance size exclusion chromatography (HPSEC) (Klemm *et al.* 1998; Henniges *et al.* 2014).

A common system for relative comparisons of cellulose molecular weight distributions by HPSEC is a refractive index (RI) detection and calibration with pullulan (Striegel 1997; Sjöholm *et al.* 2000). The HPSEC method requires complete dissolution of cellulose. Several aqueous, non-aqueous, derivatizing, and non-derivatizing solvents have been reported (Heinze and Koschella 2005; Liebert 2010). N,N-Dimethylacetamide (DMAc)/LiCl is a viable non-derivatizing solvent suitable for various analytical techniques including <sup>13</sup>C-NMR spectroscopy (Nehls *et al.* 1995), electrospray mass spectroscopy (Striegel 1997), and HPSEC (Silva and Laver 1997; Klemm *et al.* 1998). However, Potthast *et al.* (2002) reported severe degradation of cellulosic samples during dissolution when exceeding 85 °C; thus, dissolution temperatures are a critical parameter when using DMAc/LiCl.

The aim of the present work was to monitor cellulose degradation and chemical composition of wheat straws after a combination of LHW treatment, followed by AEO treatment under varied pulping conditions. After the successful adaptation of the cellulose dissolution method, the measurements of DP and MWD provided useful information about treatment severity and the quality of the cellulose-enriched fiber residue.

## EXPERIMENTAL

### Materials

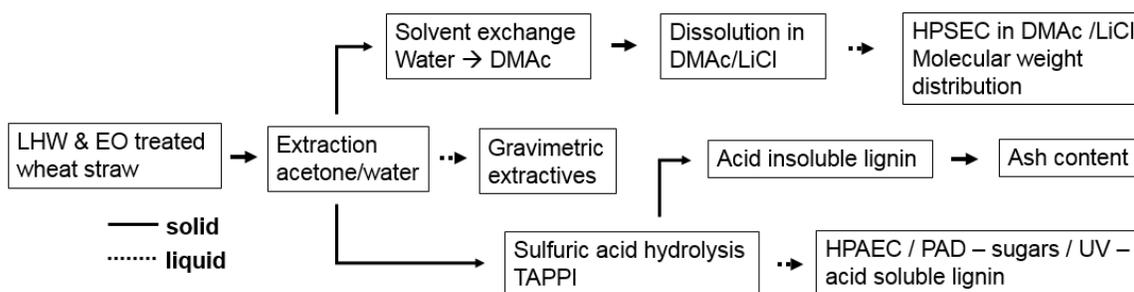
Wheat straw specimens were harvested near Dürnkrot in lower Austria (two different batches) and stored in sealed bags. Straw lignin was obtained by precipitation during the AEO process (Weinwurm *et al.* 2016). Sulfuric acid (96% p.a.), ethanol (≥ 96%, denatured with 1% MEK), and acetone (≥ 99,8% p.a.) were obtained from Carl Roth (Karlsruhe, Germany). N,N-Dimethylacetamide (DMAc, ≥ 99,9% for HPLC), LiCl

anhydrous ( $\geq 99\%$ , Redi-Dri™), xylan from beechwood ( $\geq 90\%$ ), analytical grade D-(+)-glucose, D-(+)-xylose, D-(-)-arabinose, D-(+)-galactose, D-(+)-mannose, and L-(-)-fucose were from Sigma Aldrich (St. Louis, USA). NaOH (46/51% solution, HPLC electrochemical grade), and sodium acetate trihydrate (HPLC grade) used for HPAEC-PAD were from Fisher Scientific (Hampton, USA). Milli-Q-Water was prepared according to DIN ISO 3696 (1991). Milli-Q water, NaOH, and sodium acetate eluents for HPAEC-PAD were filtered through a 0.2  $\mu\text{m}$  Nylon 66 membrane (Supelco, St. Louis, MO, USA), degassed, and stored under helium. The HPSEC eluent was prepared by dissolving 0.9% LiCl in DMAc, filtration through a 1.5  $\mu\text{m}$  PTFE membrane (Supelco), and was stored under nitrogen.

