

Study on Catalytic Conversion of Cellulose to 5-Hydroxymethyl Furfural by Directional Degradation in Deep Eutectic Solvents

Hongyan Yang,^a Jinyan Lang,^a Junliang Lu,^a Ping Lan^b and Heng Zhang,^{a,b,c,*}

The direct conversion of microcrystalline cellulose (MCC) degrading into 5-hydroxymethyl furfural (5-HMF) was studied using four synthetic deep eutectic solvents (DESs) as solvents and choosing four metal chlorides as catalysts. The factors that affected the yields of the products, such as the type of DESs, the type and dosage of catalysts, the ratio of DESs to MCC, and reaction temperature were researched. It was found that the DES synthesized from oxalic acid and choline chloride (O-DES) and SnCl₄ showed the better performance. The highest 5-HMF and glucose yields of 11.0% and 22.0% were obtained, respectively, for a reaction carried out at 160 °C for 90 min in O-DES (the ratio of it to MCC was 25:1) using 1.42 wt% SnCl₄ as the catalyst. As a new type of dissolution and catalysis system, the DESs were not only cheaper but also easy to obtain. Most importantly, the realization of the concept of green chemistry was achieved in this study.

Keywords: 5-HMF; DESs; Glucose; MCC

Contact information: a: College of Marine Science and Biological Engineering, Qingdao University of Science & Technology, Qingdao, Shandong 266042, P.R. China; b: Guangxi Key Laboratory of Polysaccharide Materials and Modification, School of Chemistry and Chemical Engineering, Guangxi University for Nationalities, Nanning, 530008, P.R. China; c: Key Laboratory of Biomass Chemical Engineering of Ministry of Education, Zhejiang University, Hangzhou 310027, China;

*Corresponding authors: hgzhang@sina.com

INTRODUCTION

Cellulosic biomass is one of the most abundant renewable plant resources available on earth. The annual global production of lignocellulosic biomass is over 340 billion tons (Ge *et al.* 2016). It is an important aspect in the development of green- and circular-economies that cellulose can be converted directly into platform compounds and fine chemicals. Hydroxymethyl furfural (5-HMF) is an important intermediate compound, which could serve as a renewable biomass resource to prepare liquid fuel, a series of chemicals, and synthesize varieties of biofuels (Feng *et al.* 2010), fine chemicals (Bozell and Petersen 2010), and polymers (Tong *et al.* 2010). For example, the addition of solid bases in the presence of certain reagents followed by condensation dehydration could form a series of liquid alkanes for the replacement of petroleum fuels (Huang *et al.* 2012; Hronec *et al.* 2014). Furan-dicarboxylic acid, which can be obtained by further oxidation, was used as the initial raw material for the synthesis of polyester materials (Casanova and Iborra 2010; Villa *et al.* 2013). It is an ideal biomass platform compound (Román-Leshkov *et al.* 2007) to reduce or avoid the consumption of fossil resources and has been listed as one of twelve high value-added biomass derivatives by the U.S. Department of Energy.

Deep eutectic solvents (DESs), which are mixtures composed of hydrogen-bond acceptors and hydrogen-bond donors having a certain molar ratio that can bond with each

other, were first proposed by Abbott *et al.* (2003). The main preparation methods of DESs involve heating (Durand *et al.* 2013), grinding (Florindo *et al.* 2014), and rotary evaporation (Dai *et al.* 2013; Mjalli *et al.* 2012). Their melting points are lower than that of any single component. The earliest application areas of DESs were metal electrodeposition and electropolishing (Abbott and McKenzie 2006). Subsequently, DESs as reaction media have been rapidly applied in the fields of organic compound synthesis (Mota-Morales *et al.* 2013), biocatalysis (Zhao *et al.* 2011), polymer production (Ramesh *et al.* 2013), electrochemistry (Bahadori *et al.* 2013), preparation of nanomaterials (Huang *et al.* 2013), separation processes (Guo *et al.* 2013), biomedicine (Sanchez-Leija *et al.* 2014), and extraction of natural active products (Cui *et al.* 2015; Wei *et al.* 2015). Later, Ilgen *et al.* (2009) and Han *et al.* (2009) used choline chloride (ChoCl)-based DESs as solvent and fructose as raw materials to prepare 5-HMF, which yielded 5-HMF over 30% and 90%, respectively. Matsumiya (2015) used DES synthesized from citric acid dihydrocholine and glycolic acid as a solvent system and B(OH)₃ as catalyst to obtain 5-HMF from glucose with a maximum yield of 42%. Liu *et al.* (2013) showed that 90% yield of HMF could be obtained from fructose in DES mixture formed by citric acid and ChoCl. Therefore, it was feasible to prepare 5-HMF from glucose or fructose in DESs.

