## Rheology of Nanocellulose-rich Aqueous Suspensions: A Review

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The flow characteristics of dilute aqueous suspensions of cellulose nanocrystals (CNC), nanofibrillated cellulose (NFC), and related products in dilute aqueous suspensions could be of great importance for many emerging applications. This review article considers publications dealing with the rheology of nanocellulose aqueous suspensions in the absence of matrix materials. In other words, the focus is on systems in which the cellulosic particles themselves - dependent on their morphology and the interactive forces between them - largely govern the observed rheological effects. Substantial progress in understanding rheological phenomena is evident in the large volume of recent publications dealing with such issues including the effects of flow history, stratification of solid and fluid layers during testing, entanglement of nanocellulose particles, and the variation of inter-particle forces by changing the pH or salt concentrations, among other factors. Better quantification of particle shape and particle-to-particle interactions may provide advances in future understanding. Despite the very complex morphology of highly fibrillated cellulosic nanomaterials, progress is being made in understanding their rheology, which supports their usage in applications such as coating, thickening, and 3D printing.

Keywords: Nanocellulose hydrogels; Suspension viscosity; Nanofibrillated cellulose; Cellulose nanocrystals; Bacterial cellulose; Colloidal forces

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## INTRODUCTION

Aqueous suspensions in which the viscous aspects are dominated by extremely small cellulosic materials have attracted increasing interest. A rising pace of related publications provides motivation for the present review article. Emphasis in this article will be placed on systems in which the presence of nanocellulose – which generally includes cellulose nanocrystals (CNC), nanofibrillated cellulose (NFC), and processed bacterial cellulose (BC) – have significant effects on flow phenomena (Nechyporchuk *et al.* 2016). As shown in Fig. 1, a search of the literature showed an accelerating rate of published articles considering related aspects over the last 25 years.



**Fig. 1.** Annual citations for publications dealing with the rheology of aqueous suspensions of nanocellulose [Search terms: Rheology; Cellulose\*; (Nano\* or Microfibril\* or Crystal\* or Whisker\*), and then items wrongly present in the list (about half of them) were removed one by one.]

In the course of searching the literature, it became evident that articles dealing with the effects of nanocellulose on viscoelastic properties of solutions or materials fall into different classes, though the boundaries between such classes are often indistinct. A key point of differentiation is whether or not the viscoelastic effects are dominated by the nanocellulose (as in the present article) or by a polymer matrix, which may be a solution, a molten phase, or solid-like. Aspects of the latter type of system have been considered by others (*e.g.* Zimmermann *et al.* 2004; Khan *et al.* 2010; Moon *et al.* 2011; Ching *et al.* 2016). General aspects of the viscosity of suspensions of particles in viscous media have been considered by Mewis and Wagner (2012). Thus, the effects of adding nanocellulose to non-aqueous mixtures, melts, or already highly viscous phases of polymer will only be briefly considered in the present work, mainly for the sake of pointing out some contrasting behaviors.

Factors that can affect the viscoelastic behavior of aqueous suspensions that contain nanocellulose will be the primary focus of this article. In general, rheology can be regarded as a relatively mature field of study, within which the properties of different compositions can be well understood and often predicted with confidence (Malkin 2017). However, the structures and detailed surface chemistry of nanocellulose specimens are often incompletely known or too complex to describe accurately by a model equation. Therefore, many questions remain regarding the application of rheological principles to these materials. Aspects that will be considered in this review include effects of nanocellulose morphology and interactive forces between the surfaces. It will be emphasized that the rheological attributes of suspensions tend to be governed by both equilibrium and nonequilibrium (history-dependent) effects. Thus, attention will be paid to studies in which the morphological and surface-chemical attributes of nanocellulose in suspension were systematically varied.

## **Consequences of the Rheology of Nanocellulose Suspensions**

The rheological properties of nanocellulose aqueous suspensions can have important consequences during their preparation, processing, and combination with other materials. Rheological properties of suspensions, including the stability of those properties over time, can be of critical importance for various industrial unit operations, such as pumping, mixing, filtering, storage, application, and metering. As indicated in Fig. 2, for instance, the rheological properties are often profoundly affected by the hydrodynamic shear during flow and pumping, and such rheological changes can be expected to affect whatever manufacturing operations are envisioned. As noted recently by Lindström (2017), the pre-shearing of a nanocellulose suspension, leading to momentarily reduced viscosity, may be important for many potential applications.



**Fig. 2.** Schematic representation of delivery system from a supply tank of nanocellulose suspension to its point of use, emphasizing some phenomena to be discussed in this article that tend to change the rheological behavior as a function of shearing and time

Stability with respect to temperature is important for ease of handling and to prevent process upsets when process interruptions occur. Stability of viscous behavior is also very important for maintaining good run conditions. Therefore, rheological measurements are frequently used to characterize nanocellulose characteristics, as well as for monitoring their behavior during their preparation and processing. The type of rheometer and accessories required for measuring rheological properties is dependent on various factors such as relevant shear rate, time scales, temperature, viscosity, sample size, *etc.* It has been documented that the use of serrated surfaces (in plate-plate rheometry) and a vane spindle (for bob in cup geometry) will decrease the wall-slip effects (Mohtaschemi *et al.* 2014a,b; Dimic-Misic *et al.* 2014; Nechyporchuc *et al.* 2015). Such issues are described in more detail later in this article. Table 1 lists publications in which various rheological measurements were recommended as a means of predicting likely outcomes of treatments for the preparation of nanocellulose suspensions. Figure 3 provides schematic views of some of the devices that have been employed in the reported analyses.



**Fig. 3.** Schematic views of some common ways to assess the viscosity of aqueous nanocellulose suspensions: A: Couette-type rheometer with baffles (related to "bucket and vane" devices) (Dimic-Misic *et al.* 2014); B: Extensional viscometer (Dimic-Misic *et al.* 2015a); C: Cone and plate rotational viscometer (Tanaka *et al.* 2014); D: Rolling ball viscometer (Tsvetkov *et al.* 2016)

Figure 4 illustrates alternative systems that utilize either serrated plates or vanes to enable rheological measurements while avoiding wall depletion effects.



Fig. 4. Schematic views of (a) serrated plate system and (b) vane system for evaluation of suspension rheology while minimizing effects due to wall depletion

Table 1.	Publications Suggesting Usage of Rheological Measurements as	; a
Means of	Characterizing Nanocellulose Attributes	

Recommended Measurement	Details	Solids range	Citation (year)
Bucket-vane viscometry	Nanofibrillated cellulose obtained by grinding, with TEMPO-mediated oxidation, was evaluated.	0.25 to 1%	Mohtaschemi <i>et al.</i> 2014a,b
Apparent viscosity	A low "apparent viscosity" (based on flow through slots of different size) was recommended as a criterion for a coating formulation containing NFC	-	Kumar <i>et al.</i> 2016b
Pipe rheometer	Microfibrillated cellulose suspensions were monitored at lab scale.	0.2 to 1.5%	Haavisto <i>et al.</i> 2015
Pipe rheometer & optical coherence	Microfibrillated cellulose suspensions were further tested with pipe rheometry and optical coherence tomography to account for wall slip effects.	0.2 to 1.5%	Haavisto <i>et al.</i> 2015
Rolling ball	Dilute aqueous CNC could be evaluated with just 1 mL of suspension with an automated test.	0.06 to 2%	González-Labrada & Gray 2012
Rotational rheometer (Paar)	Nanofibrillated cellulose quality was monitored using viscoelastic and steady state measurements.	1 to 15%	Gruneberger <i>et al.</i> 2014; Dimic-Misic <i>et al.</i> 2013a,b
Shear viscosity	These authors recommended shear viscosity tests in combination with evaluation of optical and SEM micrographic evaluation.	0.1 to 6%	Pääkkö <i>et al.</i> 2007; Kangas <i>et al.</i> 2014
Other than viscosity	Though these authors considered viscosity test methods, they found reliable results only for turbidity, centrifugation, and light transmittance.	0.01 to 0.2%	Moser <i>et al.</i> 2015

Some of the criteria that have been considered when selecting a test device (as will be noted in later sections) include avoiding slip effects at the wall boundaries, providing a wide enough "gap" in the device to accommodate the suspended solids (including any network structures), and being able to carry out tests with small amounts of suspension.

## Applications Involving Rheology

The rheological properties of nanocellulose suspensions can play a major role in different applications, such as thickening, coating, composites, and 3D printing. Table 2 lists various sources that discuss such potential or present uses of nanocellulose.

Regardless of the application, the rheological properties of any material is of high importance for the handling and use of a material during application and processing, which can occur over a broad range of shear rates. Table 3 shows generally accepted ranges of shear a material might experience during application and use.

Table 2. References Noting Various Applications in which the Rheology of
Nanocellulose Aqueous Suspensions Are Important

Application area	Selected citations (year)
Coatings: paper manufacture	Dimic-Misic et al. 2013a, 2014, 2015a,b; Gruneberger
	et al. 2014; Zhou et al. 2014; Honorato et al. 2015;
	Rautkoski et al. 2015; Salo et al. 2015; Kumar et al.
	2016a,b; Nazari & Bousfield 2016; Xu <i>et al.</i> 2016; Pal
	et al. 2017
Coatings: UV shielding	Chang et al. 2013
Composite formulation	Pääkkö et al. 2007; Yang et al. 2014; Dimic-Misic et al.
	2015b; Guinnaraes <i>et al.</i> 2015; Hoeng <i>et al.</i> 2016;
	Nelson et al. 2016
Cosmetics	Jonas and Farah 1998; loelovich 2014; Nelson et al.
	2016
Drilling fluids evaluation	Li <i>et al.</i> 2015c, 2016
Flow modification	Mohtaschemi et al. 2014b
Food packaging film preparation	Khan <i>et al.</i> 2010; Pereda <i>et al.</i> 2011; Baheti & Militky
	2013; Lindström & Aulin 2014; Yang <i>et al.</i> 2014; El Miri
	et al. 2015; Feng et al. 2015; Hambardzumyan et al.
	2015; Kumar <i>et al.</i> 2016a; Hubbe <i>et al.</i> 2017
Food additive (thickener)	Okiyama et al. 1993; Jonas & Farah 1998; Lowys et al.
	2001; Mihranyan <i>et al.</i> 2007; Jia <i>et al.</i> 2014; Feng <i>et al.</i>
	2015; Li <i>et al.</i> 2015b; Lin <i>et al.</i> 2015; Gomez <i>et al.</i>
	2016; Qiao et al. 2016
Food casings, membranes	Fink et al. 2001
Food emulsion stabilizer	Winuprasith & Suphantharika 2013
Hydrogels	Rudraraju & Wyandt 2005a,b; Frensemeier et al. 2010;
	Arola et al. 2013; Yang et al. 2013; Chau et al. 2015
Medical: drug delivery	Kim and Lee 2010; Peltonen & Hirvonen 2010; Amin et
	<i>al.</i> 2014; loelovich 2014; Chau <i>et al.</i> 2015; Lewis <i>et al.</i>
	2016
Medical: stabilizer & thickener	loelovich 2014
Medical: tissue reconstruction	Kamel 2007; Bhattacharya et al. 2012; Gao et al.
	2016a,b
Medical: wrapping material	Chang et al. 2013; Rees et al. 2015
Papermaking strengthening agent	Vesterinen et al. 2010; Charani et al. 2013a; Dimic-
	Misic <i>et al.</i> 2013b,c, 2016; Lindström & Aulin 2014;
	Naderi <i>et al.</i> 2015b, 2016b
Printed electronics	El Baradai <i>et al.</i> 2016; Hoeng <i>et al.</i> 2017
Printing: photoelectric ink-jet	Tang <i>et al.</i> 2016
Printed substrate for medical	Rees et al. 2015
Printing: three-dimensional	Shao et al. 2015; Sultan et al. 2017; Siqueira et al.
Bula augagangiang: papar manufastura	2017 Mohtanahami at al 2014a
Fulp suspensions, paper manufacture	

Some factors that can strongly influence the rheology of a suspension are the number of particles present and the volume fraction they occupy. A high solids fraction is often desired to reduce material transport and processing costs. Efforts to increase the solids level can benefit from an understanding of the effects of nanocellulose morphology and interactive forces between the nanocellulose surfaces. This is best accomplished by studying the flow characteristics of dilute aqueous suspensions. Other factors of importance are particle size, size distribution, shape, and interactions between particles. The interactions will be discussed in the section dealing with surface chemistry effects.

Types of Operation	Range of Typical Shear Rates (s <sup>-1</sup> )
Storage	0.00001-0.001
Leveling	0.001-1
Mixing	10-500
Pumping	1-1000
Extrusion	100-1000
Dispersion	10 <sup>2</sup> -10 <sup>4</sup>
Brushing	10 <sup>3</sup> - 2 x 10 <sup>4</sup>
Rolling	10 <sup>3</sup> - 4 x 10 <sup>4</sup>
Spraying	10 <sup>4</sup> - 10 <sup>6</sup>
Paper coating	10 <sup>4</sup> - 10 <sup>6</sup>

<b>Table 3.</b> Typical Ranges of Shear Rate for Common industrial Operations (	Shear Rate for Common Industrial Operations (s	')
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## **General Effects of Flow**

As background for later discussion of the rheological effects of nanocellulose in suspensions, selected general references can be recommended. Basic aspects of hydrodynamics, including a discussion of patterns of flow likely to be encountered in industrial applications, can be found in the book by Malkin (2017). The subject of extensional viscosity and related flow phenomena also have been elucidated, though to a limited extent (Petrie 2006). Time-dependent viscoelastic effects, including thixotropy, have been covered in well-known texts (Barnes 1997; Mewis and Wagner 2009, 2012; Puisto et al. 2012b). In addition, much is known about the rheology of suspensions of nonspherical particles (Chinesta and Ausias 2016). Aspects of the rheology and the viscosity of fluids containing nanoparticles also has been reviewed (Wierenga and Phillipse 1998; Mahbubul et al. 2012). Solomon and Spicer (2010) considered the rheological aspects of suspensions of rod-like particles, focusing on effects of aspect ratio and solids content for a wide range of natural and synthetic rod-like materials. They emphasized differences between gels (having a heterogeneous fractal-like structure) and glasses (having homogeneous network structure). Particular attention will be paid here to some nonreversible effects of stresses, strains, and time on the subsequent viscoelastic behavior of suspensions.

## NANOCELLULOSE CHARACTERISTICS RELEVANT TO RHEOLOGY

## Factors to Be Considered

Factors affecting the rheological properties of nanocellulose-containing aqueous suspensions can be regarded as falling into two useful categories. One of the most important is morphology, *i.e.* size and shape of fibrils. Another main point of differentiation is the surface-chemical composition of the solids, which can affect the surface charge. Both the morphology and surface composition can depend on the processes of treatments used to prepare the material. Therefore, a summary of nanocellulose preparation will be given in subsections that follow, with an emphasis on morphology and surface composition. For the sake of completeness, some key findings related to the influence of the viscosity of the suspending medium, in the case of nanocellulose suspensions, also will be reviewed.

Some of the morphological aspects that have received much attention, relative to suspension rheology, can be encompassed by the terms fiber length, fiber diameter, fibrillation, and network structure. Table A (see Appendix) includes such information from

the articles considered in this review. Likewise, aspects of surface chemistry of the nanocellulose can often be summarized by terms such as electrostatic or ionic charge, and hydrophilic or hydrophobic nature. In addition, the tendencies of cellulosic materials to swell in water can involve both morphology and surface chemistry.

The most important classes of nanocellulose, in terms of the volume of recent research publications, can be differentiated primarily by their processing, and secondarily by their biological origin. Research related to cellulose nanocrystals (CNCs) will be considered first, since CNCs tend to be the smallest and simplest of the nanocellulose entities, containing only crystalline domains of cellulose polymer. The main means of their isolation from the starting macroscopic cellulosic material is chemical digestion – most often with concentrated acid solution (Eichhorn 2011; Mariano *et al.* 2014). Alternatively, they can be prepared by oxidization, using ammonium persulfate (Leung *et al.* 2011) or the 2,2,6,6-tetramethylpiperidine-1-oxyl radical (TEMPO) (Hirota *et al.* 2010).



**Fig. 5.** Simplified diagrams to contrast the typical filament widths and shapes of nanocellulose materials that have been considered in various studies of rheological properties. Graphics were patterned after the following sources: A – multiple sources (cellulose nanocrystals); B: Crawford *et al.* 2012 (nanofibrillated cellulose); C: Iwamoto *et al.* 2014 (cellulose nanofibrils); D: Shi *et al.* 2012 (bacterial cellulose)

By contrast, mechanical processing, often accompanied by chemical or enzymatic pretreatment, plays a dominant role in the preparation of highly fibrillated cellulose materials (Siro and Plackett 2010; Lavoine *et al.* 2012; Sandquist 2013). In this article such materials will be referred to generally as either nanofibrillated cellulose (NFC) or microfibrillated cellulose (MFC) (Klemm *et al.* 2011). These labels can be regarded as

synonyms, except that the term "nano" is used by many authors to emphasize a smaller size range. The term cellulose nanofibrils (CNF) (Nechyporchuk *et al.* 2016), which is also sometimes called "cellulose nanofibers," will be used in this article in a narrow sense, referring to suspensions in which most of the fibrils mostly have been completely detached from each other, so there are no branched or network structures present. Exceptions include possible entanglements or agglomeration that is brought about by attractive forces.

Both CNCs and the fibrillated cellulose can be obtained from a wide variety of plant sources, including bacterial cellulose (BC). However, BC has a special status, since some varieties of BC are already in a similar "nano" size range as NFC even when they are first isolated (Moon *et al.* 2011). Figure 5 provides a simplified pictorial view of the main form of nanocellulose to be considered in this article.

## Cellulose Nano-crystals (CNCs)

#### Preparation

As described in review articles, CNCs are most commonly prepared by digestion of cellulose in concentrated sulfuric acid (Araki *et al.* 1998; Gu *et al.* 2013; Mascheroni *et al.* 2016), hydrochloric acid (Gu *et al.* 2013), or other acids (Naderi *et al.* 2016b), sometimes with the addition of enzymes (Chen *et al.* 2012; Anderson *et al.* 2014). As illustrated in Fig. 6, the procedure can be understood based on an envisioned nanostructure of cellulose, in which crystalline regions are interposed by short non-crystalline or damaged regions (Nishiyama *et al.* 2003). Hirota *et al.* (2010) showed that CNC also can be prepared by relatively severe TEMPO-mediated oxidation of regenerated cellulose. Efforts are under way to produce CNC profitably at an industrial scale (Moser *et al.* 2015; Nelson *et al.* 2016). Some typical treatment conditions are 65% H<sub>2</sub>SO<sub>4</sub> at 70 °C with stirring for 20 minutes (Araki *et al.* 1998). The main idea is to cleave and dissolve non-crystalline parts of the cellulose and any remaining hemicellulose, leaving behind just the nanocrystalline elements that are presumed to have been present in the starting material. Common plant sources for production of CNCs include delignified wood, cotton, bacterial cellulose, and even regenerated cellulose (Eichhorn 2011; Mariano *et al.* 2014).



**Fig. 6.** Schematic illustration of preparation of two contrasting types of nanocellulose, starting with native cellulosic material. Digestion of amorphous regions leads to cellulose nanocrystals (CNCs), whereas mechanical fibrillation (often with the assistance of chemical treatments) can yield nanofibrillated cellulose.

## Typical dimensions

Table 4 lists typical dimensions of CNCs, based on the data collected in Table A (see Appendix). Though the dimensions shown can be regarded as typical, a wide range of dimensions is possible, given the many kinds of source materials and the many isolation strategies that have been employed. While the term "diameter" is shown in the table for this general description, it is worth noting that the cross-section of CNC in generally regarded as either rectangular (Eichhorn 2011) or hexagonal. In particular, an 18-chain hexagonal model has been proposed (Cosgrove 2014).

	Wood-derived	Tunicate-derived
Length (µm)	0.1 to 0.3	0.9 to 4
Diameter (nm)	3.5 to 15	16 to 34
Aspect ratio	9 to 50	60 to 120
Selected citations	Lima and Borsali 2004	Lima and Borsali 2004
	Beck-Candanedo et al. 2005	Elazzouzi-Hafraoui et al. 2008
	Eichhorn et al. 2010	Eichhorn <i>et al.</i> 2010
	Boluk <i>et al.</i> 2011	Le Goff et al. 2014

## Factors affecting crystal length

One can find different explanations in the literature to account for both the length and the thickness of CNCs. For instance, it has been proposed that the sizes of the crystals obtained after acid digestion are a close reflection of the dimensions of crystalline domains originally present in the cellulosic source material (Araki 2013). Regarding the length, it has been proposed that the native cellulose within lignified plants (*e.g.* including wood) have quite regular patterns of periodic defects or interruptions in their crystal structure (Araki 2013). Nishiyama et al. (2003) proposed that short polymer chain segments, maybe having approximately five anhydroglucose units, show deviations from the crystalline organization within an elementary fibril. Based on these findings it can be hypothesized that such defects would arise naturally during repeated flexing of a woody plant during its growth, exposure to wind, cycles of moisture change, or effects of thermal stresses (Takahashi et al. 2006; Lucander et al. 2009; Tomczak et al. 2012). As evidence to support the concept that mechanical stresses can cause damage at a molecular level, Joutsimo and Giacomozzi (2015) reported that mechanical stresses encountered by fibers during ordinary industrial processing of kraft fibers affects the fiber nanostructure and can affect the resulting paper properties significantly; fibers prepared to the same specifications under the mechanically gentler conditions of laboratory evaluation exhibited higher strength properties. Another likely explanation is that defects in the cellulose crystal structure are induced by the presence of other cell wall polysaccharides (Cosgrove 2014). Kontturi and Vuorinen (2009) found that CNC particles prepared from never-dried chemical pulps tended to be longer than those prepared from the corresponding dried pulps; it follows that the stresses imposed on the material during drying can induce periodic damage to the crystalline domains.

Some of the longest CNCs, with lengths ranging up to about 4000 nm, have been reported in the case of animal-derived cellulose, as obtained from the protective spines of tunicates (Lima and Borsali 2004; Elazzouzi-Hafraoui *et al.* 2008; Eichhorn *et al.* 2010). Unlike typical plant-derived CNCs, the "whiskers" obtained from tunicates generally show very wide distributions of length (Elazzouzi-Hafraoui *et al.* 2008). This can be tentatively

attributed to the relatively infrequent and irregular occurrence of damaging levels of stress on the material during an ordinary life cycle of the animal.

## Hairy CNCs

While CNCs are ordinarily regarded as having a simple shape, as would be expected for a crystal, recent work by Lenfant *et al.* (2015) and van de Ven and Sheikhi (2016) presented CNCs having protruding macromolecular cellulose chains from the ends of the crystals. This is illustrated schematically in Fig. 7. Instead of using concentrated acid, the cited study employed a solution of meta-periodate, an oxidizing agent. Fibrils at the ends of the crystals were substantiated by transmission electron microscopy. Colloidal stability, which was enhanced at neutral to moderately high pH, was ascribed to the carboxylic acid groups provided by periodate oxidation of the protruding chains. Since protruding chains appear not to have been reported for CNCs produced by hydrolysis with acids or cellulases, it seems likely that these features can be expected only in the absence of cellulose-hydrolyzing agents.



Fig. 7. Schematic view of CNC prepared by periodate oxidation, in which oxidized cellulose chains extend from the ends of the crystals

## **Highly Fibrillated Cellulose Products**

The application of very extensive mechanical shearing of cellulosic materials gives rise to a class of highly fibrillated materials, which generally contain both crystalline and amorphous cellulose. The names microfibrillated cellulose (MFC) and nanofibrillated cellulose (NFC) roughly correspond to different size ranges; however, the demarcation between MFC and NFC can be difficult to distinguish (Kangas *et al.* 2014). The term cellulose nanofibrils (CNF), which would literally mean that the fibrils are completely detached from each other, has become popular when referring to highly fibrillated cellulose preparations (Eichhorn *et al.* 2010); however, the term appears to be often misapplied to highly branched or network-like structures rather than suspensions of individual fibrils.

As a working definition, in the present article the term NFC can be taken to mean that the lengths have been substantially (*e.g.* at least 10 times) reduced compared to the fiber source and the widths or diameters of most of the cellulosic elements are no more than about 100 nm. Interestingly, the definition just stated (intended to define NFC) is substantially broader than the early definition for cellulose microfibrils given by Chanzy

(1990), *i.e.* up to tens of  $\mu$ m in length and 2 to 20 nm in diameter. At the high end, various authors have used the term MFC to designate cellulosic fibers that were nearly their original size, but which had high levels of fibrillation (Haavisto *et al.* 2015; Moser *et al.* 2015; Zhang *et al.* 2015; Schenker *et al.* 2016; Shafiei-Sabet *et al.* 2016). Efforts to bring some order to the classification have been attempted (Kangas *et al.* 2014). The rheological aspects of this kind of cellulose have been recently reviewed (Iotti *et al.* 2011; Naderi and Lindström 2015; Nechyporchuk *et al.* (2016).