## Methods

### Preparation of LHW- and AEO-treated wheat straw

The LHW- and AEO-treated wheat straws were prepared and provided by Denner and Weinwurm (Denner 2016; Weinwurm *et al.* 2016). Briefly, for the LHW treatment a 1 L high pressure autoclave (HDA 9/16, Zirbus, Germany) was filled with wheat straw (particle size 1 to 5 mm) and demineralized water (1:11 weight ratio), heated to 180 °C in 60 min, and then cooled. After multiple washing steps with water, the LHW treated straw was dried at room temperature and then transferred to five small (45 mL) T316 stainless steel reactors (Parr Instrument Company, Moline, IL, USA) for AEO treatment. Except for the optimization of dissolution in DMAc/LiCl, which was done at 160 °C and 60 wt.% ethanol, AEO treatment conditions were 30 min at 200 °C and various ethanol-to-water ratios for all experiments. These conditions were chosen based on a preliminary set of experiments showing that varying the ethanol-to-water ratio at 200 °C had the most pronounced effects on cellulose degradation. The experiments were repeated under identical conditions with a different batch of wheat straw to check for reproducibility. Other parameters such as particle size and acetic acid had only minor effects on cellulose degradation, and the results are described elsewhere (Lindemann 2016). The obtained fibers were then processed according to the scheme presented in Fig. 1 to determine their chemical composition and molecular weight distributions. All measurements were performed in duplicate.



**Fig. 1.** Scheme for determination of chemical composition and molecular weight distributions of LHW and AEO treated wheat straw

### Removal of extractives

Before determining chemical composition and molecular weight distributions, all lipophilic extractives were removed by pressurized solvent extraction (Speed Extractor E-916, Büchi, Flawil, Switzerland). Fiber samples were freeze-dried (Christ Alpha 1-4 LD plus) before and after extraction and extraction was performed according to TAPPI T204

cm-07 (2007) with slight modifications employing 4 consecutive cycles (10 min each) of acetone/water (95/5 vol%) at 110 °C and 110 bar.

#### *Acid insoluble lignin, acid soluble lignin, and ash content*

A 2-step sequential sulfuric acid hydrolysis with 10 M H<sub>2</sub>SO<sub>4</sub> at 23 °C, followed by 3 wt.% H<sub>2</sub>SO<sub>4</sub> at 100 °C was carried out to convert polysaccharides from the lignocellulosic matrix into soluble sugars and separate it from acid-insoluble lignin. Details are given in the TAPPI T 222 om-98 standard (1998) for determining acid-insoluble lignin. The method was slightly modified in that smaller samples (0.2 to 0.5 g of sample per determination) were used with the amount of sulfuric acid adjusted accordingly. Acid soluble lignin and ash content were analyzed according to TAPPI UM 250 (1991) and TAPPI T211 om-02 (2002). Acid insoluble and acid soluble lignin are added up and referred to as lignin in the results.

#### *Carbohydrate analysis*

The carbohydrate composition of fibers was determined by quantification of monosaccharides in the sulfuric acid hydrolysate by high performance anion exchange chromatography with pulsed amperometric detection (HPAEC-PAD) on a Dionex ICS 5000 system (Thermo Scientific) using Dionex CarboPac PA1 guard and analytical (2 x 250 mm) columns (Thermo Scientific). The column was preconditioned at 0.26 mL/min in 200 mM NaOH/65 mM sodium acetate for 10 min., followed by water for 7 min. Samples of 10 µL were then injected, and the monosaccharides were separated by isocratic elution in water using a post-column addition of 67 mM NaOH for 18 min. No neutralization of the hydrolysate was carried out before injection, but the solutions of authentic sugar standards used for calibration were adjusted to the same H<sub>2</sub>SO<sub>4</sub> concentration, as sulfate ions act as “pusher anions”, resulting in a decrease in retention times (Davis 1998). To compensate sugar degradation during acid hydrolysis, recovery standards of the according monosaccharides were exposed to the same hydrolysis conditions as the samples except for the 10 M H<sub>2</sub>SO<sub>4</sub> step as Davis (1998) reported that degradation losses during this step were negligible.

#### *Non-derivatizing dissolution of cellulose and HPSEC analysis*

For the determination of molecular weight distribution and degree of polymerization, LHW- and AEO-treated wheat straw was dissolved in the non-derivatizing cellulose solvent system DMAc/LiCl (Dawsey and McCormick 1990) and analyzed by HPSEC. The method was adapted for dissolving wheat straw cellulose obtained under the LHW and AEO treatment conditions used here. Temperature and time were optimized to achieve maximum dissolution without significant cellulose degradation. For solvent exchange (Ishii *et al.* 2008; Henniges *et al.* 2014), 50 mg of each extracted wheat straw sample was swollen in 200 mL H<sub>2</sub>O, followed by mixing twice for 10 s in a Waring blender for disintegration of the fiber bundles. This resulted in better accessibility for the solvent. The fibers were filtered with a crucible (10 µm to 16 µm), vacuumed dry, washed twice with ethanol, and vacuumed dry again. Dried fibers were transferred to a 25 mL screwcap vial. A total of 10 mL of DMAc was added, and the mixture was shaken (~120 RPM) overnight, filtered with a crucible (10 µm to 16 µm), vacuumed dry, and washed 3 times with DMAc. These activated wheat straw fibers were then mixed with 10 mL of DMAc/LiCl (9%) and stirred for 72 h in a climate chamber at 32 °C to dissolve the cellulose. After centrifugation at 7.600 × g and 4 °C (Sorvall RC6 plus, Thermo Scientific),

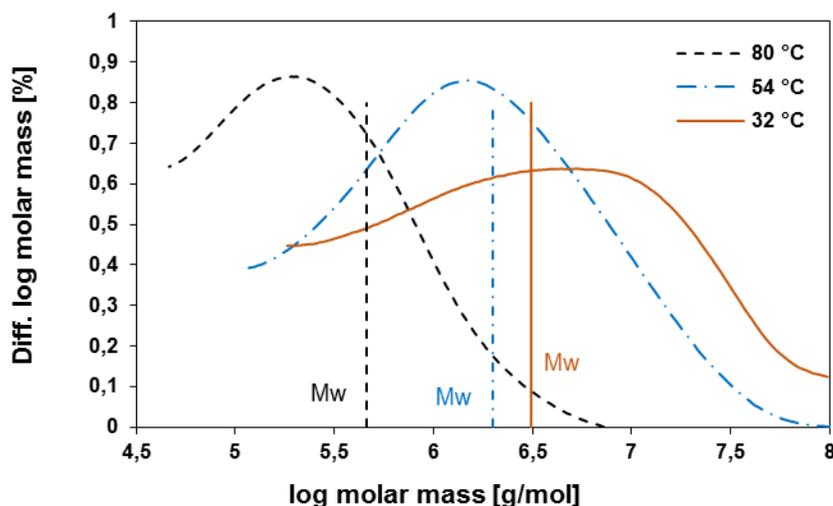
the dissolved cellulose was decanted, and the non-dissolved fiber residue was vortexed with fresh DMAc and centrifuged again. The supernatant was discarded, and the solid residue was washed with water, filtered over a tared nylon filter (Nylon 66 0.2  $\mu\text{m}$ , Supelco), thoroughly washed again with water, dried in a desiccator, and gravimetrically determined. The washing step with DMAc was essential to prevent already dissolved cellulose from precipitating in water.

The dissolved cellulose samples were analyzed by HPSEC on a Dionex ICS-1100 system using a 20  $\mu\text{m}$  guard (50 x 7.5 mm) and 4 PL gel 20  $\mu\text{m}$  Mixed-A (300 x 7.5 mm) columns. Samples were eluted with DMAc containing 0.9% LiCl at 30  $^{\circ}\text{C}$  and 1 mL/min. Weight and number average molecular weights ( $M_w$ ,  $M_n$ ) were determined with Thermo Scientific™ Chromeleon™ 7.2 software (extension pack GPC templates). Polydispersity was calculated as the quotient of weight and number average molecular weight ( $M_w/M_n$ ). Calibration was done with pullulan standards (PSS Polymer Standard Services, Mainz, Germany; Fluka/Sigma Aldrich, St. Louis, USA) with the following molar masses at the peak maximum ( $M_p$ ): 2,560,000 Da, 708,000 Da, 337,000 Da, 194,000 Da, 107,000 Da, 47,100 Da, 21,100 Da, 9600 Da, 6100 Da, 1080 Da, and 342 Da.