Faced with the difficulty of directly measuring the aspect ratio of NFC samples, with their branched and network-like attributes, Varanasi *et al.* (2013) based their estimates on the solids level in aqueous suspension corresponding to detection of gelation (see later discussion).

## Fibrillation means

Details of the behavior of highly fibrillated cellulose products can be expected to depend on the type of mechanical action used for size reduction. Several different types of equipment are in current use to prepare highly fibrillated cellulose at various scales of production. In general terms, it appears that similar results can be achieved using contrasting equipment.

Conventional refining equipment, as used for many years in paper manufacture, can be employed, sometimes using modified refiner plates, to produce highly fibrillated celluloses (Kamel 2007; Chen *et al.* 2016a; Shafiei-Sabet *et al.* 2016). An advantage of such an approach is that industrial-scale equipment already exists and can be regarded as mature technology. On the other hand, there is no assurance that such equipment has an optimal format when the goal is not to prepare the fibers for ordinary papermaking, but to greatly reduce the dimensions of the cellulosic material.

High-pressure homogenizers have been used in several reported studies of the rheology of nanocellulose suspensions (Gouse *et al.* 2004, Kamel *et al.* 2007; Pääkkö *et al.* 2007; Besbes *et al.* 2011a,b; Hassan *et al.* 2011; Liu *et al.* 2011; Shogren *et al.* 2011; Li *et al.* 2012; Zhang *et al.* 2012; Osong *et al.* 2013; Winuprasith and Suphantharika 2013; Benhamou *et al.* 2014; Grueneberger *et al.* 2014; Kekalainen *et al.* 2014a; Lindström and Aulin 2014; Naderi *et al.* 2014a; Chaker and Boufi 2015; Lin *et al.* 2015; Naderi *et al.* 2015a,b; Beaumont *et al.* 2016; Chen *et al.* 2016b; Hellström *et al.* 2016; Hiasa *et al.* 2016; Pääkkönen *et al.* 2016). These devices work by forcing a suspension through a narrow space with abrupt changes in direction. Key variables are the operating pressure and the number of passes. Kekalainen *et al.* (2014a,b; 2015) achieved related effects using an inline homogenizer, where the suspension passed between a rotor and stator with a gap of less than 1 mm between alternating sets of teeth projecting into the high-shear zone.

Naderi *et al.* (2015c) considered a strategy to potentially reduce the energy and improve the outcomes of treatment in a homogenizer. Repeated passes were evaluated as a potential advantageous option in comparison to higher pressure processing with a single pass. Interestingly, these authors observed that films prepared from the highly fibrillated cellulose reached their ultimate strength when using only 40% of the energy required to fully reduce the material to NFC.

Microfluidizers, which involve the collision of opposing streams of suspensions, have also been used to prepare highly fibrillated nanocellulose (Charani *et al.* 2013a,b; Rezayati Charani *et al.* 2013; Naderi *et al.* 2015c, 2016b; Dimic-Misic *et al.* 2016; Samyn and Taheri 2016; Taheri and Samyn 2016). A possible advantage of this approach is reduced wear on the equipment.

Microgrinders are widely used to produce highly fibrillated cellulose, especially under laboratory conditions (Hassan *et al.* 2011; Mohtaschemi *et al.* 2014a; Bettaieb *et al.* 2015). The microgrinding procedure consists of passing a cellulose suspension between pairs of rough ceramic surfaces, usually prepared from high-hardness SiC mineral particles (Mohtaschemi *et al.* 2014a).

## Chemical assistance to fibrillation

The amount of energy required to produce highly fibrillated cellulose products constitutes a major area of concern, especially for those considering the costs of scaling up processes for commercial production (Zimmermann *et al.* 2010; Lindström and Aulin 2014; Moser *et al.* 2015; Naderi *et al.* 2016a; Nelson *et al.* 2016). Various treatments with chemicals or enzymes are under investigation to reduce the energy required.

Treatment of cellulose with the relatively stable free-radical species 2,2,6,6tetramethylpiperidine-1-oxyl radical (TEMPO) in the presence of an oxidizing agent has become a popular route to the production of NFC under reduced energy requirements (Lasseuguette 2008; Lasseuguette et al. 2008; Johnson et al. 2009; Hirota et al. 2010; Besbes et al. 2011a,b; Ishii et al. 2011; Loranger et al. 2012a,b; Mishra et al. 2012; Araki 2013; Benhamou et al. 2014; Fukuzumi et al. 2014; Kekalainen et al. 2014a, 2015; Lindström and Aulin 2014; Mohtaschemi et al. 2014a,b; Nechyporchuk et al. 2014, 2015; Bettaieb et al. 2015; Jowkarderis et al. 2015; Martoia et al. 2015, 2016; Rees et al. 2015; Pääkkönen et al. 2016; Xu et al. 2016). In addition to facilitating the fibrillation of the material, TEMPO-mediated oxidation also imparts a negative charge to the surfaces (at pH values near to or above the pKa value of the carboxylic acids) (Hirota et al. 2010; Isogai et al. 2011; Fukuzumi et al. 2014; Isogai 2015). Because the TEMPO system tends to exclusively oxidize the C6-hydroxyl groups of cellulose, it causes only a moderate reduction of molecular mass (Isogai et al. 2011). It has been estimated that TEMPOmediated oxidation can enable a reduction of energy by a factor of between 24% and 54% when achieving an approximately equivalent level of fibrillation of the cellulose (Delgado-Aguilar et al. 2015).





Other means of establishing carboxylic acid groups at cellulosic surfaces can be used. As indicated in Fig. 8, periodate oxidation, which favors conversion of the C2 and

C3 hydroxyl groups to aldehydes and carboxylic acids, also has been evaluated for enabling the easier production of highly fibrillated celluloses (Kekalainen 2014b). Rees *et al.* (2015) evaluated a combined treatment with TEMPO and periodate. Naderi *et al.* (2014a,b, 2015a,c, 2016a) achieved energy reductions by carboxymethylation of the cellulose before application of high shear to prepare NFC. Again, the effect of increasing the carboxylic acid content at the cellulose surface was to reduce the energy needed to make NFC. Naderi *et al.* (2016b) achieved related effects with phosphorylation as a pretreatment before nanofibrillation. The fore-mentioned strategies, based on imparting a negative charge to cellulose, appear to facilitate easier fibrillation and help to electrostatically stabilize the suspensions (see later).

Another promising strategy for energy reduction consists of the partial degradation of the non-crystalline parts of the cellulose chains by optimized cellulase enzymatic treatment (Pääkkö *et al.* 2007; Hassan *et al.* 2011; Hellström *et al.* 2014, 2016; Martoia *et al.* 2015; Naderi *et al.* 2015b; Beaumont *et al.* 2016; Dimic-Misic *et al.* 2016; Naderi and Lindström 2016; Naderi *et al.* 2016a). Beaumont *et al.* (2016) were able to achieve in one pass of high-pressure homogenation of enzymatically hydrolyzed cellulose what needed up to about 20 passes in the absence of such treatment. Nechyporchuk *et al.* (2014) used a combination of cellulase and TEMPO mediated oxidation to promote the fibrillation of NFC.

Moderately hydrophobic modification of NFC was reported by Missoum *et al.* (2012a), who grafted long aliphatic chains onto the cellulose. Notably, despite an appreciable level of substitution, the modified NFC was still dispersible in water. The cited authors attributed this water-compatibility to a combination of self-association of hydrophobic groups and the persistence of uncovered, hydrophilic areas on the NFC surfaces.

#### Network vs. separated structure of fibrillated celluloses

Micrographs of NFC products most often show highly complex structures that would be better described as networks rather than individual fibrils (Chen *et al.* 2016b; Martiola *et al.* 2016; Naderi *et al.* 2016b). For instance, Shogren *et al.* (2011) described corn cob tissue subjected to two passes of blender action as "networks of microfibrils and larger expanded fibrillar aggregates, while bundles of more separate microfibrils were observed after eight passes". Chen *et al.* (2016b) report a "highly tangled fibril network". Naderi *et al.* (2014a) use the term "severely entangled structure". The high aspect ratio and charged nature of nanofibrillated cellulose gives rise to water-trapping properties. The NFC characteristics trigger a mechanism that constructs a gel and can lead to structure ensemble orientation. Strong electrostatic repellence of the highly charged nanoparticle surfaces and the "immobilization of the trapped interstitial water enable creation of elastic structured zones of fibrillated agglomerates within gel matrix" (Dimic-Misic *et al.* 2016).

Only in exceptional cases have individualized fibrils been reported as being derived from mechanical shearing – usually when accompanied by enzymatic treatment or intensive chemical oxidation (Pääkkö *et al.* 2007; Iwamoto *et al.* 2014; Jowkarderis and van deVen 2014; Kekalainen *et al.* 2015; Li *et al.* 2015a). Based on reported images, typical lengths of the aggregated structures are often in the range of 20 to 1000  $\mu$ m. The widths are often in the range 20 to 500 nm. Thus, although the fibrils that compose typical NFC can be clearly in the "nano" range, the gross structure is typically a lot larger. The fact that such structures are firmly joined together by cellulosic elements needs to be kept

in mind when considering efforts to predict rheological behavior based on measured or estimated dimensions.

Products that are relatively free of branch points and mechanically joined networks will be referred to in this article as cellulose nanofibrils (CNFs). It is notable that the combination of very high aspect ratio, the presence of both amorphous and crystalline cellulose, and the lack of branching or networking is shared by some forms of bacterial cellulose (native or processed), which will be considered next.

## **Bacterial Cellulose (BC)**

Morphological aspects and other details about bacterial cellulose have been reviewed by Jonas and Farah (1998) and by Moon et al. (2011). Based on the number of publications, there has been strong interest in bacterial nanocellulose due its fibrils' extremely high elastic moduli (78 GPa), high crystallinity, and absence of hemicellulose. These attributes enable a wide range of possible utilizations in films and aerogels. Bacterial cellulose nanofibrils (BC), such as the Acetobacter species (Iguchi et al. 2000; Lee and Bismarck 2012), have an advantage of being free from wax, lignin, hemicellulose, and pectin, which are all present in plant-based cellulosic materials (Pommet et al. 2008; Lee and Bismarck 2012). Surface modification of natural fibers with bacteria has been employed to deposit bacterial cellulose onto natural fibers to create hierarchical fiber reinforced nanocomposites (Pommet et al. 2008). When high aspect ratio bacterial cellulose is well disintegrated, its rheological behaviour can resemble that of liquid crystals (see later discussion). This suggests that BC fibrils in suspension, under certain shearing conditions, can become oriented, showing "order-disorder" alignment. Such properties of suspensions can be in turn utilized to make anisotropic films or aerogels. The crystallinity index (Cr. I) values of BC films were found to be around 78%) (Tsalagkas et al. 2015). However, it is difficult to define with precision the dimensions of single BC fibrils, as upon fluidization, a suspension may still contain fibrils that are mechanically bonded as part of larger fibril structures (Pääkkönen et al. 2016).

Table 5 lists relevant publications and their main findings with respect to fibrillar dimensions.

Length (µm)	Fibrillar diameter (nm)	Descriptions	Citation
-	17 to 62	Fibrils	Feng et al. 2015
>>2	60 to 95	Fibrils	Lin <i>et al.</i> 2015
>50	20 to 100	-	Okiyama et al. 1993
-	1 to 37	Fibrils with branches	Pääkonen et al. 2016
1 to 9	60 to 100	Branched network	Paximada et al. 2016

 Table 5. Reported Bacterial Cellulose Dimensions and Descriptions

As a general rule, native bacterial cellulose consists of very high aspect-ratio fibrils. The length of bacterial cellulose fibrils is seldom reported, and published images often fail to show ends of fibrils (Feng *et al.* 2015; Pääkönen *et al.* 2016). Mechanical processing appears especially effective in separating the material laterally into thinner fibrils, while preserving a long length (Lin *et al.* 2015; Paximada *et al.* 2016). Thus, the format that one sees in micrographs is often a tangled loose coil or coils (Paximada *et al.* 2016). Okiyama *et al.* (1993) and Pääkönen *et al.* (2016) used the term "flocs" to describe BC suspensions. Authors have reported relatively high levels of water retention, *i.e.* swelling of bacterial

cellulose, *e.g.* 2 to 4 g/g, relative to other highly fibrillated nanocellulose (Amin *et al.* 2014). These values are comparable to the water retention of xylan-free NFC suspensions (Pääkonen *et al.* 2016). The latter authors estimated an agglomerate diameter equal to 5  $\mu$ m.

## **Contributions from the Viscosity of the Aqueous Medium**

Though nanocellulose is assumed, in this article, to make the major contribution to rheological effects, the viscosity of the suspending medium cannot be ignored, so some points merit review. General background regarding viscous effects in particle-free aqueous systems can be found in available texts (Larson 2005; Malkin 2017). Some factors that can affect the rheology of the medium, and consequently the rheology of the nanocellulose suspension, are discussed in the subsections that follow. Issues related to ionic strength and pH will be deferred to a later section, which focusses on colloidal chemistry effects.

## Temperature

In ordinary aqueous media, increasing temperature tends to decrease the coefficient of viscosity in a smooth, predictable way. The viscosity of pure water decreases markedly as the temperature is raised (Korson *et al.* 1969). That generally implies that water's contribution to the viscosity of a suspension will decrease with increasing temperature. However, Agoda-Tandjawa *et al.* (2010) observed no additional important effect of temperature on the viscosity of a NFC suspension. Such findings are consistent with a dominant effect of the nanocellulose on the measured viscosity, noting that the temperature also can affect such factors as the degree of dispersion of the solids and the flexibility of the fibrils.

## Polyelectrolytes in solution

When considering viscosities of aqueous mixtures, the effects of polyelectrolytes need to be considered. Dissolved polyelectrolytes, when present in aqueous mixtures with nanocellulose, may remain in the water phase either when they have a low adsorption affinity or when the amount exceeds the adsorption capacity of the surfaces. The emphasis in this review is on system in which polyelectrolytes, if present, are not so concentrated as to dominate viscous effects, relative to the effects of the nanocellulose. The incremental effects of such dissolved polyelectrolytes on the solution viscosity can be estimated from the concentration, molecular mass, and the tendency of the polymer to adopt an expanded conformation (Larson 2005; Malkin 2017). In principle, the viscosity characteristics of polyelectrolytes solutions serving as the suspending medium for solids particles, will be related to the observed viscosities of suspensions, but in a complex way, due to particle-solution interactions.

Even when water-soluble polymers are to be present in the final mixture, it sometimes can be advantageous to initially disperse nanocellulose in their absence. Thus, Going *et al.* (2015) studied the dispersion of CNC into poly(vinylpyrrolidone, PVP). They dispersed CNC into water prior to the subsequent addition of methanol as a co-solvent in the polymer matrix, which reduced the viscoelasticity properties of the solution. They attributed this reduction to less agglomeration of the nanocellulose.

In the work by Butchosa and Zhou (2014), CMC was adsorbed on the colloidal NFC, and its effect on the system viscosity was monitored. They reported, at the level of 2.3 wt% CMC, that a fully redispersible NFC was achieved. A pertinent example is

viscosity reduction of NFC solution as a result of xyloglucan adsorption, which was studied by Ahola *et al.* (2008)

Unusual viscosity behavior of CNCs dispersion in polyoxyethylene (PEO) solution was reported by Ben Azouz *et al.* (2012). With increasing addition of CNC, up to 9 wt.%, the viscosity continued to decrease; however, this trend became reversed at higher levels. These observations are in agreement with Cox-Merz rule that will be discussed later in this article.

## Bridging effects of nanocellulose

At the limit of relatively low additions of nanocellulose to solutions of polymers in water, the cellulose nanofibrillar aggregates suspended in a gel-like matrix can sometimes function as a kind of cross-linking agent, linking some of the polymers to each other and building the viscosity. For instance, Yang *et al.* (2014) studied addition of CNC to polyethylene glycol solutions. Strong enhancements in viscosity were observed. Rheological behavior of a 1.0% water solution of hydroxyethyl cellulose mixed with carboxymethyl cellulose (CMC) was studied by Boluk *et al.* (2012). They observed a significant increase in the viscoelasticity and formation of a gel-like solution, upon addition of cellulose nanocrystal (CNC) into the system. Related effects have been widely reported (*e.g.* by Guo and Ding 2006; Vesterinen *et al.* 2010; Boluk *et al.* 2012; Hu *et al.* 2014; Lu *et al.* 2014c; Ahn and Song 2016; Oguzlu *et al.* 2016).

At ranges of polymer concentration sufficient to dominate viscous effects, when adding nanocellulose, it may be more appropriate to refer to the mixture as a nanocomposite (Hubbe *et al.* 2008; Eichhorn *et al.* 2010; Moon *et al.* 2011; Hubbe *et al.* 2017) rather than as an aqueous suspension. The rheological properties of nanocellulose-reinforced polymer matrices have been reviewed elsewhere (Moon *et al.* 2011; Ching *et al.* 2016).

## PHYSICAL ASPECTS OF SUSPENSION VISCOSITY

## **Rheology of Particle Suspensions**

Factors that can affect the viscosity and viscoelasticity of suspensions of nanomaterials generally can be assigned to the categories "physical" and "chemical". The physical aspects, which will be considered in this section, include sizes, shapes, mechanical properties of materials, flow, formation of flocs, certain yield-point issues, and "wall slip" effects, *etc.* Many of these physical aspects have general applicability to a broad range of mixtures, so it makes sense to consider them first. Details about the non-hydrodynamic forces of interaction between surfaces tend to be dominated by chemistry – and these will be considered in a later section.

## Einstein's theory

Einstein derived a fundamental relationship to predict the viscosity of Newtonian fluids that contain neutrally-buoyant, non-interacting spheres (Einstein 1911; Mueller *et al.* 2010). The presence of the spheres was predicted to increase the viscosity, relative to that of the pure liquid ( $\eta_o$ ), according to Mueller *et al.* (2010),

$$\eta = \eta_o \left( 1 + B_0 \phi \right) \tag{1}$$

where  $\phi$  is the volume fraction of the spheres (assumed to be of equal size). According to Einstein, the coefficient  $B_0$  should be 5/2, but others have assigned values between 1.5 and 5, based on other sets of assumptions. To be able to apply the equation beyond the highly dilute range, subsequent workers have derived higher-order terms. For instance, the viscosity can be expressed as,

$$\eta = \eta_o \left( 1 + 5/2 \ \phi + B_1 \ \phi^2 \right) \tag{2}$$

where the coefficient  $B_1$  has been assigned values between 2.5 and 7.35 (Mueller *et al.* 2010), depending on whether one accounts for effects of Brownian motion and inertia. Alternatively, to extend the range of accuracy up to about 4% by volume, Brinkman (1952) proposed the following equation (Mahbubul *et al.* 2012):

 $\eta = \eta_0 \, (1 - \phi)^{2.5} \tag{3}$ 

Experimental data are often fitted to semi-empirical equations of the form (Krieger 1959; Chen *et al.* 2007; Mahbubul *et al.* 2012),

$$\eta_{\rm r} = \left(1 - \frac{\phi}{\phi_m}\right)^{-B\phi_m} \tag{4}$$

where the relative viscosity  $\eta_r$  is the ratio between the viscosity of the mixture and that of the suspending liquid, *B* is the Einstein coefficient, and  $\phi_m$  is the maximum packing density volume fraction (equal to about 0.64 for equal spheres). Similar models have been employed for nanocellulose materials by considering them as elongated particles (Nechyporchuk *et al.* 2016). A relationship similar to Eq. 4 was derived by Dougherty (1959) and Krieger (1972), except that the exponent was  $-[\eta] \phi_m$ . A yet simpler form, in which the exponent was given as -2, was reported by Maron and Pierce (1956) and Quemada (1982).

Several researchers have employed relationships such as that shown in Eq. 5 to report their results (see Table A). In other words, the measured viscosity can be reported to be dependent on the volume concentration raised to an empirically determined coefficient.

$$\eta_{\rm r} = (\emptyset)^n \tag{5}$$

As noted in the review by Klemm *et al.* (2011), the storage modulus of nanocellulose suspensions is often found to follow an analogous relationship,

$$G' \propto (\emptyset)^n$$
 (6)

in which the exponent should be 2.25 according to scaling theory (de Gennes 1979). The exponent has been found to be about 3 for volume concentrations above 0.5% (Klemm *et al.* 2011).

Rheological behavior of nanocellulose suspensions is usually determined by fitting of experimental data of flow curves. The viscosity is commonly observed to decrease with increasing shearing as a power law according to the Oswald de-Waele empirical model; this relationship can be expressed as Eq. 7 (Lasseuguette *et al.* 2008; Divoux *et al.* 2013),

 $\eta = k \gamma^{-n}$ 

(7)

where k and n are the flow index and the power-law exponent, respectively. The parameter  $\gamma$  is the shear rate, usually expressed in s<sup>-1</sup>. An exponent value of zero indicates a Newtonian fluid, and n > 0 pseudo-plastic (shear thinning) behavior (Dimic-Misic *et al.* 2014).

Nanocellulose gels prepared from NFC have shown agreement with Eq. 7, where n-values reveal the gel strength (Naderi *et al.* 2014a; 2016; Lasseuguette *et al.* 2008). Gel strength is generally characterized by a large degree of independence of elastic moduli (elastic modulus (G') and loss modulus (G'') with respect to angular frequency ( $\varpi$ ), which has more impact at higher frequencies, and higher flocculation within suspension can be revealed with frequency-dependent elastic moduli responses. Pääkönen *et al.* (2016) found that the shear modulus and viscosity of NFC suspensions decreased with the removal of xylan, a water-binding polysaccharide of hemicellulose, unevenly distributed on the fibril's surface. Since the removal of xylan also decreased the water retention, it was proposed that a swollen sheath of xylan accounted for the shear modulus of the gel structures.

#### Aspect ratio of elongated particles

Subsequent investigators have extended Einstein's predictions to non-spherical particles including ellipsoids (Marchessault *et al.* 1961; Mueller *et al.* 2010; Mewis and Wagner 2012) and stiff rods (Berry and Russel 1987; Dhont and Briels 2003; Boluk *et al.* 2011; Wu *et al.* 2017). The aspect ratio, *i.e.* the ratio of length (*l*) to diameter (*d*), has been shown to be a key variable governing the rheology of such suspensions. The aspect ratio of fiber suspensions has been predicted to have significant effects on suspension viscosity, even at sufficient dilution such that viscous effects are not affected by inter-particle collisions (Simha 1940; Araki *et al.* 1998).

For highly dilute suspensions of rods, in systems where the rate of particle Brownian rotation is fast enough to preclude significant alignment of the particles, the intrinsic viscosity can be estimated from (Onsager 1932; Weirenga and Philipse 1998),

$$[\eta] = \frac{4}{15} \frac{r^2}{\ln r}$$
(8)

where *r* stands for the aspect ratio L/d.

At higher solids levels, effects of interactions between adjacent particles become significant, and it has been found that the following expression can be used to predict the relative viscosity,  $\eta/\eta_0$  (Weirenga and Philipse 1998):

$$\eta/\eta_{\rm o} = 1 + \frac{\pi}{90\ln r} \nu L^3 + \frac{\pi}{30\beta\ln r} (\nu L^3)^2 \tag{9}$$

The parameter *L* is the scale length, *v* is number density of rods, and  $\beta$  is a measure of the degree of freedom from "tube constraints" that prevent rodlike particles from turning around due to crowding by surrounding particles. Sato and Teramoto (1991) added a further term using a mean-field approach under the assumption of no entanglement:

$$\eta/\eta_{\rm o} = 1 + \frac{\pi}{90\ln r} \nu L^3 + \frac{\pi}{30\ln r} \times \left[1 + \frac{\nu L^3}{\sqrt{\beta} (1 - \epsilon \nu D L^2)}\right]^2 \nu L^3 \tag{10}$$

where D is the rotational diffusion coefficient.

To relate nanocellulose aspect ratios to observed viscosities, the  $\phi_m$  term in Eq. 4 can be estimated from the gel crowding factor (see later discussion) (Celzard *et al.* 2009). Under the assumption that the alignment of the nanocellulose particles remains chaotic, the definition of the gel crowding factor gives the following,

$$\phi_{\rm m} = 64/[(2/3) (L/d)^2] = 96 (L/d)^{-2} \tag{11}$$

A key challenge in applying such an approach lies in the strong tendency of elongated particles to become aligned when exposed to flow, which is another topic to be discussed in this article. The take-away message at this point is that although Eqs. 4 and 11 appear to have suitable characteristics to enable one to estimate the effects of aspect ratio on suspension viscosity, as a function of volume fraction, such a calculation would require bold assumptions regarding the maximum packing density under the applied hydrodynamic conditions.