## RESULTS AND DISCUSSION

### Optimization of Dissolution Time and Temperature of Wheat Straw Samples in DMAc/LiCl

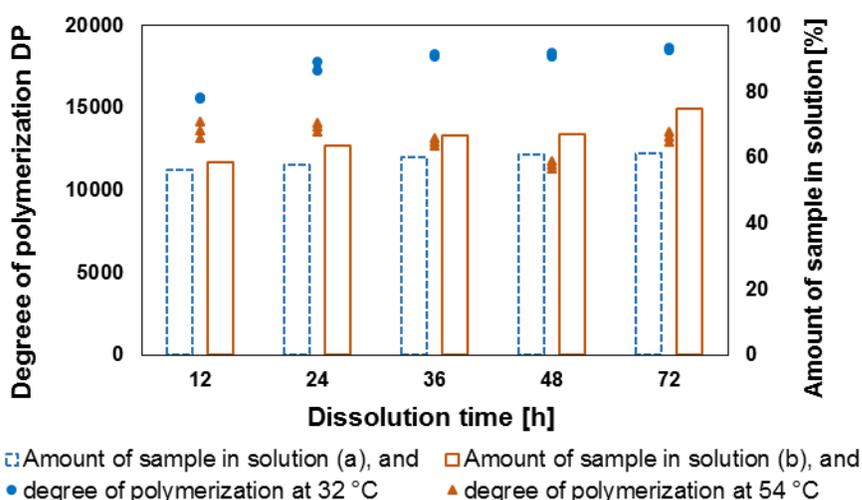
Wheat straw treated at mild AEO conditions (160  $^{\circ}\text{C}$ , 30 min., 60 wt.% EtOH) was selected for optimization of dissolution parameters because it was the most difficult to dissolve in DMAc/LiCl (9%), the most common cellulose solvent. In a preliminary experiment, treated wheat straw samples were dissolved at 32  $^{\circ}\text{C}$ , 54  $^{\circ}\text{C}$ , and 80  $^{\circ}\text{C}$  for up to 72 h in DMAc/LiCl (9%), and the obtained molecular weight distributions are shown in Fig. 2.



**Fig. 2.** Molecular weight distributions of LHW and AEO-treated wheat straw samples (mild AEO treatment: 160  $^{\circ}\text{C}$ , 30 min., 60 wt.% EtOH) at 32  $^{\circ}\text{C}$ , 54  $^{\circ}\text{C}$ , and 80  $^{\circ}\text{C}$  dissolved in DMAc/LiCl (9%) for 72 h.  $M_w$ : Weight average molecular weight

A distinct decrease in molecular weight and degree of polymerization occurred at 80 °C (DP: ~3000) compared with 54 °C (DP: ~12,000) and 32 °C (DP: ~19,000), confirming severe degradation at elevated temperatures even below 85 °C, as shown by Potthast *et al.* (2002). The effect was ascribed to the cleavage of the glycosidic bonds through an electrophilic attack of a keteniminium cation formed from DMAc, resulting in low molecular weights (Rosenau *et al.* 2006). Consequently, further variations of dissolution time between 12 h to 72 h were carried out at 32 °C and 54 °C only.

The degree of polymerization and amount of sample in solution were determined for each setting and are shown in Fig. 3. The highest degree of polymerization (~19,000) was obtained at 32 °C with steadily increasing molecular weight over time, while 54 °C resulted in a greater variation with a tendency to lower degrees of polymerization over time (~14,000). It is plausible that longer cellulose chains in the crystalline parts of the fiber require more time to dissolve than shorter chains in less-ordered regions (Henniges *et al.* 2011). The lower degrees of polymerization at 54 °C therefore indicate degradation of cellulose, leading to shorter chains, which is consistent with the corresponding higher amount of sample found in solution (61.3% after 72 h at 32 °C compared with 58.6% after 12 h at 54 °C), degree of polymerization was remarkably higher at 32 °C than at 54 °C. The 32 °C dissolution temperature and 72 h dissolution time were the most suitable for determining molecular weights of LHW and AEO treated wheat straw samples with minimal cellulose chain length alteration by degradation during dissolution.



**Fig. 3.** Degree of polymerization and amount of dissolved sample of LHW and AEO treated wheat straw samples (mild AEO treatment: 160 °C, 30 min., 60 wt.% EtOH) at 32 °C and 54 °C dissolved in DMAc / LiCl (9%) for 12 h to 72 h. Duplicate measurements and their mean are displayed.

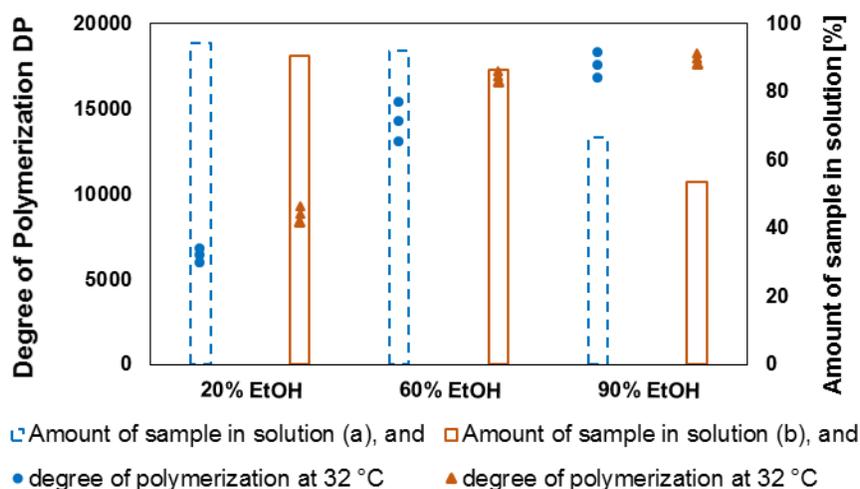
### Cellulose Degradation of Wheat Straw during LHW and AEO Treatment with Varying Amounts of Ethanol

The DP of cellulose in wheat straw fibers determined after LHW and AEO treatment ranged between 6,400 and 18,000 while varying ethanol-to-water ratios between 20% and 90%, respectively (Table 1).

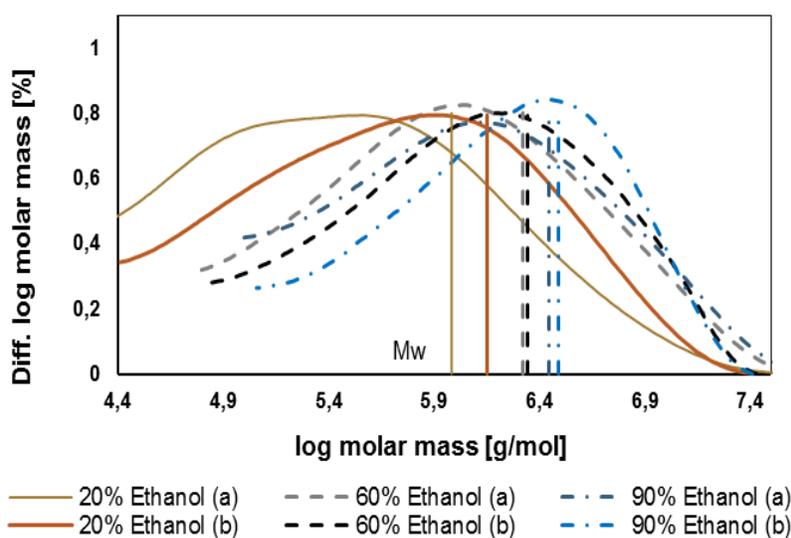
**Table 1.** Degree of Polymerization (DP) and Polydispersity of Wheat Straw Samples after LHW and AEO Treatment with 20%, 60%, and 90% Ethanol-to-Water Ratio of Two Different Batches (a) and (b)

Ethanol-to-water ratio (%)	DP (a)	Polydispersity (a)	DP (b)	Polydispersity (b)
20	6400	6.9	8800	7.9
60	14000	6.2	17000	5.1
90	18000	5.0	18000	4.1

The determined DPs and associated amounts of dissolved sample in DMAc/LiCl are shown in Fig. 4.