Chen *et al.* (2017) found that in a system containing both polyvinyl alcohol (PVOH) and CNC, the value of  $\phi_m$  calculated based on CNC alone was 17.3%, which was much lower than the expected value based on percolation theory. The cited authors proposed that the downward shift was attributable to the space occupied by the loops and tails of adsorbed PVOH. The authors did not observe a corresponding downward shift of  $\phi_m$  in the presence of CMC, and they attributed this to a general lack of adsorption of the CMC on the CNC under the conditions of testing. Another possibility is that the relatively stiff nature of CMC at low ionic strength favors flat adsorption onto cellulosic surfaces (Ueno *et al.* 2007).

Xu *et al.* (2013) define a related quantity  $\phi_c$ , which they identified with the "onset of perculation". This quantity was predicted to follow the relationship:

$$\phi_{\rm c} = 0.7(d/L) \tag{12}$$

Hill (2008) suggested that a somewhat higher coefficient than 0.7 may be more accurate. Moberg *et al.* (2017) evaluated values of  $\phi_c$  for a series of CNC and NFC suspensions that had been prepared with different aspect ratios and with sufficient negative surfaces charges to achieve excellent dispersion of the particles. Values of  $\phi_c$  were found to range from 0.31 to 0.36 for two NFC samples. Higher values of  $\phi_c$  in the range 0.75 to 1.92 were determined for various CNC preparations. It is tentatively proposed that the difference can be attributed to the relatively straight nature of typical CNC particles, compared to the curled shapes that are often assumed by NFCs. A non-straight shape in suspension implies a much lower end-to-end distance (Wierenga and Philipse 1998).

#### Flexibility

Although real fibers are flexible, many of the derivations related to the viscosity of suspensions have, for simplicity, assumed rigid rods. Ishii *et al.* (2011) found that the flexibility of nanocellulose particles (NFC or CNC) made an important contribution to their rheological behavior beyond the yield point, *i.e.* flow initiation of suspensions. The length of nanocellulose fibrils and surface charge affect flocculation, with shorter fibrils generally producing smaller agglomerates, and lower surface charge producing higher flocculation and/or agglomeration for the different nanocellulose systems depend on the solids content, with obvious strain dependence/hardening for highly flocculated MFC suspensions (Fall *et al.* 2011).

Switzer and Klingenberg (2003) considered a model that incorporated the bending of fibers. Flexibility generally reduces the observed rigidity of a suspension, compared to predictions based on rigid particles that are otherwise identical (Férec and Ausias 2015). Similarly, swelling of nanocellulose fibrils can reduce the friction between fibrils in a suspension, thus allowing their movement upon application of shear.

Batches of NCF that are produced with chemical treatment typically have higher surface charge and are generally more water-swollen and flexible than low-charged MFC produced by mechanical action alone. They therefore create less friction while in shearing conditions; as a consequence they tend to exhibit more pronounced decreases in viscosity parameters as a consequence of flow (Dimic-Misic *et al.* 2013b,c). Shear-thinning effects tend to be more pronounced, and the onset of shear thinning generally starts at lower applied shear stress (Keshtkar *et al.* 2009).

Iwamoto *et al.* (2014) were better able to account for the viscosity of NFC suspensions by assuming that flexibility made an important contribution. However, Tanaka *et al.* (2015) were unable to detect any significant contribution of fiber flexibility to the intrinsic viscosities of CNC or NFC suspensions. Thus, it would appear that the flexibility mainly affects systems in which nanocellulose entities are mutually interacting in flow, but maybe not so much when they are widely separated from each other.

## Lubrication effects

Friction between contacting surfaces is believed to play an important role with respect to the elastic modulus and other strength characteristics of entangled fibrillar material (Lowys *et al.* 2001). According to Mewis and Wagner (2009), surface roughness can decrease frictional effects by preventing the close approach of the opposing surfaces. But on the other hand, roughness also can impede the sliding of one surface relative to the other, so the net effect may be hard to predict. Gallier *et al.* (2014) conducted simulations and showed that surface roughness and inter-particle friction can be expected to contribute significantly to the flocculation within the suspensions and also affect its rheological properties.

Lubrication effects were considered by Bououa *et al.* (2016a,b). The idea is that liquid medium can tend to hold solid surfaces apart from each other, thereby delaying contact and diminishing the development of frictional forces. As shown by Brenner (1974), such hydrodynamic interactions are expected to significantly affect rheological behavior. Under static or low shear conditions, beyond the yield point, the presence of gels – including nanocellulose suspensions of sufficiently high solids content – can exhibit diverse rheological behavior, depending on the surface charge and the water binding property of fibrils. In the case of high aspect ratio NFC, the application of strain under low shear (beyond the yield point) for continued periods can lead to structure ensemble orientation. The strong mutual electrostatic repulsion of the highly charge nanoparticles and the immobilization of the trapped interstitial water act together to create highly elastic structured zones, that are manifested as rheopectic behavior, *i.e.* a time-dependent increase in viscosity (Dimic-Misic *et al.* 2016).

## **Quantification of Resistance to Flow**

Viscometers and rheometers serve various functions in science and in process monitoring. Rotational viscometers measure viscosity at fixed rotational speeds by driving a spindle immersed in the test fluid. By contrast, a rheometer is a device used for measuring the rheological properties over a varied and extended range of conditions. A rheometer can measure both the viscosity and viscoelastic properties of fluids, semi-fluids and solids. It can provide information on the following rheological properties:

- Viscosity as a function of shear rate, shear stress, time, or temperature
- Viscoelastic properties, such as storage (elastic) and loss (viscous) modulus with respect to time, temperature, frequency, and stress/strain
- Transient response-creep and recovery, relaxation modulus

There are two main types of rheometers: controlled strain rheometers and controlled stress rheometers. Controlled strain rheometers apply rotation and measure torque. Controlled stress rheometers apply torque and measure strain. The advantages of a controlled strain rheometer are that the rheological properties of stiff materials and solids can be measured, measurements can be made over a greater dynamic range, and better normal force and dynamic measurements can be made since sample strain is controlled. The advantage of a controlled stress rheometer is that the sample is not forced to move before measurement, enabling weak forces of interaction to be detected. Also, its mechanical design is simpler, and through software control, such devices can mimic controlled strain rheometers. Modern equipment often can perform both controlled-strain and controlled-stress analyses.

Rotational viscometers and rheometers are based in principle on the Searle method of measurement, in which a geometry of known surface area (bob, couette, plate) is in contact with a fluid sample that rests between the geometry and an adjoining surface. The device starts from rest and begins spinning at a preset rotational speed (shear rate). The spinning of the geometry is resisted (shear stress) by the fluid sample. This viscous drag results in a torque value, which can be measured mechanically. The viscosity is calculated from the torque measurement and shear rate. The shear rate is calculated from rotational speed and gap distance between the fixed surface and geometry. In addition to reporting viscosity at a single shear rate, rheometers enable continuous measurements under increasing and decreasing rates of shear to enable a rheogram to be produced. Rheograms are helpful in predicting the flow behavior of materials under several orders of shear and enable the shear thinning and flow recovery of materials to be examined.

## Wall Depletion Effects

It is well known that, in simple shear flow, particles and macromolecules will tend to become depleted at the solid boundaries of flow (Chow *et al.* 1994; Barnes 1995; Mewis and Wagner 2012; Mosse *et al.* 2012). For instance, such "wall depletion" has been considered to be a serious issue by those wishing to quantify the rheology of macroscopic fiber suspensions (Bennington *et al.* 1990; Derakhshandeh *et al.* 2011; Moss *et al.* 2012). Figure 9 provides a schematic illustration, assuming couette-type (co-axial cylinder) flow under laminar conditions. Related observations have been reported in the case of nanocellulose suspensions (Saarikoski *et al.* 2012; Mohtaschemi *et al.* 2014b; Nechyporchuk *et al.* 2014, 2015; Saarinen *et al.* 2014; Kumar *et al.* 2016b; Naderi and Lindström 2016; Nazari *et al.* 2016). A variety of explanations for wall depletion have been advanced, and the situation can be summarized by noting that a relatively clear layer near the wall will result in a lower energy state during laminar shearing, *i.e.* an energetically favorable situation. Slippage effects at the walls of cylindrical-type viscometer devices often can be overcome, at least within certain ranges of experimentation, by installation of vanes or by roughening the walls (Bennington *et al.* 1990; Barnes 1995; Swerin 1998;

Mosse *et al.* 2012; Mohtaschemi *et al.* 2014b; Nechyporchuk *et al.* 2014, 2015; Naderi and Lindström 2016).



**Fig. 9.** Schematic illustration of depletion of suspended material at the walls (wall slip) when suspensions are exposed to laminar shear flow, especially when the cylinder surfaces are smooth and there are no vanes extending into the annular region

Avoiding apparent wall-slip, or more precisely solids depletion at the sample-wall interface, in such systems requires use of profiled surfaces or specific measuring geometry, *e.g.* a serrated surface in plate-plate geometry and a vane-in-cup spindle in cylindrical geometry (Mosse *et al.* 2012; Mohtaschemi *et al.* 2014a,b). During rheological measurements complicating interparticle interactions in the system are accompanied by those at the particle-geometry interfaces, inducing further instrumental limitations.

Rheological investigations of highly fibrillated cellulose systems have generally followed the classical demand for industrial applications, *i.e.* low to high shear viscosity and structure recovery. Such measurements may be well suited for the paper and board industry, for example, but for some applications extended low shear conditions are necessary, where it is favorable that the gel properties are preserved. For these applications where applied stress is necessarily below the yield stress, any required dewatering must occur within the initially linear viscoelastic region (LVE). Apparent wall-slip is one of the major challenging effects when measuring rheology of these materials, where the depletion of dispersed particles from the contact region with the measurement geometry walls leaves a liquid layer with dramatically lower viscosity than the bulk viscosity (Nechyporchuc *et al.* 2015; Nazari *et al.* 2016; Puisto *et al.* 2012a).

The magnitude of applied shear on fibrillar hydrogels in bob in cup geometry, without adopting the vane, was found to induce changes in floc structure and a strong wallslip effect (Karppinen *et al.* 2012; Martoia *et al.* 2015), causing phase separation tendency and influencing the thixotropic properties (Dimic-Misic *et al.* 2015b; Puisto *et al.* 2012a,b; Nazari *et al.* 2016; Buscall 2010). The term bob in cup implies that a cylindrical solid rotor, which may have a flat or shallow pointed end, is rotating within a cylindrical cup, often with a narrow annular space. The effects of the electrosteric properties of suspension constituents, temperature of the mixture, and the consistency on the rheological properties were also studied. It was unsurprisingly observed that different systems displayed different rheological behavior. Such findings make it possible in principle to use the technique to probe these properties, albeit in a state requiring deconvolution of the multiple effects described above (Lasseuguette *et al.* 2008; Fall *et al.* 2011; Dimic-Misic *et al.* 2013b,c; Tanaka *et al.* 2014).

The fact that modifications of ordinary rheology-measuring devices often are needed to assess the effects of structures present in nanocellulose suspensions prompts a question of whether or not the resulting test data represent situations of practical interest in industrial applications. It is proposed here that the presence of highly uniform suspensions of nanocellulose, especially at solids levels where it is possible for cellulosic structures to fill the whole space, may be the exception rather than the rule. It is proposed that many industrially important processes will themselves be subject to the effects of wall depletion.

## **Shear Banding**

The term "banding" refers to the development of periodic thickened regions and depleted regions relative to the axis of a couette-type viscometer apparatus (Ovarlez *et al.* 2009). It appears that the periodicity is related to the phenomenon of Taylor vortices (see Fig. 10), which develop when inertial effects start to become large enough to disrupt the purely laminar flow prevailing at lower velocities (Marcus 1984; Mohtaschemi *et al.* 2014b). According to Overlez *et al.* (2009) the phenomenon has its roots in a competition between floc destruction due to flow and floc building and strengthening over the course of time. Such a dynamic situation favors the segregation of relatively strong flocculated network fragments, separated by areas in which the solids are either depleted or more dispersed into individual particles.

Several authors have reported band formation when nanocellulose suspensions have been evaluated in the gap between a rotating cylinder and a stationary cylinder (Karppinen *et al.* 2012; Mohtaschemi *et al.* 2014b; Nechyporchuk *et al.* 2014; Saarinen *et al.* 2014; Dimic-Misic *et al.* 2015c; Martiola *et al.* 2015). However, due to lower centripetal force, there should be less chances of shear band formation at relatively lower speed of rotating cylinders.



**Fig. 10.** Schematic diagram of couette transitional flow in a rotating viscometer, illustrating the development of Taylor vortices, and the further tendency for formation of bands enriched with suspended particles

MFC and NFC suspensions are thixotropic and prone to form areas of shear banding within the gel suspension. The fibrils of MFC and NFC have both crystalline and amorphous regions (Usov *et al.* 2015), which both play a role in low-shear induced structuration, as was addressed in previous publications (Dimic-Misic *et al.* 2015b).

When these fibrillar suspensions are exposed to ultralow shearing for a prolonged time, an observed rheopectic response suggests the development of fibril-fibril collective structure arising from the axially symmetric shear that leads to fibril alignment (Dimic-Misic *et al.* 2014; Usov *et al.* 2015). Depending on the fibril charge and aspect ratio, this alignment can result in the conditions ripe for structure condensation (Dalpke and Kerekes 2005; Naderi *et al.* 2016a; Dimic-Misic *et al.* 2013c).

Although a vane spindle in cup geometry decreases the effect of apparent wall-slip, shear banding may be present between regions of different stress. This is a manifestation of the thixotropic nature of such systems, *i.e.* the viscosity depends on the time of application of different levels of shear. The described conditions can lead to the formation of intra-structural regions of different viscosity and thus flow properties, which, when having in mind the highly crystalline nature of the fundamental elements in NFC or MFC, act to as a precursor for aligned planar structures (Martoia *et al.* 2015; Nechyporchuk *et al.* 2014, Nazari *et al.* 2016).

In nanocellulose suspensions it appears that the tendency of band formation can be enhanced by both the tendency for alignment in flow and the tendency for formation of entangled structures. Dimic-Misic *et al.* (2015c) proposed that the essentially onedimensional NFC becomes structured as a two-dimensional band of twisted fibrils under the prolonged influence of low shear that is below the yield point.

## Viscosity vs. Solids Content

Because of such phenomena as lubrication effects, entanglements, irregular shapes, and fiber flexibility, among others, the relationship between measured viscosity and nanocellulose solids content is likely to be irregular, not necessarily conforming to versions of Eqs. 1 through 12. Table 6, which provides a subset of data from Table A, shows that a range of different values have been observed for the exponent in Eq. 5.

Under flow conditions, an increase of shear rate tends to enhance both the aggregation and fragmentation of MFC (Saarikoski *et al.* 2012; Saarinen *et al.* 2014). In the case of NFC, increased shear rate results in deformation of the predominantly gel-like structure, resulting in flow curves showing hysteresis and thixotropic behavior (Illa *et al.* 2013; Puisto *et al.* 2012a,b). In complex colloid systems, such as suspensions of NFC or MFC, the final equilibrium state is governed by a number of parameters describing hydrodynamic shear stress that distorts or pulls structures apart, which are often incorporated into the modeling of thixotropy (Coussot *et al.* 2002, Divoux *et al.* 2013, Dullaert and Mewis 2006; Hill 2008; Mewis and Wagner 2009).

Inspection of Table 6 shows, first of all, that the value of n determined in different studies of nanocellulose suspensions varied widely. Many studies reported values of n in the range of about 1.5 to 6. Certain studies reported much lower values (Mihranyan *et al.* 2007; Lu *et al.* 2014b; Moberg *et al.* 2014; Honorato *et al.* 2015; Ahn and Song 2016), and the reason for this difference is not readily apparent. The most interesting results were those of Tatusmi (2007) who showed, under the same conditions of testing, that tunicate-derived CNC, having a very high aspect ratio, yielded a much higher value of n in comparison to more usual CNC particles in suspension.

# **Table 6.** Reported Exponents\* for Viscosity-Solids Results for AqueousSuspensions of Nanocellulose

Cellulose type	Exponent	Literature reference
Cellulose nanocrystals (CNC)	0.405 to 0.744	Ahn & Song 2016
	0.63 to 0.80	Lu <i>et al.</i> 2014b
	1.9 to 3.4	Lu <i>et al.</i> 2014c
	4	Tatsumi 2007
Tunicate-derived CNC	7.5	Tatsumi 2007
	1.3 to 1.5	Besbes <i>et al.</i> 2011b
	0.22 to 0.85	Honorato et al. 2015
	2 to 6	Lasseuguette et al. 2008
Nanofibrillated cellulose (NFC)	2 to 2.4	Naderi <i>et al.</i> 2014b
	2.1	Quennouz <i>et al.</i> 2016
	3.2 to 3.7	Shogren <i>et al.</i> 2011
	1.9	Tanaka <i>et al.</i> 2015
	2.58	Agoda-Tandjawa <i>et al.</i> 2010
Microfibrillated cellulose	0.3 to 0.45	Moberg et al. 2014
	3.2	Shafiei-Sabet <i>et al.</i> 2016
Cellulose microfibrils	4.52	Jowkarderis & van de Ven 2015
(not branched or networks)	3	Pääkkö <i>et al.</i> 2007
	1	Mihranyan <i>et al.</i> 2007
Bacterial cellulose (fibrillated)	1	Mihranyan <i>et al.</i> 2007
(BC)	3	Tatsumi 2007
	3.2 to 3.5	Veen <i>et al.</i> 2015
Spherical cellulose	2.4	Beaumont et al. 2016

\* Exponents refer to the following equation:  $\eta_{t} = (\emptyset)^{n}$ 

To provide some additional insight into the value of n, data collected from 40 studies considered in the present review are compiled in Fig. 11. In each case, the data correspond to the viscosities (in Pa·s) *vs.* percent solids, evaluated at a shear rate of 1 s<sup>-1</sup> in an aqueous solution having water-like viscosity (not dominated by polyelectrolytes). As shown in the graph, MFC and NFC suspensions (represented by red diamonds) generally exhibited much higher viscosity, at a given solids level, than the CNC suspensions (shown with dark blue squares. This difference is understandable in terms of the much higher aspect ratio of typical highly fibrillated samples, compared to CNC. The bacterial cellulose results (green circles), of which there were only a few data sets reported in the specified manner, generally fell within the range occupied by the highly fibrillated cellulose suspensions. Another point of reference, when considering Fig. 11, is the viscosity of pure water, which can account for the fact that the most dilute suspensions of CNC did not show viscosity values below about 0.001 Pa·s.



**Fig. 11.** Representation of viscosity-solids data from 40 studies for 1% solids nanocellulose suspensions, under the conditions of a shear rate of 1 s<sup>-1</sup> and water-like viscosity of the aqueous solution

It is worth noting that the results corresponding to CNC appeared to follow two contrasting "tracks" in the solids range between about 0.5% and 5%. Two factors appear to be responsible for this separation of the data. On the one hand, relatively high viscosity of CNC suspensions at low shear rates has been observed in studies involving CNCs having relatively high aspect ratio (Lu *et al.* 2014a; Tang *et al.* 2014; Wu *et al.* 2014). Other authors reported relatively high low-shear-rate viscosities of CNC suspensions when the aqueous conditions favored the presence of net attractive forces between the cellulosic surfaces, leading to the development of structures (Li *et al.* 2015b; Lewis *et al.* 2016). The gels have inherently high viscosity at the start of shearing, but once the shearing has started, their viscosities drop. Also, if shear rate is constant, (low shear rate for longer periods of time) due to the thixotropic properties, their transient viscosity slowly decreases, or it increases in the case of longer fibrils. The various systems represented in Fig. 11 also would have involved a wide range of ionic strengths, surface charges, and other details of experimentation.

The trend lines at the upper left of the figure represent three possible values of the exponent in Eq. 5. Note that the middle slope, with n = 5/2, represents the trend predicted by Einstein for non-interacting, equal spheres at very low concentration. The steepest slope corresponds to the maximum slope discussed by Mueller *et al.* (2010). The lower slope, shown here for comparison, represents an exponent of 1.

The high viscosity values at low suspension solids and broad variability between reported values emphasizes two of the greatest challenges for advancing the application and use of these materials in commercial applications. The broad variability causes concern for product consistency, and high viscosity values at low suspension solids imply high costs for pumping and shipping.

## **Particle Interactions in Flow**

#### Orientation in flow

For low consistency and non-interacting solid suspensions, particles will have a random arrangement due to Brownian motion of the particles. However, if the particles are interacting, or at relatively high solids concentrations, the particles can aggregate, become aligned, or form or network structure, even forming gel structures having yield behavior, meaning that the viscosity becomes infinite as the shear rate approaches zero. Flow can influence each of these phenomena.

When suspensions of elongated particles are exposed to flow, several related phenomena can occur, including preferential orientation, shear-thinning, and the formation of flocs or entangled groups of fibers. Such phenomena are well known for suspensions of macroscopic fibers (Rahnama *et al.* 1995; Cui and Grace 2007; Férec *et al.* 2009; Phan-Thien 2016). For instance, a tendency for cellulosic fibers to become oriented in the direction of flow, which is often perpendicular to the shear fields during laminar flow, has been observed for papermaking pulp fibers (Mason 1954). Alignment in shear flow is brought about by the fact that each half-cycle of shear-induced rotation of an elongated fiber involves a speeding up and a slowing down, where the slowest turning coincides with the flow-aligned condition (Phan-Thien 2016). These phenomena have been carefully studied in laminar shear flow regimes, within which it is possible to precisely predict the rotational, "tumbling" motions of individual fibers (Mewis and Wagner 2012). Berry and Russel (1987) predict that the aligning effects of flow can be reinforced by Brownian motion. Pryamitsyn and Ganesan (2008) predicted a screening effect of Brownian motion, which interacted with the effects of shear in suspensions of rods.

More recently, many authors have reported evidence of orientation of nanocellulose suspensions exposed to laminar shear (Orts *et al.* 1995, 1998; Ebeling *et al.* 1999; Bercea and Navard 2000; Noroozi *et al.* 2014; Hakansson *et al.* 2016). Ebeling *et al.* (1999), who used small angle synchrotron X-ray scattering, found that a shear rate of  $5 \text{ s}^{-1}$  or higher was sufficient to orient CNCs in a sheared suspension. At lower values of shear rate there was some alignment of larger clusters of CNCs, acting as a group, but such clusters were dispersed by intermediate shear, and the CNCs were oriented as individual particles in the higher shear range.

## Shear-thinning

Mongruel and Cloitre (1999) and Switzer and Klingenberg (2003) established that shear thinning behavior, in the case of suspensions of macroscopic fibers, could be attributed to the break-up of clusters and that Newtonian flow behavior was observed at shear rates sufficient to prevent the existence of such clusters. Shear thinning behavior has been widely reported also in the case of nanocellulosic suspensions. Many such cases are listed in Table 7. Based on such reports it is clear that shear-thinning can be regarded as a characteristic feature of nanocellulose suspensions, if the solids content is high enough.

Dartiala	Citations
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type	
CNC	Araki et al. 1998; Shafeiei-Sabet et al. 2012, 2013; Chen et al. 2013a; Zhou et al.
	2014; El Miri <i>et al.</i> 2015; Qi <i>et al.</i> 2015; Ahn and Song 2016
NFC	Gousse et al 2004; Lasseuguette et al. 2008; Besbes et al. 2011b; Bhattacharya
	et al. 2012; Crawford et al. 2012; Loranger et al. 2012b; Arola et al. 2013; Dimic-
	Misic et al. 2013c; Bettaieb et al. 2015; Chaker and Boufi 2015; Honorato et al.
	2015; Martoia et al. 2015, 2016; Beaumont et al. 2016; Ferrer et al. 2016; Nazari
	et al. 2016; Quennouz et al. 2016; Zhu et al. 2017
MFC	Agoda-Tandjawa et al. 2010, 2012; Charani et al. 2013b; Rezayati Charani et al.
	2013; Moberg et al. 2014; Li et al. 2015b; Rantanen et al. 2015; Shao et al. 2015;
	Chen et al. 2016b; Kumar et al. 2016b; Shafeiei-Sabet et al. 2016
BC	Lin <i>et al.</i> 2015; Pääkkönen <i>et al.</i> 2016
MCC	Rudraraju and Wyandt 2005a
Amorphous	Jia et al. 2014 (regenerated in water after phosphoric acid dissolution)

**Table 7.** Shear Thinning Behavior Reported for Aqueous Suspensions of Nanocellulose

**Key:** CNC = cellulose nanocrystals; NFC = nanofibrillated cellulose; MFC – microfibrillated cellulose; BC = bacterial cellulose; MCC = microcrystalline cellulose

An interesting question, which might be the subject of future work, is the degree to which shear thinning effects in nanocellulose suspensions can be attributed to particle alignment, which was discussed in the previous section. When particles become aligned, they can be more easily packed into smaller volumes. Likewise, if the volume and amount of particles remain constant, then the mixture may behave as if it is less crowded when there is increasing alignment. Such effects may explain why Bercea and Navard (2000) observed a transition from dilute behavior to gel behavior at a concentration of about  $(d^2/L^2)$  in CNC suspensions under slow shearing conditions, but the transition was shifted to much higher values (approximately d/L) at higher shear rates of shearing. Oguzlu *et al.* (2017) used similar logic to explain increases in suspension viscosity when the polyelectrolyte carboxymethylcellulose (CMC) was added to nanocellulose suspensions.

Thixotropic systems, *i.e.* those that exhibit reversible shear-thinning behavior, often show a characteristic time of recovery (Barnes 1997). Thixotropy is important because it can greatly impact the leveling properties of a material after application or during metering. Bercea and Navard (2000) described such processes of recovery in liquid-crystal suspensions of CNC as "fast" in contrast with liquid crystal polymer solutions. Derakhshandeh *et al.* (2013), in the case of CNC suspensions, found the characteristic recovery time to be generally less than a second and dependent on the shear rate. Orts *et al.* (1995) found that the relaxation effect occurred more rapidly for shorter microfibrils having aspect ratios near 30. In related work, Le Goff *et al.* (2014) showed that minutes were required to complete the gelling of suspensions of relatively long CNC particles. In general, the relaxation effects appear to be related to Brownian motion, which tends to restore the random orientation of particles within a characteristic time period.

## History-dependent and Irreversible Effects

Quasi-irreversible, non-equilibrium effects have been reported in aqueous suspensions of nanocellulose (Puisto *et al.* 2012b; Derakhshandeh *et al.* 2013; Mohtaschemi *et al.* 2014b). According to Mohtaschemi *et al.* (2014b) such behavior of NFC suspensions implies that viscosity measurements made at relatively low shear rates can be regarded as non-unique and dependent on the flow history. Whereas ideally

thixotropic systems can be counted on to return to their previous steady-state viscosity, at a specified shear rate after a delay time, the concern here is about systems that appear to remain trapped in non-equilibrium states, possibly due to non-equilibrium aspects of either the forming or the breaking down of structures.

Different terminology appears to be in most frequent use when referring to clusters of papermaking fibers *vs.* clusters of nanocellulose entities. The terms "floc" and "flocculation" are widely used to describe the clustering of papermaking fibers, which typically have aspect ratios of about 100 (Hubbe 2007). Articles dealing with related phenomena in suspension of NFC, MFC, and BC more often have used words such as "entangled" and "entanglements" (Lowys *et al.* 2001; Pääkkö *et al.* 2007; Gong *et al.* 2011; Zhong *et al.* 2012; Benhamou *et al.* 2014; Lu *et al.* 2014b,c; Naderi *et al.* 2014a; El Miri *et al.* 2015; Jowkarderis and van de Ven 2015; Li *et al.* 2015b; Chen *et al.* 2016b; Martoia *et al.* 2016; Paximada *et al.* 2016). Though it is likely that many of the same mechanisms will apply, the use of different terminology is possibly justified by the higher aspect ratios that are typical for NFC and MFC. For instance, it appears likely that NFC clusters may be bound together by the wrapping of fibrillar elements, whereas such a mechanism has not been reported for flocs of ordinary papermaking fibers.

The length of individual fibrils, which together with fibril width determines the aspect ratio, controls fibril alignment and structuration under controlled shear rate conditions. For example, liquid crystalline domains can form under application of ultralow shear, resulting in a high stress response at low strain. Their chiral nature provides a long-range connectivity between long fibrils, such that, over extended time, the aligned bundle of fibrils effectively twist into a rope-like structure; in such situations the trapped gel water tends to be expelled from the interfibril space (Usov *et al.* 2015; Dimic-Misic *et al.* 2017a,b).

#### Crowding factor analysis

Before elongated cellulose entities can form clusters, they must first collide with each other. Mason (1950) introduced the concept of predicting the likelihood of collisions among fibers in a suspension by computing the volumes obtained when each of the fibers rotates about the center of the spherical volume that it occupies. This idea was formalized by Kerekes and Schell (1992), who proposed the definition of a crowding factor. This can be expressed as in Eq. 13,

$$N_{\rm c} = \frac{2}{3} C_{\nu} \left(\frac{L}{d}\right)^2 \tag{13}$$

where  $C_v$  is the volume concentration, L is the fiber length, and d is the fiber diameter. It has been observed in such studies that fiber suspensions having similar values of crowding factor tend to have similar levels of fiber flocculation under similar conditions of flow.

As noted earlier, Celzard *et al.* (2009) proposed a "gel crowding factor." The condition of gel formation was observed at a crowding factor value of 60. That is the value above which stirred papermaking fibers will tend to form noticeable and persistent flocs. The cited authors noted that such a "gel crowding factor" is similar in many respects to concepts of a percolation threshold (Philipse and Wierenga 1998; Wierenga and Philipse 1998; Zimmermann *et al.* 2004; Moon *et al.* 2011; Mewis and Wagner 2012; Saarikoski *et al.* 2015; Cao *et al.* 2016; Meree *et al.* 2016; Mukherjee *et al.* 2016; Chen *et al.* 2017), which can be defined as the solids level in which uniformly distributed suspensions of

fibers will form an essentially continuous structure. As noted by Celzart *et al.* (2009), results calculated by models identified by the term "percolation" are in general agreement with older research in which the term "effective medium theory" had been used and where the particles were modeled as elongated ellipsoids (Landauer 1978). It should be kept in mind that the gel crowding factor, as just described, does not take into account the known effects of electrostatic repulsions (see later discussion).

#### Formation of fiber flocs and entangled nanocellulose

Persistent floc structures in papermaking pulp suspensions can be held together merely by friction and by the tendency of the fibers to regain their straightness due to their elastic properties. As described by Parker (1972), the fibers can become locked into floc structures as their elastic nature causes them to try to regain the initial straightness that they had prior to the application of shear. The mechanism is illustrated in Fig. 12, which shows some of the simplest floc structures of this type that can be formed. Raghavan and Douglas (2012) proposed that, in order to be effective, such a mechanism requires that the solid particles must be sufficiently long, stiff, and unbreakable. In the case of suspensions of kraft fibers, as used in papermaking, Bennington *et al.* (1990) concluded that the elastic forces induced by fiber bending contributed to floc stability, but that some other factor, such as attractive forces of surfaces in contact, must also play a role. In principle, such flocs can remain stable under sufficiently gentle shear conditions even in the absence of net attractive forces between the solids (Mason 1950, 1954; Meyer and Wahren 1964; Parker 1972; Swerin *et al.* 1992; Kerekes 2006; Hubbe 2007).



**Fig. 12.** Examples of very simple floc structures that can be held together mechanically. A: flocs held together by elastic forces within bent fibers, along with frictional effects; B: Concept of entanglement involving long, flexible fibrils

Since NFC, MFC, and bacterial cellulose can have aspect ratios greatly exceeding 100 (see Table 5), such floc structures can be expected to develop during stirring of the suspensions coexisting with repulsive forces in case of highly charged surfaces. This may be important in terms of rheology, since the resistance to motion can be influenced by whether or not there are fluid-filled gaps between adjacent floc structures. In some cases, rather than having a suspension of nanocellulose particles, the rheology of a suspension might more appropriately be modeled as a suspension of flocs dispersed in a liquid phase or in a gel-like matrix.

Researchers studying nanocellulose suspensions and mixtures have reported observations of agglomeration induced by mixing when the solids level is higher than a certain level, which can be attributed to the formation of a gel-like structure (Iotii *et al.* 2011; Cao *et al.* 2016). Cao *et al.* (2016) confirmed such findings by using ultrasonication to redisperse the agglomerates; the redispersed system provided higher strength of reinforced cement mixtures, as would be expected for a more uniformly distributed network. Likewise, Saarikoski *et al.* (2012) showed that MFC in sheared suspensions may consist of multiple entities of agglomerates suspended in gel-like matrix that can be separated by application of sufficiently high shear stress sufficient to initiate flow in the suspension, *i.e.* a yield point. The yield point of nanocellulose suspensions is therefore dependent on both consistency and the size of agglomerates. The effective size is governed by factors including surface charge, swelling, and length of fibrils (Dimic-Misic *et al.* 2017a).

Due to the very slender nature of NFC, it is reasonable to consider whether or not the elastic restorative forces within the fibrils are high enough to make a significant contribution to floc persistence. In other words, maybe the fibrils within NFC or MFC are not stiff enough for the elastic forces to make a significant contribution. To the best of our knowledge, the needed analysis has not been reported.

#### Evaluation of rheological properties of intact gel structures

MFC and NFC at sufficiently high solids content tend to form solid-like aqueous hydrogels structures. The rheological characterization of such structures is complicated due to the diverse characteristics of the fibrillar components. This makes it necessary to develop different measuring protocols, and the results often are best described as apparent quantities. The indefinite nature of the results leads to difficulties when trying to establish comparable analytical results (Mohtaschemi *et al.* 2014a,b, Martoia *et al.* 2015). To tune the rheological behavior of such systems as a means of enhancing their processability, it is necessary to understand both their viscoelastic and flow behavior in terms of the surface charge and morphology of the highly fibrillated cellulose particles. The rheological characterization of NFC- and MFC-based systems is complicated due to the diverse properties and interactions between both the fibrillar and colloidal components, with non-linear flow curves displaying thixotropy and a difficult-to-define yield stress, which in turn depends on gelation (surface charge) and flocculation (aspect ratio colloidal stability) (Fall *et al.* 2011; Saarikoski *et al.* 2012; Naderi and Lindström 2015).

Oscillatory measurements are widely used to examine the contributions of solidlike (elastic) and liquid-like (viscous) interactions on the flow characteristics and mechanical properties of materials having gel-like characteristics. This mode of testing is also referred to as dynamic mechanical analysis (DMA) (Schlesing *et al.* 2004). DMA can be performed under shear, torsion, compression, or tension with a dynamic stress or strain instrument. Rheometers measure the response of a material to the application of shear forces. Dynamic mechanical tests performed under compression, tension, and torsion enable the mechanical properties of a material, such as tensile strength, elongation, stiffness, and compressibility to be studied. Figure 13 illustrates schematically some common test fixtures used to perform these studies.

While much work has focused on the effect of nanocellulose morphology and interactions on the rheology of suspensions, only a few researchers have coupled rheological and mechanical property findings. Vesterinen *et al.* (2010) showed a correlation between the rheological and dynamic mechanical properties of microfibrillar

cellulose suspensions. Measurements of the viscoelastic properties of a microfibrillar cellulose in water suspension and the dynamic mechanical properties of paper made from the suspensions showed that the strength properties of the paper sheets could be estimated from the viscoelastic behavior of the dilute suspensions. Differences in the yield behavior observed in rheological oscillatory tests were correlated to the strain behavior of the paper sheets in dynamic mechanical testing. A conclusion that can be drawn from these two works is that both the rheological and mechanical properties of a material depend on the compatibility of its components.



Fig. 13. Basic DMA test configurations. A: Compression; B: Tension; C: Bending; D: Shear

There also are some relevant findings in cases where polyelectrolytes made a relatively large contribution to the viscous effects. For example, Yang *et al.* (2013) examined the mechanical and viscoelastic properties of cellulose nanocrystals reinforced polyethylene glycol nanocomposite hydrogels. By measuring the mechanical responses of the hydrogels under periodic strains, quantitative information on the viscoelastic and rheological properties of the hydrogels were obtained. The results indicated that the mechanical reinforcement and energy dissipation effects were coupled. Energy dissipation *via* the rearrangement of CNC/PEG interactions was found to facilitate the toughness and extensibility of the polymer nanocomposite hydrogels. Excessive loading of CNCs was found to disturb the homogeneity of the networks, resulting in a reduction in the mechanical properties of the composites.

It is anticipated that future researchers may compare and correlate rheological and mechanical properties. Such correlations may be pertinent, for instance, in applications of cellulosic materials as reinforcing fibers in extruded films for packaging (Hubbe *et al.* 2017), extruded resins for 3D printing, and injection molded parts. Further work pertaining to the mechanical properties of nanocellulose hydrogels and polylactic acid/MCC composites, respectively, were reported by Mathew *et al.* (2005) and Frensemeier *et al.* (2010); however, rheological measurements were not made in those studies.

Let us assume that a given suspension of highly fibrillated cellulose exists as a gel structure. Let us further assume that after the application of moderate force, which can distort the structure, the gel will tend to revert to its initial shape due to stored elastic energy within the nanocellulose structure, plus the persistence of contact points among the cellulose surfaces. As already mentioned, such contact points might be attributable to entanglement of the nanocellulose (Lowys *et al.* 2001; Raghavan and Douglas 2012; Zhang *et al.* 2012; Arola *et al.* 2013; Benhamou *et al.* 2014; Lu *et al.* 2014b,c; Naderi *et al.* 2014a; El Miri *et al.* 2015; Paximada *et al.* 2016). Another contribution to relatively persistent contact points among the cellulose surfaces may be net attractive forces, such as those that might result from the addition of salt (Lowys *et al.* 2001; Beck and Bouchard 2016).

## Yield Point Phenomena

The presence of contiguous structures within an aqueous mixture of nanocellulose can be expected to have a major effect on rheological properties. The term contiguous is used here to indicate that the nanocellulose particles form a continuous system of connections, which fills the volume being tested. Araki (2013) suggested the term "structural viscosity" to highlight the essential relationship between such structures and the elastic component of resistance to movement. Frensemeier *et al.* (2010) suggested the use of conventional Maxwell models (spring and dashpot type) to model the stress-strain behavior of contiguous gels composed of bacterial cellulose.

Much of the emphasis in the literature related to the rheology of nanocellulose suspensions has been focused on theories that can account for quasi-equilibrium conditions, steady-state conditions, and structures that are assumed to be relatively uniform. Such a focus can be justified by the availability of well-accepted theories, which have been found to be useful for understanding the rheology of a wide range of fluids. But nanocellulose suspensions – especially in the case of NFC and bacterial cellulose – may be especially susceptible to entanglement and other non-equilibrium effects. It follows that a focus on non-equilibrium effects is needed. This section will consider such issues as the breakup of gel structures and the rheology of suspensions of clusters of broken-up structures. For example, Naderi *et al.* (2014a) proposed that the rheology of carboxylated NFC can be understood in terms of a suspension of a severely entangled structure that has been partly disentangled.

According to Mueller *et al.* (2010), once shear-thinning has occurred in a stirred suspension of relatively high solids content, the system may once again exhibit a linear relationship between stress and strain. Such quasi-Newtonian behavior might be explained by the presence of particle-free shear planes within the mixture. It appears that such fragments of broken networks often remain suspended in suspensions. So rather than acting as a suspension of individual particles, the system acts as a suspension of the partly-broken fragments of the initial gel networks. This situation is illustrated schematically in Fig. 14.



**Fig. 14.** Schematic view of anticipated transformation of a network-like structure into individual entangled fragments, possibly with some individual fibrils, as a result of continued agitation

## Breakup of structure

The manner of breakup of flocculated cellulosic suspensions has been studied both for papermaking pulps and for nanomaterials. In the case of papermaking pulps (Björkman 2003a), break-up of fiber flocs, as a result of agitation of the suspensions, is likely to take place in zones parallel to the greatest local compression of the mixture. Photographs of suspensions flowing in expanding or narrowing channels exhibited narrow zones of interruption between adjacent areas of a contiguous (continuously connected) fiber network. The orientation of those fiber-free zones contrasted sharply when comparing the expanding and narrowing channels. Both the acceleration or slowing of flow, as well as wall effects, appeared to be involved. Tensile stresses within the floc structure were found to easily bring about zones of separation, whereas compression gave rise to a "rope" structure featuring a higher solids content of cellulosic material from which the water had been squeezed out (Björkman 2003b). In follow-up work, Björkman (2006) showed that the viscosity of realistic fiber suspensions could be modeled by treating separated flocs as the suspended entities. The model was set up to allow water to flow in and out of such flocs, which may split and fuse, shrink, or swell. Chen et al. (2002) found that fiber flocs formed at an intermediate shear stress, but that at yet higher shear stress the flocs again became well dispersed. Damani et al. (1993) noted that after stirring, a fiber suspension was transformed into fragments of fiber floc structure, as well as individual fibers in suspension.

In the case of nanocellulose, Martoia *et al.* (2015) observed that the broken-down structures of sheared NFC suspensions were sensitive to the details of their preparation. NFC produced with enzymatic hydrolysis exhibited "drastic mesostructural changes", whereas NFC prepared after TEMPO oxidation did not. Such observations are consistent with attraction between cellulosic surfaces, leading to a solid-like structure that can fracture in an irreversible manner. The TEMPO oxidation would provide sufficient electrostatic repulsion to overcome the attraction between approaching surfaces.

Due to the complex nature of flow curves and their thixotropic character, fitting the steady state data to a Herschel-Bulkley yield stress model, as is usually used for nanocellulosic materials, can result in misconception of the obtained dynamic yield stress ( $\tau_d^{0}$ ) values (Mohtaschemi *et al.* 2014a,b; Nazari and Bousfield 2016). Therefore, the rheological effect of the physical and colloidal interaction within the sample matrices consisting of solid constituents is investigated with oscillatory measurements (Naderi *et al.* 2014a; Dimic-Misic *et al.* 2016b; Kumar *et al.* 2016b). In such work the rheology of samples is studied without breaking down the microstructure in the gel-like system, thus minimizing the effect of apparent wall slip/depletion. For estimating "static yield" stress ( $\tau_s^{0}$ ), oscillatory measurements are used, within the linear viscoelastic (LVD) region, obtained with serrated plate-plate geometry (Pääkkönen *et al.* 2016). Figure 15 provides an example of both steady shear and oscillatory rheometric results for the same system, NFC in the solids range between 2% and 7% (Nazari *et al.* 2016). As shown in this example, an effect analogous to ordinary shear thinning is often observed when increasing frequencies of oscillation are applied to nanocellulose suspension.

Oscillatory measurements were also used for determination of the apparent yield stress, which was previously defined as static yield point,  $\tau_s^0$  (Dalpke and Kerekes 2005; Dimic-Misic *et al.* 2016). The static stress component ( $\tau_s^0$ ) is described by

$$\tau_s^0 = G' \gamma_c \tag{14}$$



**Fig. 15.** Viscosity of 2, 3, 5, and 7% (by mass) suspensions of NFC as measured by (A) steady shear and (B) oscillatory rheometry; figure redrawn from the results by Nazari *et al.* (2016)

During the strain sweep measurements, the elastic modulus G' is recorded as  $\gamma$  increases. The maximum static stress at the critical strain  $\gamma_c$  is taken to correspond to the static yield stress  $\tau_s^0$ , and is determined as the first point of deviation from the linear stress ( $\tau_s$ )-strain ( $\gamma$ ) curve occurring at the critical strain  $\gamma_c$  (Horvath and Lindström 2007). Figure 16 provides an example showing how the rheological behavior of a real cellulose fiber suspension (plotted points) can be represented by a model that assumes a single strain-dependent yield point (Horvath and Lindström 2007).



**Fig. 16.** Schematic illustration contrasting static *vs.* dynamic yield stress evaluation. Figure redrawn from the data published by Horvath and Lindström 2007)
For the determination of dynamic yield stress ( $\tau_d^0$ ) one takes  $\eta$  ( $\dot{\gamma}$ ) flow curves, applying the Herschel-Bulkley equation, Eq. (15), given by (Dimic-Misic *et al.* 2013a,b, 2016b; Pääkkönen *et al.* 2016):

$$\tau = \tau_{\rm d}^0 + k(\gamma)^n \tag{15}$$

The flow curve parameters k and n are related to the time-dependent response of gel matrix of NFC suspensions regarding the morphology of NFC and surface. Lower surface charge systems will tend to have lower water binding properties and repulsion between fibrils, leading such systems to be more flocculated (higher k); in such cases the yield point will be naturally higher due to the higher stress needed to break up the flocculated matrix and put a system in a flow. The static yield stress ( $\tau_s^0$ ), determined from unbroken suspension matrix, (within LVE) is always larger than dynamic yield stress ( $\tau_d^0$ ) (Dimic-Misic *et al.* 2013b; Pääkkönen *et al.* 2016).

## **Extensional Viscosity**

Various applications in which nanocellulose suspensions might be used involve squeezing the mixtures through screens, nozzles, or other such circumstances in which the fluid is required to converge or diverge during its passage (Petrie 1999; Dimic-Misic et al. 2015a). Such "extensional flow" has been found to lead to high levels of resistance to flow in the case of polymer solutions (Rodriguez-Rivero et al. 2014). Sufficient flow intensity (e.g. pressure applied) has been shown to be effective in reducing the molecular mass of dissolved polymers (Nguyen and Kausch 1992; May and Moore 2013). Since nanocellulose elements tend to have high aspect ratios, as is the case for soluble polymers, it is reasonable to suspect that high levels of extensional viscosity may result, whether or not soluble polymers are present in the mixture. Indeed, Dimic-Misic et al. (2015a) used extensional viscometry to characterize the effect of ionic strength in MFC and NFC pigment-containing suspensions. It is notable that some salt is invariably present in industrial-scale applications of pulp, even after washing; such salt can affect extensional viscosity of nanocellulose-based coatings. Moberg et al. (2014) carried out similar work and found that sodium chloride first increased the extensional viscosity, but higher concentrations promoted agglomeration,

Filament rheometers, which measure transient extensional viscosity as a function of strain, operate under similar principles. Two types of filament rheometers are filament stretching extensional rheometers (FISER) and capillary break-up extensional rheometers (CaBER) (McKinley and Sridhar 2002). FISER rheometers generate a cylindrical filament by uniaxially stretching a material at a constant strain rate by imposing an exponential endplate displacement. The tensile force and midpoint radius of the filament are measured to compute the transient viscosity. For a CaBER a small volume of sample is injected between two circular endplates. The endplates are quickly separated over a short distance, during which time the initial axial deformation of the sample happens. As the plates are separated, a laser micrometer monitors the change of the filament midpoint diameter with time. The test is performed under controlled temperature conditions. The transient apparent extensional viscosity is calculated by dividing 2 times the surface tension of the fluid by the change of filament diameter as a function of time.

# **COLLOIDAL CHEMISTRY OF RHEOLOGY & NANOCELLULOSE**

## **Geometrical Aspects of Colloidal Interactions**

Models to estimate forces of interaction

When solid objects in aqueous suspension approach each other to within about a few nanometers, increasingly strong forces of interaction develop (Liang *et al.* 2007). Such forces can be expected to influence the rheological behavior of suspensions that contain particles (Russel 1978). In cases where the materials, shapes, and suspending media are exactly known, such forces can be predicted (Israelachvili 2011). However, the irregular and sometimes relatively unknown nature of nanocellulose surfaces generally require the use of simplified geometric models to estimate the magnitudes of repulsive or attractive forces, which are in addition to the lubrication effects considered in the previous section. Studies of short-range interactions within suspensions are typically modeled either by assuming interactions between parallel planar surfaces or by assuming that at least one of the surfaces can be locally modeled as a sphere or cylinder surface, within the region of close approach between the objects (Israelachvili 2011). The sphere-sphere, and sphereplane simplified models are closely related to the situation of interactions between cylinders approaching each other in a non-parallel fashion (Sridhar *et al.* 1997).

Surprisingly, the review of the literature turned up only one recent study in which the researchers had attempted to calculate forces between nanocellulose particles in suspension and to relate the results of those calculations to rheological phenomena. Oguzlu *et al.* (2017) employed the well-known principles of colloid science to estimate repulsive and attractive components of interactive force. The cited article provides a demonstration of how some of the principles to be described below can be applied to specific cases.

The main classes of short-range forces that develop between solids present in aqueous suspension have been discussed elsewhere (Hubbe and Rojas 2008; Eichhorn 2011; Israelachvili 2011), so only a brief mention will be made here. Many studies have shown that the net force between solids in aqueous media can be modeled as the sum of attractive London dispersion (van der Waals) forces plus electrostatic forces. According to Russel (1978), short-range forces of attraction can be expected to play a key role governing the rheology of suspensions of solids. For instance, in work related to carbon nanofiber suspensions in a polymeric medium, Bounoua *et al.* (2016a,b) used van der Waals interactions as a key factor in their modeling to account for the yield stress of carbon nanofiber suspensions. However, such forces appear to have received relatively little emphasis in the literature related to the rheology of nanocellulose suspensions.

As noted by Gudarzi *et al.* (2015) the total force between two identical charged spheres or plates often can be best described by a summation of van der Waals force ( $F_{vdW}$ ), the double layer force ( $F_{dl}$ ), as well as additional attractive forces ( $F_{att}$ ) as follows:

$$F = F_{\rm vdW} + F_{\rm dl} + F_{\rm att} \tag{16}$$

In the cited work, the additional attractive forces were attributed to multivalent cationic species.