**Fig. 4.** Degree of polymerization and amount of dissolved sample of LHW and AEO treated wheat straw samples (AEO treatment: 200 °C, 30 min.) at an ethanol-to-water ratio of 20%, 60%, and 90% of two different wheat straw batches (a) and (b). Duplicate measurements and their mean are displayed for each batch.

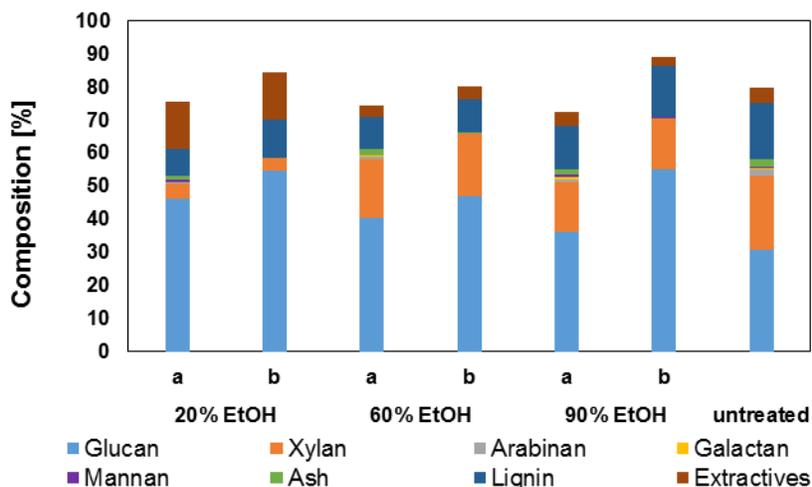


**Fig. 5.** Molecular weight distribution of wheat straw cellulose after LHW and AEO treatment at an ethanol-to-water ratio of 20%, 60%, and 90% of two different wheat straw batches (a) and (b).  $M_w$  is weight average molecular weight.

In both analyzed batches, lower ethanol-to-water ratio resulted in lower cellulose DPs and higher amounts of sample in solution. As shown in Fig. 5, MWDs of wheat straw cellulose after treatment with 20%, 60%, and 90 wt.% ethanol, despite a shift to lower MWs and DP, also revealed an increase in polydispersity with decreasing ethanol content, indicating cellulose depolymerization resulting in a broadened MWD in both batches.

The chemical composition of the fibers was also examined. Depending on the parameter settings, the fibers contained 36.3% to 54.2% glucan, 3.5% to 17.9% xylan, 0% to 0.7% arabinan, 0% to 0.6% galactan, 0% to 0.8% mannan, 0.7% to 3.6% ash, 8% to 16% lignin, and 2.5% to 14.3% extractives, as shown in Fig. 6. At an ethanol-to-water ratio of 20%, most of the xylan was removed, and the amount recovered by extraction increased by a factor of 3 to 4 in comparison to 60%, 90%, and the untreated wheat straw.

Delignification increased in comparison with 90% ethanol and the untreated wheat straw, but it remained at a similar level with the 60% ethanol. The more effective removal of xylan was probably due to its better solubility in solvents with a higher percentage of water. By dissolving most of the xylan during AEO treatment at 20% ethanol, acetyl groups attached to wheat straw xylan were also cleaved, resulting in a dilute acidic solution (Denner 2016, unpublished data). This may have promoted hydrolysis and degradation reactions as indicated by the relatively low DP and broad MWD of cellulose at 20% ethanol. Effective xylan removal led to a better accessibility of the solvent, which may also have contributed to the observed degradation of the wheat straw cellulose.