If one also assumes absolute smoothness and completely uniform composition (which is not actually possible due to the finite size of molecules), then the near-range London-dispersion component of the van der Waals attractive forces can be modeled rather simply as (Hubbe 1984; Bowen and Jenner 1995; Israelachvili 2011),

$$F_{\rm disp} = \frac{A}{6\pi\hbar^3} x \ (unit \ area) \qquad (for parallel plates) \tag{17}$$

or as

$$F_{\text{disp}} = \frac{AR}{6} \left[ \frac{1}{h^2} + \frac{1}{(h+2R)^2} - \frac{1}{h(h+2R)} \right] \quad \text{(for sphere-plate interaction)}$$
(18)

where the coefficient A in these equations is the Hamaker constant, R is the radius used in modeling the contact zone, and h represents the effective distance between the surfaces, according to the model. Since one is usually attempting to fit real data, in which the surfaces are rough and the composition might not be uniform, *etc.*, the value of h can be used as a fitting parameter (Hubbe 1984). Values that have been determined for the value of A for cellulose immersed in an aqueous solution have been given in the range of 8.0 to 9.9 x  $10^{-21}$  J (Bergstrom *et al.* 1999). More advanced models to account for roughness effects while estimating colloidal forces between solids were reviewed by Walz (1998).

Another major class of short-range forces having a dominant effect on the behavior of suspensions of finely divided materials is electrostatic forces, which are also called "double layer" forces (Hiemenz and Rajagopalan 1997; Liang *et al.* 2007). The electrostatic interaction energy between equal plates, both assumed to have the same uniform charge density, is given by (Hiemenz and Rajagopalan 1997),

$$\Psi = \frac{64n_o kT\gamma_o^2}{\kappa} exp[-2d\kappa]$$
(19)

where  $\Psi$  is the electrostatic component of interaction energy per unit area between the plates,  $n_o$  is the concentration of ions in the solution, k is the Boltzmann constant, T is absolute temperature,  $\gamma_o^2$  is the square of the surface excess of ions (*i.e.* the surface charge),  $\kappa$  is the reciprocal length parameter defined in Eq. 21, and d is the distance between the surface of the plates (modeled as being completely smooth). A corresponding relationship can be derived for the energy of interaction between surface of adjacent perfect and equal spheres,

$$\Psi = \frac{64\pi R n_o k T \gamma_o^2}{\kappa^2} exp[-2d\kappa]$$
<sup>(20)</sup>

where R is the effective radius of the spheres, and here d is taken as the closest point between the spheres. It is worth noting that Eq. 20 can be used to estimate the double layer interaction energy between a sphere and a flat surface by doubling the value of R.

The  $\kappa^{-1}$  term in Eqs. 19 and 20 corresponds to the effective range of electrostatic forces within an aqueous solution, a quantity that is sometimes called the thickness of the ionic double layer. Its value, in units of length, is given by,

$$\kappa^{-1} = \left[ \sum_{i} (z_{i}^{2} n_{i}) 4\pi e^{2} / (\varepsilon kT) \right]^{0.5}$$
(21)

where  $z_i$  is the valence of ions opposite to that of the charged body of interest,  $n_i$  is the concentration of that ion, *e* is the electron charge,  $\varepsilon$  is the dielectric constant of water, *k* is the Boltzmann constant, and *T* is the absolute temperature (Hiemenz and Rajagopalan 1997).

Many researchers have carried out energy-*vs.*-distance calculations based on a summation of attractive London dispersion forces and repulsive ionic double layer forces. Figure 17 depicts a commonly predicted circumstance for cases in which all the surfaces have the same sign of charge (as is often the case for cellulosic surfaces). Such a complex

functional relationship between energy and distance arises because of the very different distance-dependencies expressed in Eqs. 17 and 19 (for interaction between two planes) or in Eqs. 18 and 20 (for interaction between spheres or a sphere and a wall) when considering the summation of attractive London dispersion forces and repulsive electrostatic forces.



**Fig. 17.** Illustration of the type of energy-distance curve that is often calculated for systems in which like-charged solid surfaces are interacting in an aqueous suspension

Additional components of force, including effects of water structure (Israelachvili and Wennerstrom 1996; Israelachvili 2011), and polyelectrolyte effects (Claesson *et al.* 2005) have been considered. Because the effects of water structure are hard to predict and because the morphology of the cellulosic materials are often very complex, there has been a striking lack of attention to quantitatively predicting the net interactive forces or energies of surface interactions in nanocellulose suspensions.

# Friction and roughness

Various investigators have shown the value of including frictional effects when modeling the rheological properties of fiber suspensions (Tatsumi *et al.* 1999; Switzer and Klingenberg 2003; Tatsumi *et al.* 2008; Férec *et al.* 2015). Researchers have shown that frictional effects can make important contributions to the viscosities and yield stresses observed in nanocellulose suspensions and gels (Gallier *et al.* 2014).

Effects of small-scale roughness of cellulosic surfaces can be regarded as a barrier to close approach of the main parts of the surfaces. Since the surfaces are impeded from coming together closely, strong forces of attraction, in particular, would fail to fully develop (Mewis and Wagner 2012). The situation regarding repulsive forces is less clear, however, since the points of roughness holding the main surfaces apart might be regarded as fulfilling that role. As noted by Gallier *et al.* (2014), fine-scale roughness also can be expected to contribute to resistance to sliding between contacting solid surfaces. So, the net effects of roughness on frictional effects in nanocellulose suspensions are inherently difficult to predict.

# **Charged Groups and Nanocellulose Suspension Viscosity**

#### General effects

Surfaces bearing the same sign of charge in aqueous suspensions tend to repel each other, and such repulsions are understood to affect the viscosity of suspensions in various

ways. Also, the presence of charged groups has been reported to be responsible for the stability of certain types of nanocellulose suspensions. Here the word "stable" means that a suspension remains well dispersed, as individual suspended particles, throughout the period investigated by a set of researchers. Araki (2013) attributed the stability of cellulose nanocrystals to the presence of charged groups, *e.g.* sulfate half-ester groups. Nanocrystals produced by HCl hydrolysis lack the stability in suspension of those isolated by  $H_2SO_4$  hydrolysis or when CNC is phosphorylated. This is because the HCl-produced CNC lacks charged groups on its surface (Araki *et al.* 2000). The introduction of charged groups was found to markedly decrease the viscosity (Araki *et al.* 1999). Taheri and Samyn (2016) reported finding the lowest viscosity when the zeta potential indicated the greatest negative charge of highly fibrillated cellulose in suspension. Apart from aspect ratio and morphology, charged surfaces could be another explanation for lower viscosity of CNC compared to NFC at same solid concentration.

There are two main ways in which sufficiently strong repulsive forces between surfaces can be expected to affect suspension viscosity. First, by tending to hold the surfaces apart from each other, the frictional effects mentioned in the previous subsection can be decreased (Tatsumi *et al.* 1999). In other words, the repulsive forces act as a kind of lubricant, causing the surfaces to slip past each other rather easily rather than to become pinned to each other at points of roughness as the surfaces move past each other. Secondly, especially when the solids level is very high, the repulsive forces give the effect of making the suspension seem more crowded (Bergenholtz *et al.* 2002; Studart *et al.* 2007). As will be discussed later, the overlapping of electrical double layers can contribute to increased viscosity, and the effective thickness of double layers decreases with increasing ionic strength.

#### Effects of charge levels present on ordinary cellulosic material

The strengths of the repulsive forces will be affected by the density of the chargeable groups and the extent of their dissociation. Negatively charged groups already present in common cellulosic materials were discussed earlier. Horvath and Lindström (2007) observed a trend of decreased flocculation of cellulosic fibers in increasing negative charge of the surfaces. Likewise, as noted by Agoda-Tandjawa *et al.* (2010), the uronic acid groups present in the hemicellulose content will tend to reduce the viscosity of nanocellulose suspensions.

#### Effects of pH on suspension viscosity

Except when the charges are due to strong acids, such as sulfate or sulfonate, the degree of dissociation of negative groups on cellulose-based surfaces will be strongly affected by pH. Pääkkö *et al.* (2007) observed decreasing viscosity of a nanofibrillated cellulose suspension with increasing pH in the range 2 to 10, which is consistent with dissociation of the carboxylic acid groups. By contrast Agoda-Tandjawa *et al.* (2010) observed no pH effect in the range 4.5 to 9; the lack of effect was tentatively attributed to a much lower solids content in the cited work.

The expected effects of pH are illustrated in Fig. 18. In principle, when the pH is well below the pKa value of the carboxylic acid groups at the cellulose surface, those groups will be in their protonated (nonionic) form, leading to an absence of electrostatic repulsive forces between the surfaces. Accordingly, in relatively strongly acidic solution there will be a net attraction, resulting in either a strong network or separate clusters of

nanocellulose. By contrast, at relatively high pH, the repulsion induced by negative charges on the surfaces will tend to disperse the material in the suspension.



**Fig. 18.** Schematic view of the effect of low *vs.* high pH on the charge and degree of inter-fibril adhesion and network formation or clustering

#### Sulfate groups

Araki *et al.* (1998, 1999, 2000) observed thixotropic effects in suspensions of uncharged cellulose nanocrystals, whereas time-independent rheological properties were observed for negatively charged nanocrystals that had been prepared with sulfate groups. Those results are consistent with electrostatic repulsion, which was sufficient to inhibit sticking collisions among the cellulosic surfaces.

Further evidence of the effectiveness of sulfate groups relative to interactions between nanocellulose particles in suspension was reported by Araki (2013). Cellulose nanocrystals that were stabilized by such groups showed birefringence (see also Beck-Candanedo *et al.* 2005), whereas those prepared by digestion in HCl did not. The effect was attributed to sufficiently strong inter-particle repulsion to induce coherent alignment of the crystals in suspension. Shafiei-Sabet *et al.* (2013) reported that the density of sulfate groups on CNCs affected transitions from isotropic suspensions to liquid crystal suspensions, and subsequently to gelled form.

Ioelovich (2014) showed that conditions of sulfuric acid treatment also can be optimized so as to produce highly fibrillated materials, having a high contribution to viscosity. This is done using cold concentrated  $H_2SO_4$  in the presence of strong agitation.

Although, as shown by the articles cited in this subsection, sulfate groups on nanocellulose surfaces can be highly effective as stabilizers, viscosity reducers, and cellulose-modification agents, such groups are susceptible to hydrolysis. Beck and Bouchard (2014) showed that the hydrolysis reaction, leading to a loss of the charged groups, is promoted by increasing temperature, lowering of the moisture content, and either acidic or alkaline conditions. Therefore, to avoid losing their negative charge capability during storage, it is recommended to prepare, dry, and store sulfate-stabilized CNC in their neutral salt form rather than in their protonated form. Lewis *et al.* (2016) reported removal of sulfate groups as a means of gelling CNC suspensions. A procedure has been developed for accurate determination of the sulfur content of CNC (Beck *et al.* 2015).

#### Derivatization of cellulosic surfaces with negatively charged groups

Further increases in dispersion stability and/or reductions in viscosity can be achieved by chemical derivatization, which can be used to reach higher negative charge densities (Naderi and Lindström 2016; Nechyporchuk et al. 2016; Heggset et al. 2017). For instance, the viscosity of cellulosic fiber suspensions can be decreased by carboxymethylation (Beghello and Lindström 1998). However, Naderi et al. (2016a) observed higher viscosity when cellulose fibers were carboxymethylated, compared with fibers treated in other ways to impart increased negative charge. The effect was attributed to the swelling effect resulting from the effective increase in negative charge character, leading to more rapid delamination and fibrillation. In another study it was shown that adding salt (sodium chloride) to the carboxymethylated nanofibrillated cellulose caused significant reduction in the viscosity of suspension when concentration of NaCl was greater than 1 mM (Naderi et al. 2014a). A likely explanation is that the suspension became agglomerated, such that the observed viscosity was dominated by the particle-free zones of water between the agglomerates. Horvath and Lindström (2007) observed strong dispersing effects and weaker network strength when carboxymethylcellulose (CMC) was grafted onto the surfaces of cellulosic fibers. Naderi and Lindström (2016) found that grafting with CMC yielded NFC suspensions with time-independent rheological behavior.

## TEMPO oxidation

Oxidation mediated by the 2,2,6,6-tetramethylpiperidine-1-oxyl (TEMPO) radical species has been found to give rise to specific conversion of the C6 group of cellulose to the aldehyde, and subsequently under suitable conditions to the carboxylic acid form (Saito *et al.* 2006; Isogai *et al.* 2011). Many researchers, as shown in Table 8, have reported results for the viscosity of nanocellulose suspensions prepared with TEMPO oxidation. In summary, this type of treatment has the potential to achieve high levels of carboxylation, providing a negative surface charge while minimizing damage to cellulose nanostructures or molecular mass of the cellulose. As shown, TEMPO-mediated oxidation was found generally to increased dispersibility of nanocellulose (Hirota *et al.* 2010; Li *et al.* 2015a), which is consistent with increased electrostatic repulsion between the surfaces. Suspension behavior was sensitive to salt (Crawford *et al.* 2012; Fukuzumi *et al.* 2014), which again is consistent with basic theories of colloid science. Higher charge, induced by TEMPO treatments, also facilitated the production of nanocellulose by mechanical means (Loranger *et al.* 2012a; Kekalainen *et al.* 2015). The latter findings are consistent with the greater compatibility of the carboxylated surfaces with the water phase.

#### Periodate oxidation

Oxidation with periodate offers an alternative way to prepare negatively charged cellulose surfaces (Kekalainen 2014b).



**Fig. 19.** Reaction scheme for periodate-assisted oxidation of cellulose to first yield pairs of aldehyde functions, which can be further oxidized to carboxylic acid groups

# **Table 8.** Rheological Observations in TEMPO-oxidized NanocelluloseSuspensions

Nanocellulose type	Findings	Citation
ČNC	Higher surface change led to higher dispersibility and higher contribution to viscosity.	Li <i>et al.</i> 2015a
CNC	Highest dispersibility was observed at the highest carboxylate content.	Hirota <i>et al.</i> 2010
NFC	Shear-thinning, gel-like behavior observed.	Lasseuguette <i>et al.</i> 2008
NFC	Salt-induced gelation by different cations followed the Shultz-Hardy rule.	Fukuzumi <i>et al.</i> 2014
NFC	Simple salts and surfactants converted the stable suspensions to shear-thinning gels.	Crawford et al. 2012
NFC	Shear-thinning behavior was observed.	Honorato et al. 2015
NFC	Low salt and polyelectrolyte concentrations increased creep deformation of the NFC gels due to narrowing of double layers; but higher levels caused gelation.	Jowkarderis and van de Ven 2015
NFC	A charge density of at least 0.7 mmol/g was needed to facilitate efficient microfibril production. Hornification effects were reported.	Kekalainen <i>et al.</i> 2014a
NFC	The use of TEMPO-mediated oxidation, to achieve a relatively high charge density of 0.3 to 1.1 mmol/g made it possible to prepare NFC at high solids by grinding.	Kekalainen <i>et al.</i> 2015
NFC	Use of ultrasonic treatment made it possible to produce NFC with a lower TEMPO treatment.	Loranger <i>et al.</i> 2012a
NFC	Ultrasound-treated NFC showed lower viscosity.	Mishra <i>et al.</i> 2012
NFC	The suspensions showed a yield stress and shear- thinning behavior	Martoia <i>et al.</i> 2015, 2016
NFC	Xylan content promoted swelling, which played a major role with respect to rheology.	Pääkkönen <i>et al.</i> 2016
NFC	TEMPO-mediated oxidation yielded relatively long fibrils, which were less suitable for 3D printing.	Rees et al. 2015
NFC	Increasing the concentration of NFC enhanced the flow instability of TEMPO-Oxidized NFC.	Nechyporchuk <i>et al.</i> 2015

As shown in Fig. 19, treatment of cellulose with periodate initially yields aldehyde groups at the C2 and C3 positions using sodium metaperiodate, and the further action of an oxidizing agent such as sodium chlorite can lead to carboxylic acid groups in those positions.

Because periodate treatment tends to open up the anhydroglucose ring (Chen and van de Ven 2016), such oxidation tends to do more damage to the cellulose degree of polymerization, compared to the TEMPO-mediated oxidation just described. The cited authors showed that, depending on the time of treatment, it was possible to achieve sterically stabilized nanocellulose having a high dialdehyde content. A decreasing viscosity with increasing treatment was attributed to preferential attack at amorphous regions of the cellulose, leading eventually to isolation of crystalline regions. Rees *et al.* (2015) took advantage of the tendency of periodate treatment to favor shortening of the nanocellulose, since they found that such nanocellulose had a low suspension viscosity that was suitable for 3D printing.

# Phosphate derivatization

Araki *et al.* (2000) appear to have introduced the use of phosphate treatment as a way to induce a negative charge to cellulosic surfaces, promoting their dispersion. They employed mixtures of phosphoric acid and urea. The negative charges at the cellulosic surfaces tended to overcome thixotropic effects, presumably by keeping the cellulosic surfaces from coming into contact with each other. Camarero Espinosa *et al.* (2013) prepared phosphorylated CNCs. Naderi *et al.* (2016b) described phosphate treatment of cellulosic surfaces as industrially attractive. Treatment of cellulose with solutions of sodium dihydrogen phosphate was followed by drying, curing for one hour at 150 °C, and then passage through a microfluidizer. Figure 20 illustrates the reaction of hydroxyl groups of cellulose and sodium dihydrogen phosphate. As shown here, the reaction yield can be defined based on the amount of removed water. Rheological properties were described as being similar to suspensions of NFC prepared in other ways.



Fig. 20. Reaction scheme for sodium dihydrogen phosphate and cellulose

# Cationic modifications

Interesting rheological effects have been shown when cellulosic surfaces have been rendered cationic. For instance, Hasani *et al.* (2008) observed enhanced gelation when CNC was rendered cationic by reaction with glycidyltrimethylammonium chloride. Likewise, Karppinen *et al.* (2011) evaluated the effect of cationic methacrylate polymers in gel formation within the microfibrillated cellulose (MFC) suspension. Chaker and Boufi (2015) reacted NFC with the same cationization agent and observed shear-thinning behavior. The viscosity increased with increasing treatment level, especially when comparing the levels 300 and 760  $\mu$ eq/g. While the authors proposed that the enhanced viscosity was attributable to hydrogen bonding, the full results of the study suggest that the effect may have been due to more extensive dispersion and out-stretching of the nanomaterial. Such an explanation is consistent with the finding, reported in the same article, that both cationic and anionic modification of the NFC enhanced the reinforcement potential of the NFC in a polyvinyl alcohol matrix.

# Stabilization by Adsorption of Charged Species

Rheological effects due to adsorption of various species from solution often can be interpreted in terms of frictional interactions between the surfaces. Lower inter-fiber friction has been predicted in cases where the presence of extended water-loving polymers at the cellulosic surface tend to impede close approach of the surfaces (Beghello and Lindström 1998; Zauscher and Klingenberg 2000; Lowys *et al.* 2001; Tatsumi *et al.* 2008). In other words, steric stabilization (Araki *et al.* 2001) is expected to overcome attractive interactions, leading to lower or eliminated yield stress. Such effects can be achieved by adding either dispersants or surfactants.

#### Dispersants

The term "dispersant" will be used here to denote a highly charged, multivalent species having the ability to adsorb onto surfaces in a suspension and render sufficient charge density to give a dispersing effect. As illustrated in Fig. 21, a dispersant can keep the surfaces from colliding and sticking together.



Fig. 21. Schematic illustration of dispersant action

One of the most widely studied dispersants for the stabilization of aqueous nanocellulose suspensions is carboxymethylcellulose (CMC). Dispersing effects for nanocellulose using CMC have been reported (Lowys *et al.* 2001; Ahola *et al.* 2008; Vesterinen *et al.* 2010; Dimic-Misic *et al.* 2013a, 2014; Butchosa and Zhou 2014; Sorvari *et al.* 2014; Naderi *et al.* 2015b,c; Veen *et al.* 2015; Ahn and Song 2016; de Kort *et al.* 2016; El Baradai *et al.* 2016; Nazari and Bousfield 2016; Schenker *et al.* 2016; Chen *et al.* 2017). Because CMC shares the same backbone structure with cellulose, it is possible that adsorption can involve alignment of the CMC chains with the cellulose chains (Pönni *et al.* 2012). Such a mechanism may explain the effectiveness of CMC in such applications. Lowys *et al.* (2001) found evidence that CMC addition decreased the frictional interaction between the nanocellulose surfaces. Lower gel strength was reported by Sorvari *et al.* (2014) and Veen *et al.* (2015). Schenker *et al.* (2016) observed only small effects on suspension viscosity upon addition of CMC, but time-dependent effects were diminished, which is consistent with less attractive interaction between the cellulose surfaces.

Reported higher viscosity, upon addition of CMC (Vesterinen *et al.* 2010) is likely due to bridging effects of the polyelectrolyte, since the effect exceeded what could be explained by a contribution of the CMC to viscosity of the suspending medium. A bridging effect, to explain similar observations, was proposed by Zhong *et al.* (2012).

#### Surfactants

Surfactants can be defined here as molecules having both hydrophilic and hydrophobic groups, and various researchers have shown that surfactant usage can affect the rheological properties of nanocellulose suspensions. Missoum *et al.* (2012a) reported that addition of the anionic surfactant sodium dodecyl sulfate (SDS) decreases the viscosity of NFC suspensions over a wide range of shear rate. Results were rationalized by modeling the molecular organization at the surfaces. Quennouz *et al.* (2016) found that effects of addition of various surfactants to NFC suspensions was dependent on the level of addition. At low addition levels, gel modulus was generally increased. Higher addition levels of

charged surfactants led to agglomeration, resulting in a loss of the contiguous structure of the NFC at the solids levels considered. As a consequence, the observed resistance to flow decreased. Notably, however, incorporation of an ethylene-oxide hydrophilic segment in an anionic surfactant avoided the agglomerating effect and the related lowering of measured viscosity.

# Electroviscous effect

An electroviscous effect can be defined as an increase in viscosity attributable to ionic charges on the surfaces of suspended particles (Sherwood 1981; Russel 2009; Mewis and Wagner 2012). There appear to be two main contributions to the electroviscous effect, which have become known as primary and secondary (Wiersema and Philipse 1998). The primary electroviscous effect can be attributed to distortion of the ionic double layers due to flow, whereas the secondary effect is attributable to overlap of double layers on adjacent surfaces. The secondary electroviscous effect has been predicted to play a dominant role once the solids content is high enough that pair-wise interactions between particles become common (Wiersema and Philipse 1998).

The mechanism of the secondary electroviscous effect appears to involve the excluded volume concept as already mentioned (Bergenholtz *et al.* 2002; Studart *et al.* 2007). When elongated particles are essentially "held apart" from each other by repulsive forces between the surfaces, the suspension behaves as if it is more crowded, and the measured viscosity is likely to be higher.

Several researchers have suggested that an electroviscous effect might account for some aspects of nanocellulose suspensions. For instance, Jowkarderis and van de Ven (2014), Shafiei-Sabet *et al.* (2014), and Beck and Bouchard (2016) noted a decrease in the viscosity of CNC suspensions upon addition of small amounts of electrolyte, and they attributed the effect to the compression of the electrical double layer thickness. On the contrary, Boluk *et al.* (2011), who also mentioned an electroviscous effect, found that the addition of NaCl to sulfate-stabilized CNC particles in suspension resulted in a much higher measured viscosity, which would be more consistent with a model of coagulation (see later).

The term "immobilized water" was used by Benhamou *et al.* (2014) to denote a related effect that depended upon solids level of TEMPO-mediated NFC suspensions. At lower extents of TEMPO treatment, the cited authors proposed that the gel structure was governed primarily by entanglement, but at higher durations of TEMPO treatment the structure became dominated by its contained water. These observations are consistent with the overlap of double layers and osmotic swelling of the material. Likewise, Dimic-Misic *et al.* (2013c) pointed out that the degree of swelling of the nanocellulose determined the amount of occupied volume, leading to crowding effects and accounting for rheological effects.

# Magnetic field effect

Kim and Song (2015) reported large increases in the measured viscosity of CNC suspensions with increasing magnetic field strength. Results were attributed to a tendency of charged nanoparticles to line up perpendicular to an applied field. Because magnetic fields are relatively easy to apply in industrial processes, more research in this area may be helpful.

# **Steric Stabilization**

Steric stabilization can be defined here as inhibition of contact between the surfaces of suspended particles due to the presence of macromolecular tails or loops extending from the surfaces outward into the solution (Araki 2013). In the case of an aqueous solution, it could be added that those macromolecular segments would be hydrophilic. Araki (2013) reviewed studies in which CNC suspensions had been stabilized either by electrostatic or steric mechanisms. It was proposed that the following factors need to be in place to achieve strong steric stabilization in such systems: high molecular mass, high level of stabilizer, and high solvation of the macromolecular loops and tails.