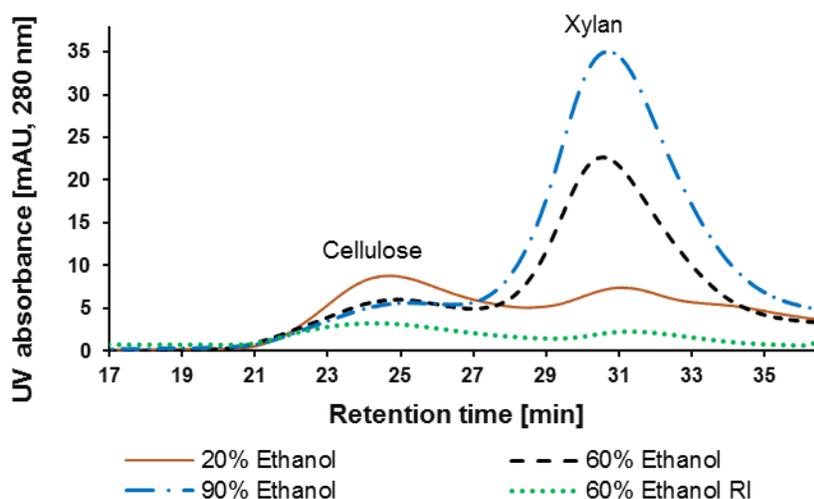


**Fig. 6.** Chemical composition of wheat straw treated by LHW and AEO at an ethanol-to-water ratio of 20%, 60%, and 90% of two different wheat straw batches (a) and (b) and an untreated reference sample

The unusually high percentage of extractives at 20% ethanol may have resulted from condensation of lignin with carbohydrates and/or carbohydrate degradation products such as furfural from xylan, resulting in compounds known as “pseudo lignin” (Aoyama *et al.* 1995; Carvalheiro *et al.* 2009). Such condensation products may first dissolve in the hot extraction solvent, followed by precipitation on the fibers upon cooling, and re-dissolution during extraction with acetone/H<sub>2</sub>O (95/5). However, “pseudo lignin” has also been reported to result in increased Klason lignin contents during dilute acid pretreatment of lignocellulosic biomass (Foston and Ragauskas 2010; Sannigrahi *et al.* 2011). Though

Klason lignin did not increase during AEO treatment at an ethanol-to-water ratio of 20%, the amount of extractives did increase remarkably. It is likely that most of the putative “pseudo lignin” was extracted during acetone/H<sub>2</sub>O (95/5 vol%) extraction, and thus did not significantly affect the Klason lignin.

In Fig. 7, the UV and RI signals of HPSEC of the wheat straws treated with 20%, 60%, and 90 wt.% ethanol are compared. Although cellulose and xylan have no UV absorbance, UV and RI signals co-eluted, suggesting lignin-carbohydrate linkages. This conclusion was supported by the observation that free lignin obtained from the pulping liquor eluted at higher retention times (~36 min, not shown), corresponding to noticeably lower MW (log MW ~1.5) than that of cellulose or xylan.



**Fig. 7.** HPSEC (UV at 280 nm) of wheat straw lignin-carbohydrate complexes after AEO treatment at an ethanol-to-water ratio of 20%, 60%, and 90%. For comparison, the RI signal is also shown for 60% ethanol.

Wet chemical data further showed that the amount of residual lignin and xylan simultaneously decreased with lower ethanol-to-water ratios. This finding is in good agreement with the UV elution profiles in Fig. 2, showing a distinct decrease in absorption at the retention time of xylan. Similar results were found by Hutterer *et al.* (2016), reporting a tight association of residual lignins and low MW xylans in alkaline lye after alkaline extraction of hardwood. Moreover, some UV absorbance co-eluting with cellulose ( $M_p$  24.3 min.) was also detected, suggesting that a few linkages may also exist between lignin and cellulose.

Future research is needed to support the hypothesis that the high amount of extractives, occurring during AEO treatment at low ethanol-to-water ratio, could result from condensation of lignin with carbohydrates and/or carbohydrate degradation products (pseudo lignin). In general, the data provided here may be useful for further development of AEO treatment processes for wheat straw.

## CONCLUSIONS

1. The established dissolution method was suitable for relative comparisons of molecular weight distributions of differently treated wheat straw fibers and hence for obtaining information regarding the severity of combined liquid hot water (LHW) and aqueous ethanol organosolv (AEO) treatments in terms of cellulose degradation.
2. Overall, AEO treatment at a low ethanol-to-water ratio favours efficient removal of xylan from wheat straw, but at the price of a greatly reduced fiber quality in terms of degree of polymerization. Ultraviolet (UV) detection after high performance size-exclusion chromatography (HPSEC) suggested a tight association of residual lignin and xylan, as well as few linkages that may also exist between lignin and cellulose.

## ACKNOWLEDGMENTS

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