Steric stabilization can most easily be brought about through adsorption of either a water-soluble polymer or a long-chain surfactant compound. Figure 22 provides a schematic view, illustrating how the tails and loops of adsorbed hydrophilic polymers could be expected to impede the close approach of cellulose fibrils. Some authors who have employed CMC as a stabilizer have regarded steric stabilization as a likely contributing mechanism (Butchosa and Zhou 2014). Agoda-Tandjawa et al. (2012) suggested that effects of low-methoxyl pectin could be attributed to such effects. Similar findings were reported by Hiasa et al. (2016). Ferrer et al. (2016) proposed that similar effects can be caused by residual polysaccharides and lignin present in microfibrillated soybean hulls. Results of quartz crystal microbalance (QCM) analysis, considering the dissipation of energy, provided supporting evidence of loosely-bound polyelectrolyte segments following adsorption of CMC or xyloglucan on cellulosic nanofibrils surfaces Ahola et al. (2008). Other evidence in support of the steric stabilization mechanism is a finding by Korhohen et al. (2014) that the stabilizing effect of anionic polyacrylamide for MFC increased with increasing molecular mass. In many such situations, however, it is difficult to draw a clear line between the expected purely electrostatic effects and those attributable to extended polymer segments.



**Fig. 22.** Schematic illustration of steric stabilization, resulting from the adsorption of water-loving polymers onto cellulose fibrils and tended to prevent their close approach to each other

Steric stabilization also can reasonably play a key role is cases where hydrophilic polymer chains are grafted to cellulosic surfaces (Araki *et al.* 2001; Araki 2013; Naderi and Lindström 2016). For example, the reduced viscosity reported by Horvath and Lindström (2007) could be reasonably attributed to steric stabilization.

# DESTABILIZATION AND NANOCELLULOSE RHEOLOGY

Net attraction between cellulosic surfaces in suspension is another chemical-based contribution that can be expected to affect rheology. In principle, such effects can be attributed to inherent attractive forces (*e.g.* van der Waals forces; see earlier discussion), reduction of repulsive forces, and various less studied effects, such as flow-induced destabilization. The discussion that follows considers factors that tend to decrease the electrostatic repulsion between the solid surfaces, thus allowing the attractive forces between the cellulosic surfaces to dominate.

## Coagulants

A coagulant can be defined as an ionic compound, opposite in charge to suspended particles, thus tending to decrease repulsive electrostatic forces. The coagulant can accomplish this goal mainly in two ways – by decreasing the range of the repulsive forces (double layer compression) and by decreasing the effective charge of the surfaces (specific adsorption).

Simple salts, such as NaCl, act mainly in a non-specific manner. The influence of salt ions on the effective range of electrostatic forces can be calculated based on Eq. 21, as discussed earlier. The quantity  $\kappa^{-1}$  in that equation is proportional to the distance over which electrostatic forces maintain a given level of influence. In principle, with increasing concentration of simple salts, the range and effectiveness of electrostatic forces are decreased, and by default the attractive van der Waals forces can become dominant, leading to agglomeration.

Figure 23 provides a schematic illustration of how the addition of salt can be expected to affect the interaction energy of solids suspended in aqueous solution. Again, it is assumed that all the solid surfaces have a negative charge. As shown, the expected effect of salt addition is to decrease the range and strength of the electrostatic repulsion forces. When sufficient salt has been added (sometimes called the critical coagulation concentration), the solid surfaces can come rapidly into contact, depending on just diffusion and convection. The result can be either a gelled structure or an uneven mixture of agglomerated matter, depending on the solids level, history of shear, and other details.



**Fig. 23.** Schematic illustration of how salt addition can affect the net energy of interaction between like-charged surfaces in aqueous solution, bringing about rapid "sticking" collisions

Effects of monovalent ions on the rheology of nanocellulose and related suspensions have been widely reported (Kratohvil et al. 1969; Ono et al. 2004; Agoda-Tandjawa et al. 2010, 2012; Lu et al. 2014a; Moberg et al. 2014; Shafiei-Sabet et al. 2014; Oi et al. 2015; Sim et al. 2015; Beck and Bouchard 2016; Qiao et al. 2016; Tanaka et al. 2016; Xu et al. 2017). Such effects have been attributed, in many cases, to induced aggregation among the suspended particles above a critical concentration of the ions (Fukuzumi et al. 2014; Lu et al. 2014a). Researchers have proposed that increases in viscosity or yield stress were due to the development of net-attractive contacts within the mixture (Lowys et al. 2001; Agoda-Tandjawa et al. 2012; Sim et al. 2015). In other cases the initial decrease in viscosity, with increasing salt concentrations in a low range, have been attributed to a thinning of ionic double layers at the solid surfaces (Jowkarderis and van de Ven 2015; Shafiei-Sabet et al. 2014; Beck and Bouchard 2016). Since the particles (which may be rods or fibrils) are not being held so far apart from each other, the system does not behave as if it is so crowded. But further addition of salt eventually brings about agglomeration of particles, since the double layer forces are no longer strong enough to keep the suspended matter from contacting each other. The attractive van der Waals forces become dominant, which can lead either to a fully gelled mixtures or to suspensions of clusters of particles. Moberg et al. (2014), Oguzlu et al. (2017), and Wu et al. (2017) noted complicated effects, depending on the level of salt addition. There was increased viscosity at a lower range of salt addition followed by agglomeration and lowered viscosity at a higher range of salt addition. Such observations are consistent with an initial space-filling structure of connected cellulosic particles at the lower range of salt addition. A higher level of salt, especially in the presence of flow, would induce agglomeration, and then the cellulosic structures would no longer fill the available volume without spaces between the fragments.

Fall *et al.* (2013) proposed a markedly different model to account for the effects of added NaCl to suspensions of carboxymethylated NFC. Rather than just decreasing the double layer thickness, it was proposed that the main effect was to associate with carboxylate groups (counter-ion condensation), leading to a de-facto reduction in surface charge. The specific adsorption was predicted by the authors to take place because of the high density of charged groups on the nanocellulose. The justification for the model appears sound, and it can be expected that future researchers may attempt to follow up with this type of analysis in related systems involving suspensions of cellulosic materials.

#### Multivalent ions and rheology

When considering the effects of divalent ions, *e.g.*  $Ca^{2+}$ , a critical issue is whether or not the nanocellulose suspension also contains an anionic polyelectrolyte such as CMC. Let us first consider the results of studies in the absence of polyelectrolytes. Several studies have shown that much lower concentrations of divalent ions were sufficient to bring about coagulation or to change the rheology of nanocellulose suspensions, compared to monovalent salt treatment (Kratohvil *et al.* 1969; Fukuzumi *et al.* 2014; Jowkarderis and van de Ven 2014; Sim *et al.* 2015). Agoda-Tandjawa *et al.* (2012) also described the presence of calcium ions mixing with low-methoxyl pectin (LM pectin) raised the viscosity of microfibrillated cellulose suspension, leading to a gel composite. Such relationships often follow the Schulze-Hardy rule, which states that the critical coagulation concentration is proportional to the negative sixth power of the valence of the added ion that is opposite in charge to the suspended particles (Kratohvil *et al.* 1969; Hiemenz and Rajagopalan 1997; Fukuzumi *et al.* 2014). On the other hand, multivalent cations such as  $Al^{3+}$  and  $Al_{12}(OH)_{24}AlO_4(H_2O)_{12}^{7+}$  (Bottero and Fiessinger 1989) can be expected to form complexes at the surfaces of anionic nanocellulose particles, even reversing the surface charge if the dosage is sufficient (Strazdins 1989).

Chau *et al.* (2015) studied the effects of cation valence and ionic size on the structures formed in suspensions of sulfate-stabilized CNC. A unique finding of the study was that different ionic conditions yielded different "wall thickness" characteristics in which CNCs lined up in parallel within gel structures. The authors proposed that the presence of the cations promoted side-to-side binding of the CNC particles. Both the complex modulus of elasticity and the mesh size were positively correlated with cation valence and cation radius (within a class of valence).

## Cationic polyelectrolytes

Lu *et al.* (2014b) studied the effects on rheology of adding a cationic polyelectrolyte to a CNC suspension. The cationic polymer appeared to participate in the formation of a structure that incorporated the CNC particles. Addition of NaCl weakened such associations and also tended to reduce the crowding in the suspension due to decreased double layer thickness. Further work by the cited authors quantified the critical gel point (Lu *et al.* 2014c). Notably, there was a relationship between the needed amount of cationic polymer and the specific amount of CNC employed, suggesting a stoichiometric interaction between the two. Such an interaction would be analogous to certain nanoparticle-based systems used as drainage and retention aids during papermaking (Andersson and Lindgren 1996; Hubbe 2005). Lenze *et al.* (2016) showed that sulfate-stabilized CNC can be used in place of the usual colloidal silica often employed by papermakers, and that similar effects on drainage and retention could be achieved. The observed effects depended on the ratio of amounts of cationic polyelectrolyte and negatively charged nanocellulose.

Ahola *et al.* (2008) obtained evidence that the interaction of a highly charged cationic polyelectrolyte, poly-(diallyldimethylammonium chloride) (poly-DADMAC) may cause dehydration of the cellulosic surfaces. This assertion was backed up by dissipation analysis, using a quartz crystal microbalance system, in combination with surface plasmon resonance tests. The effects were consistent with the earlier work of Ström and Kunnas (1991), who observed decreased water absorption values following treatment of cellulosic fibers with cationic polymers.

Earlier work involving ordinary-sized cellulose fibers can provide further insight regarding the interaction of cationic polymers with cellulosic surfaces. Swerin (1998) carried out rheological tests of bleached kraft fibers suspensions, showing the effects of flocculation by cationic acrylamide copolymers of very high mass. Critical strain and modulus values were greatly increased by the polymer treatment. The authors accounted for their results by proposing that the polyelectrolyte bridging induced more points of connection within the flocculated fiber structure. It is possible that such a model would not be as suitable in the case of nanocellulose – especially CNC – because it depends on there being a large difference in size between the macromolecule and the cellulose entities.

In the presence of anionic polyelectrolytes, several studies have shown strong gelation upon addition of calcium ions (Agoda-Tandjawa *et al.* 2012; Jowkarderis and van de Ven 2015; Cao *et al.* 2016). Such effects are consistent with a bridging interaction, in which the divalent ions mediate between pairs of carboxylate functions (Siew *et al.* 2005; Bulo *et al.* 2007). The general principle is shown in Fig. 24. At the same time, it is known that the divalent ions will tend to decrease the molecular extension of negatively charged polymer chains, which sometimes results in a decrease in viscosity (Yang and Zhu 2007).



**Fig. 24.** Hypothetical mechanism by which divalent ions can greatly increase viscous effects within dispersed systems that contain negatively charged polyelectrolytes. Reversible connecting points in the structure are shown with dotted ellipses.

# LIQUID CRYSTAL RHEOLOGICAL EFFECTS

Because of their unique optical effects, nanocellulose-based liquid crystals can be regarded as a unique system within which to evaluate various concepts already discussed in this article. Nanocellulose crystals, depending on their aspect length, aspect ratio, uniformity, and the forces between them, can become mutually oriented spontaneously (Marchessault *et al.* 1961; Dong and Gray 1997). Marchessault *et al.* (1961) were the first to report a strong dependency of crystal length on such phenomena in the case of CNC.



Increasing solids  $\rightarrow$ 

**Fig. 25.** Some generalized states of organization that have been commonly reported for nanocellulose suspensions, depending on solids level and hydrodynamic shear

As noted by Bercea and Navard (2000), often it is necessary for a certain solids content to be reached before the alignment will take place in the absence of flow. The cited authors showed that there can be a transition from "isotropic at rest" (disoriented) to "anisotropic at rest" (oriented) as a function of increasing concentration of nanocellulose in the suspension. They noted that both the development and dissipation of liquid crystal behavior tended to be more rapid in comparison with polymer solutions having liquid crystal behavior. Echeverria *et al.* (2015) found that application of high shear transformed CNC liquid crystal suspensions to flow-aligned nematic structure. Figure 25 provides a schematic representation of different states of organization, as a function of solids content and flow, as has been generally described in the literature.

Ordering phenomena observed in sufficiently concentrated or sheared suspensions of nanocrystals include the development of chiral nematic phases (Dong and Gray 1997; Beck et al. 2005). The word "chiral" refers to the presence of a twisting effect, such that there may be a systematic deviation in alignment as a function of distance from an arbitrarily defined reference point. It has been proposed that the detailed twisted shape of cellulose nanocrystals (Majoinen et al. 2016) may result in slight systematic shifts in the alignment of neighboring particles, giving rise to the chiral nature of the liquid crystal suspension (Dufresne 2012). Nematic means that the crystals are oriented parallel to each other but not necessarily organized into coherent planes. Some suspensions of CNC will display iridescence, in which different regions of the mixture will have different appearance, e.g. an undulating rainbow-like pattern. Liu et al. (2014) proposed a mechanism, involving Bragg diffraction effects of regularly spaced layers, to account for such colors. When viewed with polarized light, effects known as birefringence may be detected; such effects can be attributed to the fact that well-aligned cellulose molecules or crystals have different refractive index values depending on the direction of the light (Cranston and Gray 2008). The periodicity in the optical pattern gives evidence of the distances over which the particle alignment remains coherent. Dong and Gray (1997) observed sharp boundaries of the crystalline domains in CNC suspensions. Araki and Kuga (2001) found that very low levels of electrolyte (e.g. below 1 mM NaCl) promoted phase separation of charge-stabilized CNC suspensions and the formation of chiral nematic phases.

The nature of liquid crystal effects in CNC suspensions can be understood by observing how their optical and rheological manifestations can be disrupted in various systematic ways. For instance Dong and Gray (1997) added a series of different monomeric cations and noted that the critical concentration for the development of chiral nematic features was in the order  $H^+ < Na^+ < K^+ < Cs^+$ . The cited authors proposed that their findings provide evidence of a balancing between attractive forces (e.g. London dispersion) and electrostatic repulsion, in such a way as to hold the particles in mutual alignment. In terms of Fig. 17, such alignment is expected to involve an arrangement and orientation of CNCs so that the distances correspond to a secondary minimum of interaction free energy. Shafiei-Sabet et al. (2013) reported that the transition from isotropic to chiral-nematic ordering depended on the density of sulfate groups on the CNC surfaces. Higher charge corresponded to higher solids at the transition. However, since the different batches of CNC may have differed in other respects, such as particle width, other explanations are possible. Dong et al. (1996) showed that the solids level corresponding to the transition from an isotropic (disordered) to chiral nematic arrangement was shifted to higher values by the addition of electrolytes, which is consistent with the decreasing of double layer thickness.

Liquid crystal phenomena can be detected by comparing the results of certain rheometric tests. Cox and Merz (1958) had observed, in the case of ordinary suspensions of particles, that there is a generally a similarity between the viscosities measured by simple

shear tests and those that they calculated from the stress-strain relationships during oscillatory rheometric tests. Thus, for typical suspensions, it is possible to estimate the shear modulus based on steady-state flow data (Doraiswamy *et al.* 1991). Deviations from this so-called "Cox-Merz rule" have been widely reported in the case of cellulosic nanocrystal suspensions (Lu *et al.* 2014a; Wu *et al.* 2014; Ahn and Song 2016; Qiao *et al.* 2016) and nanofibrils (Nazari *et al.* 2016). Lu *et al.* (2014a) studied systems in which the CNC suspensions showed temporary shear-induced birefringence, which disappeared in the absence of flow. By contrast, Noroozi *et al.* (2014) observed a cholesteric pattern at low shear rates below the yield point of suspension and alignment effects when the shear rates were increased. A cholesteric liquid crystal can be defined as a layered structure in which particles within a given layer have a common alignment, but in which the alignment differs between adjacent layers. By contrast, for high aspect ratio long NFC fibrils chiral alignment due to the low shear rate twisting of fibrils disappears once the shear rate approaches the yield point (Dimic-Misic *et al.* 2017a,b).

Elastic features of suspensions were noted by Doraiswamy et al. (1991). It was observed that flow-induced ordering within a suspension is able to store a certain amount of energy, which is released when the stress on the system is relaxed. One likely explanation is that the stored energy is associated with repulsive forces between the surfaces; according to that concept, the cessation of flow then allows the particles to move away from positions that were energetically unfavorable in terms of colloidal forces. A second explanation for the stored energy is that it may be due to an entropic effect. The degree of order within the system, under the influence of flow, will spontaneously become somewhat less ordered when then flow field is no longer being applied. Orts et al. (1995) used small angle neutron scattering (SANS) to find evidence of the rate of such relaxation in the case of cellulosic microfibril suspensions. It was found that the degree of alignment tended to increase with increasing shear rate, but the greatest alignment was observable about one minute after the cessation of shear. Such behavior is consistent with a process of mutual alignment among neighboring CNCs due to their electrostatic repulsions. However, such ordering does not necessarily preserve itself in a strict manner over long distances or periods of time. Follow-up work showed that the CNC could also be aligned by a magnetic field (Orts et al. 1998). The relaxation rate can be strongly affected by particle length and aspect ratio (Pääkkönen et al. 2016). Ureña-Benavides et al. (2011) studied the rheology of systems simultaneously containing both isotropic and liquid crystalline regions; the interface between such regions appeared to affect the elastic phenomena. Echeverria et al. (2016) showed that the addition of CNC affected the liquidcrystalline behavior of solutions of the nonionic polymer hydroxypropylcellulose (HPC). At low shear rates, CNC tended to restrict the mobility of the adjacent polymer molecules.

Some studies have indicated that liquid crystal features that are observed in certain suspensions of CNC also can persist when the material is formed into a film with the removal of water (Revol *et al.* 1992; Liu *et al.* 2011). Liu *et al.* (2011) noted a sufficient degree of preserved alignment such as to impart color to the resulting films after drying. Revol *et al.* (1992) used the term "regularly twisted fibrillar layers" in order to emphasize the slightly twisted nature that cellulose can adopt in suspension. Elazzouzi-Hafraoui *et al.* (2008) discussed whether such twisting corresponds to a slightly twisted character that cellulose may develop during its biosynthesis and formation into fibrils and crystallites, or whether slight twisting might be an artifact of later processes associated with isolation of the crystallites.

# PROCESSING OF AQUEOUS SUSPENSIONS OF NANOCELLULOSE

Though technology related to nanocellulose has received a great deal of attention from researchers, there are many barriers to its industrial application, including the complexity of rheological phenomena. Further difficulties in implementation might be related to the challenges inherent in attempting to reproduce exactly the same nanocellulose characteristics in successive production runs. This section will consider progress in applying what has been learned about nanocellulose rheology in current or potential application areas.

## **Flow Modification**

Drilling fluids, which are employed during the process of extracting petroleum from underground locations, need to have a combination of rheological and filtration properties. Li *et al.* (2016) showed that CNCs were able to improve the rheological characteristics of drilling fluids that also contained montmorillonite and a material that they called poly-anionic cellulose. The latter consisted of cellulosic fibers having a high density of carboxylate groups at their surfaces. The cellulosic fibers appeared to serve as a filter aid, whereas the CNC appeared to function as a thickener. Li *et al.* (2015b) showed that CNCs were preferable to MFCs because of their smaller dimensions, higher stability in aqueous suspensions, higher tolerance of high temperatures, and lesser shear-thinning tendency. The higher surface charge density of the studied CNCs was also viewed as being advantageous for that application.

Cellulose-based nanomaterials also have been evaluated for application as thickeners in food (Okiyama *et al.* 1993; Jonas and Farah 1998; Lowys *et al.* 2001; Mihranyan *et al.* 2007; Jia *et al.* 2014; Feng *et al.* 2015; Lin *et al.* 2015; Gomez *et al.* 2016; Qiao *et al.* 2016). Many of the cited studies dealt with bacterial cellulose, which has been "generally recognized as safe" for food applications (Shi *et al.* 2013). The water-holding ability of BC gels, as well as their contribution to providing a smooth texture, has been noted as a desirable property for some food products by the US Food and Drug Administration (Okiyama *et al.* 1993). BC also appears to act as a stabilizer for emulsified food products (Gomez *et al.* 2016), which is consistent with its tendency to form gel structures. Lowys *et al.* (2001) showed that the performance of BC as a thickener could be enhanced by the addition of NaCl. The effect was attributed to net forces of adhesion between the cellulose at points of contact within the gel structure.

# **Coating Formulation**

In aqueous coating formulations for paper products, NFC appears to provide favorable attributes of water retention and thickening (Dimic-Misic *et al.* 2013a; Gruneberger *et al.* 2014; Zhou *et al.* 2014; Rautkoski *et al.* 2015; Salo *et al.* 2015; Nazari and Bousfield 2016; Xu *et al.* 2016). Dimic-Misic *et al.* (2013a, 2014) reported that NFC tends to act as both viscosity enhancer and water-binding agent, whereas conventional thickeners used in coating formulation, such as CMC, appear to serve more as viscosity enhancers. Lower surface charge MFC fibrils that are less water binding can be used as a viscosity enhancer and dispersant for pigments. Thus, adjustments in the density of the surface charge of NFC and MFC can be used to tune the rheological and water-retaining properties of coating colors.

The rate of immobilization of a coating formulation can affect the resulting smoothness of the coating, as well as influencing whether or not there is premature drying

of coating material. Too rapid immobilization can give rise to the formation of stalagmites on the coating blade, which can cause surface imperfections such as scratches and streaks (Dimic-Misic *et al.* 2013a). Dimic-Misic *et al.* (2014) and Salo *et al.* (2015) proposed that flocculation interactions of NFC in a coating formulation may help prevent sagging of a just-applied coating layer on paper, thus helping to maintain a smooth surface. Flocculation can also lead to increased coating bulk, which aids in the coverage of low brightness substrates. Dimic-Misic *et al.* (2013b) introduced an alternative method for assessment of immobilization, and they reported that the mechanism was governed by the swelling of cellulosic fibrils that affect the gel-like properties of coatings and fillernanocellulose complex suspensions in general. Pääkönen *et al.* (2016) referred to such water retention within NFC as "network swelling". According to Salo *et al.* (2015), not only was NFC able to reduce sagging effects after application of coating formulations to paper, but also such addition did not adversely affect the leveling of the coating surface, which would be an expected consequence of too-rapid immobilization.

For a paper coating formulation, one of the basic requirements of the mixture is to tolerate a wide range of shear rate during a coating process without much change in its properties. This wide range of shear rate includes low to moderate rates of shear (0.1 to  $1000 \text{ s}^{-1}$ ) during pumping and mixing, high-shear (1000 to  $100,000 \text{ s}^{-1}$ ) during application, and ultra-high shear (100,000 to  $2000,000 \text{ s}^{-1}$ ) in the course of final rod or blade metering process (Roper III 1996). As discussed above, nanocellulose in its unmodified form exhibits very high sensitivity for shear stress and tends to shear thinning behavior. Kumar *et al.* (2016a) were able to do a controlled continuous application of NFC with a pilot scale slit coater at a low coating speed (1 to 30 m/min). Kumar *et al.* (2017) developed a specialized slot-die, through which a nanocellulose suspension can be applied to paper. With this device, the slot through which the suspension is delivered is held against a moving paper web on a backing roll, and the slot acts as the metering element.

# **Printing and 3D Printing Formulation**

Nanocellulose also has been considered as a component in formulations for threedimensional printing, which can be defined as a way to systematically build up planned structures by accurate X-Y placement of fusable droplets, in multiple layers, on a substrate (Shao *et al.* 2015). The cited authors evaluated the rheological properties of 3D printing formulations that contained MFC and described its functions in thickening and structure building.

As noted by Siqueira *et al.* (2017), an ideal ink material for 3D printing behaves as a fluid during its passage through print nozzles, but it acquires solid-like characteristics soon after its application on a surface. In principle, such behavior is consistent with the shear-thinning and thixotropic attributes of nanocellulose systems, as reported by many authors. Siqueira *et al.* (2017) showed in addition that ink-jet printing tends to align CNCs in the print direction due to hydrodynamic shear during printing.

Tang *et al.* (2016) observed that NFC produced from TEMPO-oxidized bleached hardwood kraft pulp could be used with CdS quantum dots as a coating formulation for photoelectric inks. Related quantum dot materials for inkjet application already had been reported, but not with the inclusion of nanocellulose (Small *et al.* 2010).

One of the uncertainties regarding the role of nanocellulose during 3D printing applications is whether or not the cellulose fibrils will be able to inter-twine with each other in such a way as to reinforce the boundaries between material that had been in separately applied droplets. In principle, such inter-twining would be important for achieving high strength and avoiding fracture of the formed structures. This is an issue that might be considered in future research.

# Smart Effects

The word "smart" can be used to define a system that can be changed markedly and predictably in its behavior through the adjustment of a selected variable, such as temperature or pH. Such systems are of great theoretical interest for nanocellulose-containing suspensions, and they also have potential to be useful.

Thermo-responsive effects of nanocellulose suspensions have been reported by Zoppe *et al.* (2011) and by Azzam *et al.* (2016). Zoppe *et al.* (2011) achieved such effects by grafting of poly(N-isopropylacrylamide) chains onto CNC surfaces. A sharp drop in suspension viscosity was observed when the temperature was increased in the vicinity of the lower critical solution temperature of the polymer. The effects were consistent with aggregation of the CNC particles, a process that was induced by conformational changes in the grafted chains. Azzam *et al.* (2016) subjected CNC to TEMPO-mediated oxidation, and then formed the amide linkage to thermosensitive Jeffamine polyetheramine M2005 chains. The aggregation behavior first of all could be varied by adjusting the ionic strength and pH. A transition from liquid-like to gel-like properties was triggered reversibly by temperature increases in the range of about 18 to 34 °C. Such effects may have potential medical applications (Scheuble *et al.* 2016). Though none of these effects can be regarded as unexpected for the polymer types mentioned, these are apparently the first reports of grafted CNC suspensions showing such behavior.

A unique CO<sub>2</sub>-sensitive system was reported by Wang *et al.* (2015). CNC was reacted with 1-(3-aminopropyl)imidazole (APIm) by means of a diimidazole-mediated coupling. As a result, the CNC surfaces were populated with primary amine functions. When N<sub>2</sub> was bubbled through the suspension of modified CNC, the suspension agglomerated to form a gel. Subsequent sparging of CO<sub>2</sub> through the suspension redispersed the particles as a low-viscosity mixture in a reproducible cycle. Since CO<sub>2</sub> is known to form carbonic acid in solution, and since sparging with nitrogen gas tends to remove CO<sub>2</sub> from the system, the observed effects are consistent with the expected changes in pH.

Unusual gelation conditions were reported by Agoda-Tandjawa *et al.* 2012 for suspensions containing MFC and pectin. Combinations of both sodium and calcium ions were observed to be effective for gelation of the mixture. It appears that the role of the sodium ion is to suppress the double layer extent and that the calcium then is more able to function as a bridging and gelling agent.

# Effects of Drying of Nanocellulose on Redispersion and Rheology

Consequences of ordinary drying

Changes in the properties of nanocellulose as a consequence of drying have been regarded as an important barrier to certain industrial applications (Okiyama *et al.* 1993; Peng *et al.* 2012). Researchers have observed great losses in swelling ability or contributions to viscosity when NFC and related materials are dried (Zepic *et al.* 2014). In the case of CNC, Khoshkava and Kamal (2014) showed that drying by various means yielded dense agglomerates of the nanocellulose particles. In related work, Kekalainen *et al.* (2014a) showed that the drying of kraft pulp fibers *before* high-shear homogenization impeded the preparation of NFC; the resulting NFC tended to have lower water retention. Such results are consistent with the coalescence of adjacent nanofibrils into larger units in

the course of drying. Such coalescence may involve a crystal healing mechanism at the interfaces (Pönni *et al.* 2012). Xia *et al.* (2015) observed that the release of water from nanocellulose hydrogels resulted in permanent changes associated with such hydrogen bonding.

# Alternative drying procedures

Ordinary drying of delignified cellulosic material is known to involve semipermanent closure of mesopores in the cell walls of fibers (Stone and Scallan 1966; Weise 1998; Hubbe *et al.* 2007), and also the development of organized patterns of hydrogen bonding similar to crystallization at the boundary between cellulose phases (Pönni *et al.* 2012). The loss of ability of cellulosic material to take up as much water again after it has been dried has been called hornification (Weise 1998). Highly fibrillated cellulose appears to be very susceptible to such effects (Eyholzer *et al.* 2010; Naderi *et al.* 2015b). The susceptibility to hornification seems consistent with the relatively high purity of cellulose within many NFC or MFC samples, the fact that cellulose molecules and fibrils often are already well aligned in such materials, and also due to the flexibility and conformability of the cellulosic fibrillar strands.

In an effort to minimize the adverse effects of drying on the ability of NFC and related products to function later as viscosity modifiers, researchers have compared the effects of alternative drying strategies (Khoshkava and Kamal 2014; Zepic *et al.* 2014; Feng *et al.* 2015). The idea has been that there may be a way to decrease the degree of hydrogen bond formation between adjacent cellulose entities, thereby facilitating full rewetting, swelling, and contributions to viscosity when the material is placed back in water. Spray drying is often regarded as a practical way to prepare storage-stable, shippable forms of nanocellulose (Amin *et al.* 2014). The cited authors found that acid treatment decreased the viscosity contribution of BC to a greater extent than spray drying of the material. Zepic *et al.* (2014) observed that both air drying and oven drying resulted in an interlaced network, consistent with the formation of strong bonding among cellulose fibrils.

Freeze drying typically is carried out under a vacuum, such that net evaporation takes place well below the ambient boiling point of water. The heat of phase change results in a decrease in temperature, and conditions can be adjusted such that much of the process takes place below the freezing point of water. It has been hypothesized that drying under such conditions might avoid the possibility of some aspects of hornification, such that one might expect there to be less effect on the cellulosic material's ability to contribute to viscosity of aqueous suspensions. Experimental results, however, tell another story. For instance, Agoda-Tandjawa et al. (2010) observed strong decreases in viscosity when comparing suspensions of MFC that had been freeze-dried or never dried. Storage modulus values were decreased to about half the values that would have been achieved with neverdried MFC. Ordinary freezing of the whole suspensions preserved the viscosities of such mixtures, indicating that the observed changes were attributable to drying rather than to the freezing. Zepic et al. (2014) observed that freeze-drying, when compared to ordinary drying, considerably reduced the extent of aggregation and resulted in two classes of solid material - coarse and fine powder fractions. The freeze-dried powders formed more stable suspensions than the spray-dried powders when placed into water. Likewise, Khoshkava and Kamal (2014) observed better dispersion of freeze-dried CNC in comparison to spraydried CNC. However, they also found that freeze drying led to aggregation, leading to dense particles. They attributed the aggregation to the growth of ice crystals, which tended to force the cellulosic material together. Related findings were reported by Jiang and Hsieh (2014).

Xu *et al.* (2017) showed recently that ordinary freezing of CNC suspensions can have different effects on the arrangement of particles, depending on the salt concentration. They started by considering charge-stabilized CNC suspensions at sufficiently high solids such that the particles tended to be aligned (anisotropic). Addition of enough salt to thin the double layers, but not to destabilize the suspension, caused the particles to become disoriented. Higher salt, enough to bring about sticking collisions among the particles, caused gelation. But freezing of the three systems led, respectively to smooth aligned layers (no salt), rough layers of clusters (intermediate salt), and very thick layers of networks (high salt), respectively.

A few researchers have considered mechanical processing in an attempt to rehydrate nanocellulose material after its drying and the loss of swelling ability. Ambrosio-Martin *et al.* (2015) found that ball milling was effective for breaking up aggregates of nanocellulose that had been freeze-dried.

# **Chemical Treatments to Avoid Adverse Effects of Drying**

Various chemical-based approaches have been considered as means to avoid the above-mentioned adverse consequences of drying nanocellulose. Again, the most successful of these approaches appear to have been aimed at interfering with the formation of organized hydrogen bonding between cellulosic surfaces during the drying process.

# Hydrophilic polymer adsorption to minimize hornification

Adoda-Tandjawa et al. (2010) cite studies in which water-soluble natural polymers were added to cellulose suspensions before drying in order to minimize the loss of swelling ability. For instance, Lowys et al. (2001) reported that the ability of MFC to contribute to storage modulus of suspensions could be preserved by adding 5% by dry mass or more of various carboxymethylcellulose (CMC) products. The best results were achieved when using CMC having a relatively high degree of substitution, e.g. 1.2 or 2.0. Butchosa and Zhou (2014) showed that addition of as little as 2.3% of CMC solution (degree of substitution 0.90) in terms of dry mass to NFC before drying allowed for good redispersibility, and the viscosity properties were near to those observed prior to drying. Naderi et al. (2015b) reported similarly favorable results when preparing NFC in the presence of relatively high-negative-charge CMC before drying. One set of samples (prepared by impeller agitation) achieved equal viscosity performance when comparing NFC that had been treated with CMC with never-dried NFC. In the case of NFC that had been prepared with high-shear mixing, some loss in viscosity was observed in the drying step. It is worth noting, in the cited work, that the CMC functioned not only as a way to avoid the negative consequences of drying, but it also greatly facilitated the initial fibrillation. Kim and Lee (2010) evaluated various other hydrophilic polymers for the pretreatment of drug nanosuspensions that contained hydroxypropylcellulose; the addition of 0.5% by mass of carrageenan was sufficient to achieve good redispersibility. Ben Azouz et al. (2012) likewise reported favorable results when pretreating CNC suspensions with poly-(ethyleneoxide) (PEO) before drying. Improved redispersibility was observed. Using a different approach to achieve similar objectives, Eyholzer et al. (2010) were able to produce redispersible NFC by carboxymethylation of the surfaces before drying.

# NaCl addition before drying

Missoum *et al.* (2012b) reported favorable results when combining the approaches of NaCl treatment, followed by freeze drying. This strategy was most successful when carried out at a pH of 8 (pH >> pKa), which is consistent with deprotonation of the carboxyl groups of the NFC. The cited authors proposed that the salt ions associate with the polar –OH groups of the cellulose, thereby impeding the development of hydrogen bonding between the cellulose surfaces during drying. If and when it is decided to use salt to facilitate the drying and subsequent rewetting and use of nanocellulose as a viscosity aid, one needs to take into account the fact that residual NaCl or KCl in the mixture can be expected to contribute to gelation of nanocellulose suspensions (Lowys *et al.* 2001; Zhang *et al.* 2015).

## Drying after dispersion in non-aqueous solvent

Araki and Arita (2017) pioneered the dispersion of nanocellulose in non-aqueous solvents as a means of preparing easily water-dispersible suspensions. CNC was prepared by hydrolysis of cotton, using concentrated HCl. When dried from water, such CNC cannot be readily redispersed. By contrast, the cited authors achieved high redispersibility when the mixtures were dispersed in such solvents as toluene or cyclohexane prior to drying. Solvents of relatively high dielectric constant and polarity yielded dried cellulosic membranes that could not be redispersed.

# **CLOSING COMMENTS**

It is clear from publications considered in this review that a great deal of progress has been achieved in understanding rheological phenomena related to aqueous suspensions of nanocellulose. Though many aspects of such rheology can be addressed in terms of well-known concepts and models, it appears that some of the most interesting issues involve observations that fall outside of well-established concepts and models. The highly elongated nature of NFC and BC materials in suspension favors irreversible mechanisms, such as entanglement and non-repairable rupture of network structures. Further progress will likely involve yet closer attention to the details of nanocellulose structure and its modifications. Future work may focus on the detailed structure of floc fragments obtained after the breakage of nanocellulose gels that have been stressed or strained beyond their reversible limits.

Another area of focus that may be fruitful for future research is the analysis of interactive forces between cellulosic surfaces. Such studies have the potential to improve scientists' understanding of gel structures that are formed by nanocellulose particles, and how they can be modified or controlled by chemical treatments or by adjustment of aqueous conditions. Progress in electron-microscopic examination and atomic force microscopy of cellulose nanofibrils segments can be used as the basis for constructing mathematical models, making it possible to estimate component forces, such as van der Waals and electrostatic components of force, as well as the effects of intervening polyelectrolytes.

The present state of knowledge pertaining to aqueous nanocellulose suspensions appears to be sufficient to rationalize, but not necessarily to predict, rheological behaviors based on morphological and surface-chemical specifications. This state of affairs might be attributed to situations in which sharply different rheological behavior may arise depending on whether or not cellulose-based structures within a gel or suspension are contiguous – having a continuous network of cellulosic particles incorporating all of the volume in the test apparatus. Chemical treatments often can lead to opposite effects on viscosity, depending on whether the excluded volume effects (due to double-layer thickness) or coagulation effects (due to sufficient decreases in energy barriers to allow contacts between surfaces) happen to be dominant. A possible way to resolve this state of affairs may require widespread production of highly uniform, individually dispersed fibril products, *i.e.* CNF according to the nomenclature used in this review article. Whether or not such nanocellulose is ideal for a given application, at least the physical and chemical factors giving rise to rheological phenomena would be easier to predict and control.

Though hydrogels prepared with just nanocellulose and water may have applications in absorbency, food, and medicine, among others, one can envision many further applications in which the aqueous formulation includes not only nanocellulose but also various water-soluble polymers or emulsions. Many of the related issues can be expected to go beyond the scope of what has been considered in the present article. It is our hope that the present consideration of aqueous suspensions of nanocellulose can provide clues about what to expect when viscous effects of matrix materials such as polyelectrolytes or melts may play a dominant role.

Another take-away message from the publications considered in this review is that nanocellulose products appear to have a promising future for the control of rheological properties of formulations in food, pharmaceuticals, and various industrial applications. The structural complexity of the cellulosic material and challenges in defining and reproducing its characteristics from batch to batch seem likely to slow down adoption of nanocellulose in such applications, relative to conventional polymeric thickening agents. However, the people-friendly and eco-friendly nature of the cellulose source material can be regarded as a motivating factor for the eventual adoption of nanocellulose in many such formulations. The inherent fibrillar nature and chemical stability of cellulose are likely to be advantageous relative to the performance of many coatings, films, and composite structures that could be formed with nanocellulose. It is recommended that there should be further efforts to understand the flow behavior of such systems as a means to support future research progress and industrial innovation in these areas.

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#### APPENDIX

**Table A.** Compilation of Key Parameters from Publications Dealing with Nanocellulose Aqueous Suspensions

Nanocellulose type *	Length (µm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
MFC	Up to 10	2-15	1000	200	0.11	2.58	Concentration, temp., ionic strength, pH	Solid-like above 0.25%; shear thinning; salt screened the repulsions and increased moduli; mere freezing did not affect rheological properties.	Agoda-Tandjawa <i>et al.</i> 2010
MFC	-	-	-	200	-	-	Methoxyl pectin & Ca ions	The cellulose dominated viscous behavior except when both Na and Ca ions were present.	Agoda-Tandjawa <i>et al.</i> 2012
CNC	0.265	25	10	30 - 100	0.5 - 2	0.405 to 0.744	CMC	CNC concentration contributed to solid-like, shear-thinning behavior.	Ahn & Song 2016
NFC	-	-	-	-	-	-	Poly-DADMAC, xyloglucan, & CMC	Dispersing effects of the CMC and xyloglucan.	Ahola <i>et al.</i> 2008
BC	-	-	-	-	-	-	Acid treatment & spray-drying	Shear thinning was observed. Redispersion depended on drying process.	Amin <i>et al.</i> 2014
CNC	0.18	3.5	50	-	-	-	HCI vs. H <sub>2</sub> SO <sub>4</sub> production	Strong shear-thinning shown by HCl type CNC; relative viscosity of HCl type increased out of proportion to volume fraction.	Araki <i>et al.</i> 1998
CNC	0.18	3.5	50	-	-	-	HCI type, then variable H <sub>2</sub> SO <sub>4</sub> treatment	Two-step CNC preparation gave variable charge, leading to different viscosity (higher for HCI type).	Araki <i>et al.</i> 1999

Nanocellulose type *	Length (µm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
NFC	-	6.8- 9.1	-	15	-	-	Removal of hemicellulose by xylanase	Shear thinning observed. Xylanase initially increased <i>G</i> ', but after mixing, xylanase gave the lowest <i>G</i> '.	Arola <i>et al.</i> 2013
CNC	0.15	22x6	10	0.1-0.2 (6%)	-	-	Polymer-graft, TEMPO, then jeffamine	The grafted CNC could be redispersed after drying and gave viscosity enhancement in several liquids; thermosensitive.	Azzam <i>et al.</i> 2016
Sph.	11000 aggl.	40- 60	low	200	-	2.4	Tencel gel spheres	Shear-thinning gels. Says unmodified CNF has an exponent of 3.	Beaumont <i>et al.</i> 2016
CNC	-	-	-	-	-	-	Electrolyte	Equiv. diam. 78-86; there was increased viscosity at both low and high salt.	Beck and Bouchard 2016
CNC	0.141- 0.147	70	2	-	-	-	Reaction time, H <sub>2</sub> SO <sub>4</sub> conc.	Chiral nematic effects were observed.	Beck-Candane-do et al. 2005
NFC	2000, <i>etc.</i>	20- 30	100+	up to 1000	-	-	TEMPO-med. oxidation time	Oxidation increased the gel strength, then decreased it. Entanglements & repulsion forces proposed as explanations.	Benhamou <i>et al.</i> 2014
CNC	0.15	-	-	-	-	-	Concentration	Isotropic and oriented phases in shear affected the viscosity. Above ~0.5% became ordered.	Bercea & Navard 2000
NFC	0.615- 0.645	18- 19	250	-	-	-	TEMPO-med. oxidation	Strongly shear thinning over broad range of shear rate.	Besbes <i>et al.</i> 2011a
NFC	0.72- 1.85	5-2k	300	high	-	1.3- 1.5	TEMPO-med. oxidation	Supernatant NFC separated from MFC sediment.	Besbes <i>et al.</i> 2011b
NFC	-	2-21	-	30-100	0.1- 0.3	-	TEMPO-med. oxidation	Strongly shear-thinning	Bettaieb <i>et al.</i> 2015
NFC	>3	7-30	high	10+ (.5%)	-	-	Commercial source (UPM)	Catastrophic shear thinning at critical stress, depending on solids	Bhattacharya et al. 2012

Nanocellulose type *	Length (μm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
CNC	0.1-0.3	4-8	33	-	-	-	AFM analysis of particle size	Electroviscous effect is credited with the much higher viscosity of the charged CNCs.	Boluk <i>et al.</i> 2011
CNC	0.15	8	20	huge range	-	-	Polymer solutions	Nonadsorbed polymers affect rheology; thickening above a critical concentration (0.66 vol%)	Boluk <i>et al.</i> 2012
NFC	Big aggre- gates	10	-	100- 200 (.5%)	-	-	CMC addition to disperse the NFCs	Drying greatly decreased the viscosity effect.	Butchosa & Zhou 2014
CNC	0.05- 0.5	3-5	10- 100	2-6	-	-	CNC in cement pastes	Calcium ion greatly increased the shear stress.	Cao <i>et al.</i> 2016
NFC	0.2-0.3	80- 100	-	-	-	-	Polyanaline- coated CNC	The combination of CNC and the polyaniline gave high viscosity.	Casado <i>et al.</i> 2014
NFC	1+	4-5	-	-	-	-	Cationic NFC	Shear thinning; cationic charge increased viscosity; emphasis is on reinforcing potential at high solids.	Chaker & Boufi 2015
MFC	>1	100	10	2000	0.25	-	Unbleached kraft pulp use	The unbleached kraft pulp was a suitable source of MFC.	Charani <i>et al.</i> 2013
CNC	-	-	-	600- 14000 (4%)	0.08- 016	-	Cation valence, radius, and concentration	The modulus and "mesh size" of the gel structure increased with valence and size of the cation.	Chau <i>et al.</i> 2015
CNC	0.105- 0.423	5.6- 8.7	12- 76	1.1-1.9	-	-	Periodate oxidation	Sterically stabilized CNC was one of the product types. The ends had dialdehyde cellulose chains.	Chen & van de Ven 2016
CNC								Shear thinning was observed; there was a mixture of rods & porous network together.	Chen <i>et al.</i> 2013a
NFC	-	4-12	-	20-40	0.2	-	Ultrasonic processing	Gelation at 0.8% by solids.	Chen <i>et al.</i> 2013b

Nanocellulose type *	Length (μm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
CNC	0.21- 0.28	23- 26	10	-	-	-	Acetic acid/HCI hydrolysis	CNC with formate groups	Chen <i>et al.</i> 2016a
NFC	1000	30	30k	-	-	-	Acetic acid/HCI hydrolysis	Length estimate provided.	Chen <i>et al.</i> 2016a
MFC	-	60	"low"	200- 4000 (2%)	0.15		Refining & sonication	Highly tangled network with WRV of 5 g/g.	Chen <i>et al.</i> 2016b
CNC	0.07- 0.28	10- 40	7-14	-	-	-	Enzymatic preparation	Ultrasonic treatment increased swelling and brooming.	Chen <i>et al.</i> 2012
CNC	0.3	20	15	2-20	-	-	CMC & PVOH solutions	PVOH lowered the percolation threshold due to adsorption with extended segments.	Chen <i>et al.</i> 2017
NFC	300- 2000	-	-	-	-	-	NFC from pulp vs. fines	Pulp fibers yielded NFC of higher viscosity than from fines, which was attributable to longer length.	Colson <i>et al.</i> 2016
NFC	"µm's"	nm's	-	50	-	-	Network-type structures	Shear-thinning was observed in the presence of salts & surfactants.	Crawford <i>et al.</i> 2012
BC	-	-	-	-	-	-	Dispersing effects of CMC	Persistent micron-scale structural heterogeneity is proposed.	De Kort <i>et al.</i> 2016
CNC	-	-	-	25-250 (10%)	0.2- 0.5	-	Aging effects by light-scatter-echo testing	Shear-induced rearrangements are time-dependent.	Derakhshandeh et al. 2013
NFC & MFC	0.98 & 6.7	-	-	600; 1500 (20%)	-	-	As additive in CMC coating formulations	The MFC/NFC components served as water-binding agents rather than conventional thickeners.	Dimic-Misic <i>et al.</i> 2013a
NFC & MFC	0.98 & 6.7	-	-	50- 2000 (20%)	0.2	-	Strain-induced time constant	Structure hardening was observed at low shear; localized structure was compared to liquid crystal.	Dimic-Misic <i>et al.</i> 2015b

Nanocellulose type *	Length (μm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
NFC & MFC	0.98 & 6.7	-	-	400 1.5%	0.15	-	Cellulose swelling effects	Highly fibrillated cellulose dominated the rheological behavior of mixed coating formulations.	Dimic-Misic <i>et al.</i> 2013b
NFC & MFC	-	-	-	-	-	-	Use of highly fibrillated cell. as a binder	The gel-like nature of the NFC and MFC affected the rheology of coating formulations.	Dimic-Misic <i>et al.</i> 2014
CNC	0.2	40	5	-	-	-	Alignment under shear	At low shear rates the particles had a slight preference for the vertical direction; at higher shear they prefer the shear direction.	Ebeling <i>et al.</i> 1999
CNC	-	-	-	2000	1	-	NMR observations of rheology	The CNC restricted the mobility of hydroxypropylcellulose chains, but order was achieved faster.	Echeverria <i>et al.</i> 2016
MFC	-	-	-	-	-	-	Formulated with graphite and CMC	Rheological properties were suitable for ink-jet printing.	El Baradai <i>et al.</i> 2016
NFC	1	4	250	10-400 .14%	0.1	-	Salts, pH, and shear	By first lowering pH or adding salt, then applying shear, the fibrils could be aligned in the gel.	Fall <i>et al.</i> 2013
BC	-	17- 62	-	-	-	-	Drying methods	Freeze drying gave faster water absorption, but oven drying gave higher absorption capacity.	Feng <i>et al.</i> 2015
MFC	10+	10- 20	-	25- 1000	0.05- 0.2	-	Soybean hull source	Strongly shear-thinning behavior observed.	Ferrer et al. 2016
BC	-	-	-	-	-	-	Compression and tension	The gel exhibited a mainly viscous response.	Frensemeier <i>et al.</i> 2010

Nanocellulose type *	Length (μm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
NFC	0.28	3.1	90	-	-	-	Salt addition	TEMPO-oxidized NFC aggregated above 50 mM NaCI, upon which the viscosity rose dramatically.	Fukuzumi <i>et al.</i> 2014
BC	-	-	-	-	-	-	Relaxation behavior	Stress decay at low forces and equilibrium at higher forces.	Gao <i>et al.</i> 2016a
BC	-	-	-	-	-	-	Uniaxial tensile creep tests	The time-dependent behavior of the gels was modeled.	Gao <i>et al.</i> 2016b
CNC	1	1.5-3	40	-	0.1	-	From softwood	The modulus of elasticity was frequency-independent.	Gong <i>et al.</i> 2011
NFC	-	10- 20	-	-	0.1	-	From softwood	The modulus of elasticity was frequency-independent.	Gong <i>et al.</i> 2011
CNC	0.12	4	30	-	-	-	Rolling-ball viscometry	Viscosity was found to decrease with increasing ionic strength.	González-L. & Gray 2012
MFC	>>5	2-3	-	-	-	-	Silylation and use of organic solvents	Rheological properties were similar to the aqueous system, except that there was no yield stress.	Gousse <i>et al.</i> 2004
CNC	-	-	-	-	-	-	Preparation for film formation	Transitory nematic-like texture was induced by shear.	Gray & Mu 2016
NFC	-	-	-	-	-	-	Network structures	The viscosity of an acrylate-NFC aqueous mixture was dominated by the NFC.	Gruneberger <i>et</i> al. 2014
NFC							Bamboo source	Refined and bleached pulps gave higher yields of NFC.	Guinnaraes <i>et al.</i> 2015
CNC	-	-	-	-	-	-	DSC used with rheometry	Association occurred between CNC chains and aggregated kappa-carrageenan helices.	Guo & Ding 2006
MFC	350	15k	23	2-50	-	-	Optical coherence tomography	Velocity profiling was done in the wall region of pipe flow. Slip and rolling effects observed at walls.	Haavisto <i>et al.</i> 2015

Nanocellulose type *	Length (µm)	Width (nm)	Aspect ratio	G'(1%; Pa)	Tan delta (1%)	Exponent	Experimental Conditions (Surface treat- ments, charge, pH, salts, additives, <i>etc.</i> )	<b>Key findings</b> (Shear thinning, gel recovery time, effects of tested parameters, <i>etc.</i> )	Citation
CNC	0.18	13	14	5-15 (5%)	0.3	-	Cationized	Unexpected gelling and rheology observed. Shear birefringence.	Hasani <i>et al.</i> 2008
NFC	>1	<20	-	-	-	-	TEMPO & car- boxymethylated	All the NFCs were stable upon heating.	Heggset <i>et al.</i> 2017
MFC	Up to 100	20- 50	-	-	-	-	Fenton and enzyme treatments	The Fenton treatment led to greater fibrillation.	Hellström <i>et al.</i> 2016
CNC	0.1-0.2	4-7	25- 30	-	-	-	TEMPO oxidation	Suspension viscosity increased with carboxyl content.	Hirota <i>et al.</i> 2010
NFC	0.5- many	5-10	-	-	-	0.22- 0.85	-	Shear-thinning behavior was observed.	Honorato <i>et al.</i> 2015
CNC	0.13	7	18	-	-	-	Nonionic poly- saccharides	Polysaccharide adsorption led to gelation.	Hu <i>et al.</i> 2014
CNC	-	100	-	-	-	-	Warm optimal prep conditions	High viscosity and thickening ability were observed.	loelovich 2014
MFC	-	-	-	2000	0.08	-	Both low and higher shear	Hysteresis was attributed to network formation.	lotti <i>et al.</i> 2011
NFC	2.2	4	550	1 0.1%	-	-	TEMPO oxid. production	Length was estimated from the onset of gel behavior.	Ishii <i>et al.</i> 2011
CNF			30- 300				Variation of length	Deviation of length-dependency attributed to fibril flexibility.	Iwamoto <i>et al.</i> 2014
AmC	0.26- 0.48	32- 38	8- 13	30	-	-	Regeneration from H <sub>3</sub> PO <sub>4</sub>	Amorphous cellulose showed shear-thinning behavior with little effect of pH or salts.	Jia <i>et al.</i> 2014
CNC	0.125	4	30	-	-	-	Cross-flow filtration	An ordered arrangement of CNC was observed in cross-flow filtration.	Jin <i>et al.</i> 2015
CNF	0.4-1.0	5- 10	80- 100	-	-	-	NaCl, CaCl <sub>2</sub> , & pH effects	Increasing salt first decreases the viscosity.	Jowkarderis & van deVen 2014
CNF	0.4-1.0	5- 10	80- 100	-	-	4.52	TEMPO-oxidized	Salts, above a critical level, stiffen the network.	Jowkarderis & van deVen 2015

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MFC	-	<50	-	2000- 10000	0.1	-	Cationic methacrylates	The cationic polymer progressively strengthened the gel.	Karppinen <i>et al.</i> 2011
MFC	-	-	-	-	-	-	Digital imaging of rheometry	Low shear gave a heterogeneous floc structure; floc cylinders form.	Karppinen <i>et al.</i> 2012
NFC	200+	-	-	-	-	-	Drying & TEMPO oxidation	Hornification could be reversed by TEMPO oxidation, allowing prep. of NFC.	Kekalainen <i>et al.</i> 2014a
NFC	-	-	-	-	-	-	Periodate- chlorite oxid.	Higher charge density promoted fibrillation and ballooning.	Kekalainen <i>et al.</i> 2014b
CNF	-	10- 150	-	-	-	-	Grinding of TEMPO-oxidized	A charge density of at least 0.5 mmole/g was needed for success of the micro-grinding.	Kekalainen <i>et al.</i> 2015
CNC	0.17	15	11	20- 8000 (5%)	-	-	Drying conditions	Spray-freeze-dried CNC dispersed the best and gave the highest viscosity.	Khoshkava & Kamal 2014
CNC	0.44	45	10	10-40 (.1%)	0.07- 0.1	-	Magnetic field application	The magnetic field increased viscosity, especially at relatively low solids.	Kim & Song 2015
MFC	-	-	-	15-35 (.5%)	-	-	Anionic PAM deflocculant	Adding aPAM deflocculated an MFC suspension, but it became more tangled with increased time.	Korhonen <i>et al.</i> 2014
MFC					0.22- 0.43		Slot & pipe rheometers	Yield stresses observed with slight shear thinning.	Kumar <i>et al.</i> 2016
NFC	0.2-0.5	5- 10	40- 50	-	-	-	TEMPO, then amine grafting	Viscosity increased more sharply above a critical solids of 0.23%.	Lasseuguette 2008
NFC	0.2-0.5	5- 10	40- 50	100	0.1	2- 6	TEMPO, then amine grafting	Viscosity increased more sharply above a critical solids of 0.23%.	Lasseuguette et al. 2008
CNC	0.96	16	60	2-10	0.3	-	Sonication of tunicate whiskers	Time-dependence of gelation implies self-similar clusters having a fractal dimension of 2.4.	Le Goff <i>et al.</i> 2014

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CNC	0.12	6	20	-	-	-	Electrosteric stabilized CNC	Relative viscosity increased with increasing charge (due to pH).	Lenfant <i>et al.</i> 2015
CNF	0.04- 0.50	5- 8	8- 60	-	-	-	Formic acid then TEMPO	The charge due to TEMPO oxidation greatly increased viscosity.	Li <i>et al.</i> 2015a
NFC	10- 20	10- 20	1	-	0.2	-	lonic liquid, & homogenizer	The regeneration from IL yielded odd "blocky" CNC.	Li et al. 2012
CNF	>>1	12- 50	>80	2000	0.2	-	-	Solid-like rheological behavior due to entangled network.	Li <i>et al.</i> 2015b
CNC	0.23- 0.35	6- 7	38- 55	0.1-1	1	-	Aspect ratio varied	Higher hydrolysis increased the critical solids for anisotropic phase.	Li <i>et al.</i> 2015b
CNC	0.23	6.1	38	-	-	-	Drilling fluids	CNCs significantly contributed to viscosity of drilling fluids.	Li <i>et al.</i> 2015c
MFC	>1	12.5	>80	-	-	-	Drilling fluids	MFCs significantly contributed to viscosity of drilling fluids.	Li <i>et al.</i> 2015c
CNC	-	-	-	-	-	-	Drilling fluids	CNCs significantly contributed to viscosity of drilling fluids.	Li <i>et al.</i> 2016
BC	-	60- 95	-	-	-	-	Homogenization of the BC	Shear thinning was observed.	Lin <i>et al.</i> 2015
CNC	0.090	10	9	.001- 0.1	-	-	TEM used for the crystals	Layer-by-layer liquid crystal ordering was observed.	Liu <i>et al.</i> 2011
NFC	-	-	-	-	-	-	TEMPO NaBr hypochlorite & sonoreactor	Viscosity measurements indicate favorable aspects of the process.	Loranger <i>et al.</i> 2012a
NFC	-	-	-	-	-	-	TEMPO NaBr hypochlorite & sonoreactor	Shear-thinning behavior was observed.	Loranger <i>et al.</i> 2012b
MFC	-	-	-	200- 400	0.15	-	CMC as a friction reducer	Gel-like behavior was observed above 0.3% solids. NaCl enhanced gel strength.	Lowys <i>et al.</i> 2001

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CNC	87	16	5.4	0.01- 0.1	0.1- 0.5	-	Model fitting	Shear-induced birefringence was observed, but not liquid crystals due to high repulsive forces.	Lu <i>et al.</i> 2014a
CNC	-	-	-	0.05-1 0.2%	2.7	0.63- 0.80	NaCl effects & cat. polymer	The cationic polyelectrolyte contributed to gel structure, which was weakened by NaCl.	Lu <i>et al.</i> 2014b
CNC	-	-	-	-	2.2- 2.9	1.9- 3.4	Cationic polymer	With increasing cationic polymer, more CNC was needed to achieve gelation.	Lu <i>et al.</i> 2014c
CNC	0.12- 0.24	10- 20	5- 12	-	-	-	Ramie: Ultrasonication	The hydrodynamic unit is an aggregate of gel fragments.	Marchessault <i>et</i> <i>al.</i> 1961
NFC	1- 10	20- 50	50- 200	3- 100	-	-	TEMPO & enzymatic	Mesostructural changes and non-uniformities were sensed. Shear bands formed.	Martiola <i>et al.</i> 2015
NFC	1.2	4	300	-	-	-	Entangled networks	Shear stress & thinning were observed. Bending forces may be involved.	Martiola <i>et al.</i> 2016
CNC	0.11- 0.12	6- 7	16- 20	-	-	-	Coatings	Aqueous suspensions were used to form CNC films on plastic.	Mascheroni <i>et al.</i> 2016
NFC	>1	20	>50	-	-	-	Multi-branch structure	Elastic behavior was attributed to bending forces.	Matsumiya <i>et al.</i> 2017
BC	-	-	-	10k	0.05	1	Intensively networked	Gel structures formed at 0.2% solids or higher.	Mihranyan <i>et al.</i> 2007
NFC	0.5- >1	4- 6	-	-	-	-	Ultrasound & TEMPO	TEMPO oxidation promoted fibrillation by ultrasonication.	Mishra <i>et al.</i> 2012
NFC	-	30	-	-	-	-	Hydrophobized aliphatic	The grafted NFC yielded waxy domains in network suspension.	Missoum <i>et al.</i> 2012a
NFC	-	21	-	-	-	-	NaCl addition before drying	The salt rendered the NFC redispersible.	Missoum <i>et al.</i> 2012b
MFC	-	-	-	-	-	0.3- 0.45	Extensional flow	Extensional viscosity was increased by NaCl addition.	Moberg <i>et al.</i> 2014

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MFC, NFC	6.7 -	-	-	-	-	-	Micro-grinder & TEMPO	The strongly non-linear rheology required a wide-gap device. Shear thinning observed.	Mohtaschemi <i>et al.</i> 2014a
NFC	-	-	-	-	-	-	TEMPO oxidation	Development of Taylor vortices & heterogeneity. Modeling.	Mohtaschemi et al. 2014b
MFC	100- 300	-	-	-	-	-	Enzyme & TEMPO pre- treatments	Transmittance data were judged to be more reliable than viscosity to assess nanocellulose preparation.	Moser <i>et al</i> . 2015
NFC	-	-	-	1500 (2%)	0.1	-	Enzymatic, CMC grafted, & carboxymethyl	Rheological properties were found to be reversible and dependent on electrosteric interactions.	Naderi & Lindström 2016
NFC	-	-	-	-	-	-	Enzymatic, CMC grafted, & carboxymethyl	The carboxymethylated NFC showed the highest fibrillation and viscosity at the same energy input.	Naderi <i>et al.</i> 2016a
NFC	1	20	50	3 .18%	0.3	-	Carboxymeth- ylated NFC	The critical overlap concentration was in the range 0.04 to 0.07%.	Naderi <i>et al.</i> 2014a
NFC	-	-	-	350	0.09	2, 2.4	Carboxymeth- ylated NFC	High elasticity and shear thinning observed. A critical pulp solids is needed for delamination.	Naderi <i>et al.</i> 2014b
NFC	-	-	-	150- 700	0.07	-	Repeated homogenization	Very high shear can lead to a highly uniform suspension.	Naderi <i>et al.</i> 2015a
NFC	-	-	-	36	-	-	CMC addition before drying	Rheological properties were conserved by the CMC treatment, even though film strength was less.	Naderi <i>et al.</i> 2015b
NFC	1	20	50	80	0.2	-	CMC treatment then fibrillation	The CMC treatment was as effective as other treatments to aid fibrillation and viscosity building.	Naderi <i>et al.</i> 2015c

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NFC	>1	20	>50	150- 300 1.9%	0.05	-	Phosphoryl- ation, then fluidization	Increased functionalization yielded higher viscosity. Network structure clearly shown.	Naderi <i>et al.</i> 2016b
MFC	>>1	30+	-	-	-	-	Coating formulations	The CNF gave a yield stress and increased coating viscosity. CMC made it possible to use 4% CNF.	Nazari & Bousfield 2016
MFC	>>1	10- 500	-	500- 1000 (2%)	-	-	Vane couette rheometer	A yield stress and extreme shear-thinning were observed.	Nazari <i>et al.</i> 2016
NFC MFC	>>1	10+, 40+	-	10- 300 (2%)	0.1- 0.2	-	Enzymatic & TEMPO	Flow instabilities, wall slip, and shear banding were observed.	Nechyporchuk et al. 2014
NFC	>>1	10+	-	80	0.2	-	TEMPO-oxidized	Flow instabilities became greater with increasing CNF solids.	Nechyporchuk <i>et</i> <i>al.</i> 2015
MFC	>>1	30- 100	-	-	-	-	Undisclosed pretreatment	Gellation and shear thinning were noted.	Nelson <i>et al.</i> 2016
CNC	-	-	-	-	-	-	Modeling calculations	Cholesteric pattern at low shear becomes aligned at high shear.	Noroozi <i>et al.</i> 2014
CNC	0.215	8	27	-	-	-	CMC solutions	Viscosity was attributed to nematic flocculation of CNC by non-adsorbing CMC.	Oguzlu <i>et al.</i> 2016
BC	>50	20- 100	1000	-	-	-	Bacterial cellulose blended	Thixotropic flow with a yield stress observed.	Okiyama <i>et al.</i> 1993
NFC	>0.1	10	>10	-	-	-	Homogenized regenerated cellulose	Increasing salt first increased, then decreased viscosity. High viscosity at moderately high & low pH.	Ono <i>et al.</i> 2004
CNC	0.18- 0.28	-	30+	-	-	-	Small angle neutron scat.	Increased alignment with increasing shear; relaxation times quantified.	Orts <i>et al.</i> 1995

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CNC	0.18- 0.28	-	-	-	-	-	Magnetic fields	Under flow the CNCs changed from chiral nematic to nematic. Salt decreased viscosity up to the point of agglomeration.	Orts <i>et al.</i> 1998
MFC	10+	-	-	-	-	-	TMP homogenized	Shear-thinning behavior was observed.	Osong <i>et al.</i> 2013
BC	10+	20- 100	-	-	-	-	BC suspensions	High viscosity was observed.	Ougiya <i>et al.</i> 1998
CNF	>1	5-6, 10- 20	-	100	0.1	3	Enzyme-assisted fibrillation	Five orders of magnitude of tunable storage modulus by varying the concentration.	Pääkkö <i>et al.</i> 2007
NFC	0.5+	2.9-4	125+	600- 7000 2.3%	0.2	-	Acid-wash to vary the xylan content	Xylan tends to swell the fibrils, contributing to gel stiffness and shear modulus.	Pääkönen <i>et al.</i> 2016
BC	-	1-37	-	20 2.3%	0.2	-	"	"	Pääkönen <i>et al.</i> 2016
NFC	-	-	-	20- 60	0.1- 0.2	-	Amino-treated surfaces	Rheological studies showed that the structure was unchanged.	Pahimanolis <i>et al.</i> 2011
BC	1-9	60- 100	-	2- 300	0.1- 0.2	-	Sonication	Viscosity first increased then decreased with sonication.	Paximada <i>et al.</i> 2016
NFC	-	-	6.5	-	-	-	Modeling & aggregation	An aspect ratio of 6.5 was based on data fitting. Shear thinning.	Puisto <i>et al.</i> 2012a
NFC	-	-	7	-	-	-	Colloidal rheol. model	The low fitted aspect ratio, <<100, was attributed to clustering.	Puisto <i>et al.</i> 2012b
CNC	0.218	8	27	-	-	-	Aggregation behavior	Viscosity increases with salt addition.	Qi <i>et al.</i> 2015
CNC	325	25	13	10- 20 (3%)	0.15	-	Concentration dependence	Above 0.6% solids the suspension became agglomerated. Viscosity decreases with salt addition.	Qiao <i>et al.</i> 2016

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NFC	0.5	5	100	100 1.2%	0.15	2.1	TEMPO, Surfactants	Micelle-nanofibril bridging was observed.	Quennouz <i>et al.</i> 2016
MFC	-	-	-	1-100k 1.4%	0.2-1	-	Coating formulation	Gel-like behavior with shear thinning was observed.	Rantanen <i>et al.</i> 2015
NFC	-	10+	-	-	-	-	Coating formulation	Thickening effect judged to be too strong for a good paper coating.	Rautkoski <i>et al.</i> 2015
NFC	0.1- 0.5; 0.20	20	-	-	-	-	TEMPO; carboxymeth/ periodate	The lower aspect ratio of the NFC prepared with carboxymethylation & periodate made better 3D ink.	Rees <i>et al.</i> 2015
MCC	-	-	-	-	-	-	CMC stabilizer	Shear thinning was observed.	Rudraraju & Wyandt 2005a
MCC	-	-	-	1.0- 2.0	0.2- 0.5	-	Microcrystalline cellulose	The MCC formed relatively rigid gels.	Rudraraju & Wyandt 2005b
MFC	>1	<100	>10	1000- 2000 1.32%	0.5	-	Prepared from different consistencies	Shear thinning was observed.	Rezayati Charani <i>et al.</i> 2013
MFC	-	-	-	200	0.15	-	Solvent system NaOH/ZnO	<i>G</i> ' > <i>G</i> " when solids > 0.17%	Saarikoski <i>et al.</i> 2015
MFC	-	-	-	-	-	-	Wall depletion & slip; salt	Above the yield stress, floc size was inversely proportional to the shear rate. Rollers were formed.	Saarinen <i>et al.</i> 2014
MFC	6.7	-	-	150- 300 0.3%	0.1	-	Coating formulation	Strong water retention and extended leveling effects.	Salmela <i>et al.</i> 2013
MFC	>>1	10- 200		20-45 (?%)	0.3	-	Polymer or SMI deposition	Fibrillated cellulose dominated the rheological properties.	Samyn & Taheri 2016
MFC	>1k	1000	-	-	-	-	CMC use in coatings	Disordered and ordered alignment observed.	Schenker <i>et al.</i> 2016
CNC	38- 74	-	-	10-100 (5%)	0.5- 1	-	Ultrasonication during prep.	Liquid crystalline phases form above 3% solids; shear thinning.	Shafiei-Sabet et al. 2012

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CNC	100	7	10- 20	10-100 12%	0.5- 1	-	Sulfonation degree	Fingerprint texture observed, then gel formation with increasing solids.	Shafiei-Sabet et al. 2013
CNC	100	7	13- 20	10- 1000 12%	0.01	-	Ionic strength	Salt first weakens electroviscous effect, then causes aggregation.	Shafiei-Sabet et al. 2014
MFC	416- 700	-	-	120- 300	0.25	3.2	Refined SW fibers	Gel character and shear thinning were observed.	Shafiei-Sabet et al. 2015
MFC	-	-	-	-	-	-	3D printing formulation	High yield stress and shear thinning at 2% solids.	Shao et al. 2015
NFC	0.5+	5- 100	-	30- 60	0.1	3.2- 3.7	Shear & solids during prep	Gel-like properties of the corncob-derived NFC.	Shogren <i>et al.</i> 2011
NFC	-	-	-	-	-	-	Charge neutral- ization & salt	Salts induced solid-like behavior, especially with divalent cations.	Sim <i>et al.</i> 2015
MFC	-	10- 30	-	10-40 0.5%	0.15- 0.4	-	CMC & xanthan gum	The negatively charged polymers decreased gel strength.	Sorvari <i>et al.</i> 2014
MFC	50	500- 2k	-	-	-	-	-	Because $\tan \delta$ was <1, all the fines suspensions were denoted "gels".	Subramanian et al. 2008
NFC	>>1	20	>>50	-	-	-	Oil well rheol. modification	Increased yield stress was helpful below the agglomeration point.	Sun <i>et al.</i> 2016
MFC, NFC	0.2- >>1	15- 100	-	30-50 0.5%	0.1	-	Micro-fluidization	Breakdown of the network yielded fluid-like behavior (shear thinning).	Taheri & Samyn 2016
NFC	1.26- 1.53	2- 4	380- 600	500	-	-	Hemicellulose- rich	The hemicellulose-rich suspension were less sensitive to salt than carboxylate-rich NFC.	Tanaka <i>et al.</i> 2016
NFC	0.06- 6	2.6- 15	23- 376	-	-	1.9	Flexibility of the fibrils	Viscosity was a function of solids, regardless of flexibility.	Tanaka <i>et al.</i> 2015

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NFC	0.27- 0.98	3	90- 300	-	-	-	Controlled length	Length was effectively measured by means of viscosity tests.	Tanaka <i>et al.</i> 2014
CNC	0.05- 0.15	10- 20	5- 10	-	-	-	Ultrasonic treatment	-	Tang <i>et al.</i> 2014
BC CNC Tunic.	-	-	-	-	-	3, 4, 7.5	-	The power laws were reflective of axial ratio and flexibility.	Tatsumi 2007
CNC	0.107	20	5.4	0.3-80 12.1%	0.15- 0.7	-	Solids and temperature	The interface between lyotropic domains affected the relaxation.	Ureña-Benavides et al. 2011
NFC MFC	-	33- 73	60- 155	-	-	-	Mechanical preparations	The aspect ratios were determined based on data fitting.	Varanasi <i>et al.</i> 2013
BC	-	-	46- 646	110- 210 0.28%	0.1- 0.15	3.2- 3.5	CMC addition	The CMC caused the gel to be more homogeneous.	Veen <i>et al.</i> 2015
MFC	-	-	-	-	0.2- 2	-	Water-soluble polymers	Salts decreased the viscous effect in presence of polyelectrolytes.	Vesterinen <i>et al.</i> 2010
CNC	0.20- 0.36	-	-	-	-	-	Aminopropyl imidazole	Dispersion by CO <sub>2</sub> sparging and gellation by nitrogen gas.	Wang <i>et al.</i> 2015
NFC	>>20	30- 140	-	-	-	-	Bamboo & ultrasonication	There was an optimum time of ultrasonication for high viscosity.	Wang <i>et al.</i> 2016
MFC	>10	2-10	-	100- 500	-	-	Food emulsion stabilizer	Viscosity decreases with increasing passes through the high pressure homogenizer.	Winuprasith & Suphantharika 2013
CNC	0.05- 0.75	10- 20	-	0.1; 30	0.1	-	Liquid crystal domains	Transitions were observed at overlap and gelation solids levels.	Wu <i>et al.</i> 2014
CNC	0.214	15	10- 20	7	0.3	-	Salt and solids content	Phase diagram includes destabilized systems due to salt.	Xu <i>et al.</i> 2017
NFC	-	16- 32	-	-	-	-	Coating formulation	Shear-thinning behavior was observed.	Xu <i>et al.</i> 2016

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NFC	0.1-0.5	<100	-	-	0.15	-	Drying methods	Rheological tests showed that freeze-dried samples recovered better than other drying methods.	Zepic <i>et al.</i> 2014
MFC	-	23- 30	-	-	-	-	NaOH with homogenation	Shear thinning was observed with simple tests.	Zhang <i>et al.</i> 2012
MFC	>>20	10- 100	-	-	-	-	Saturated KCI solution	The viscosity of MFC in KCI was much lower than in pure water.	Zhang <i>et al.</i> 2015
CNC	-	-	-	-	-	-	Coating formulation	Shear-thinning behavior was observed. But CNC has little effect on coating viscosity.	Zhou <i>et al.</i> 2014
Re-	-	-	-	30- 40	0.15	-	Regeneration	High storage modulus with shear thinning observed	Zhu <i>et al.</i> 2017
CNC	0.05- 0.25	3- 15	15- 20	-	-	-	Poly(NIPAM) brushes	Sharp increase in viscosity when heated to critical temperature.	Zoppe <i>et al.</i> 2011

**Key:** AmC = amorphous cellulose;

BC = bacterial cellulose (in most cases having been subjected to mechanical shearing);

CNC = cellulose nanocrystals (made by breaking down amorphous regions, often by sulfuric acid digestion);

CNF = cellulose nanofibrils (essentially no branches or networks, *e.g.* by cellulase and/or TEMPO oxidation);

MFC = microfibrillated cellulose (sheared; highly fibrillated cellulosic fibers, but can be larger than NFC);

NFC = nanofibrillated cellulose (sheared; fibril diameter less than 100 nm and length less than 100  $\mu$ m); Regen. = regenerated cellulose;

Sph = spherical cellulose particles

**Note:** In the columns for storage modulus, *G*', and tan delta, the default is to show the value corresponding to a 1% solids suspension; if such data were available only at another solids, that solids level is shown (as percent). The "Exponent" refers to the equation,  $\eta_r = (\emptyset)^n$ , where the reduced viscosity is a function of the volume fraction of solids, raised to a power.