Considering the economic factors, it would be ideal to use cellulose with lower price as raw material. However, cellulose is not easy to degrade because of higher crystallinity, so this will be a greater challenge. Liu *et al.* (2013) degraded microcrystalline cellulose (MCC) pretreated with FeCl₃ and AlCl₃ at 200 °C in the two-phase system of H₂O-ChoCl/MIBK to obtain 5-HMF with maximum yield of 27%, and they degraded MCC pretreated with ionic liquids (at this time, the degree of polymerization of MCC was very low) to obtain 5-HMF with maximum yield of 49%. This demonstrates that it is feasible to obtain 5-HMF from MCC using DESs as solvent. In this paper, on the basis of previous studies (Matsumiya 2015; Zuo *et al.* 2017), several types of DESs were synthesized as dissolving systems, and metal chloride as catalyst were used to degrade MCC with higher degree of polymerization that is closer to industrial cellulose or MCC from dissolved pulp (degree of polymerization degree of 251). The feasibility of preparing 5-HMF by degrading MCC in DESs system was systematically studied in this work, which could provide the theoretical basis and data support for large-scale production in the future.

EXPERIMENTAL

Materials

Glucose was purchased from Henan Lianchuang Chemical Co. Ltd, Jiyuan, China. Chromium chloride (99%), choline chloride (99%), citric acid (98%), and glycerol (98%) were obtained from Shandong Xiya, Linyi, China. Urea (97%) and oxalic acid (98%) were purchased from Tianjin Damao, Tianjin, China. The MCC (polymerization degree of 251) was obtained from Chengdu Kelon, Tianjin, China. The 5-hydroxymethyl furfural (HPLC grade, 99.9%) was obtained from Shandong Xiya, Linyi, China. Copper chloride (98%), ferric chloride (99%), and tin chloride (98%) were purchased from Tianjin Beichen Fangzheng Reagent Factory, Tianjin, China.

Preparation of 5-HMF and Glucose from Cellulose Degraded by DESs

The required reagents were dried in a vacuum drying chamber at 50 °C for 48 h. Hydrogen bond donors and hydrogen bond acceptors (molar ratio=2:1) were added to a

four-neck flask, stirred and heated under nitrogen in an oil bath at a specified temperatures. When a fully homogeneous transparent liquid was obtained, the reaction was stopped, and transferred to a plug bottle. Then, the prepared DESs were dried for 48 h in a vacuum dryer (vacuum dryer, DHG, Ta Company, USA) at 70 °C and stored in a desiccator.

The DESs synthesized with choline chloride as hydrogen bond acceptor and oxalic acid, citric acid, urea, and glycerol as hydrogen bond donors were abbreviated as O-DES, C-DES, U-DES, and G-DES, respectively.

Prepared DESs were added into a four-neck flask under N₂ and heated to 100 °C. The reaction was adjusted to the set temperature until DESs were dissolved completely, and then a certain amount of MCC was added. The solvent and MCC were mixed sufficiently. Then, the catalysts were added to start the reaction. A certain amount of the reaction solution was taken up with a pipette every half hour and diluted by 20 to 50 times before measuring the absorbance with a UV spectrophotometer (Zhang *et al.* 2018). The yields of products were calculated according to the test methods of Hou *et al.* (2017).

Calculation of Product Yields

The calculation for glucose yield was as follows,

$$Y_G = \frac{C_G \times V}{m} \times 100\% \quad (1)$$

where C_G represents glucose concentration in mg/mL; V indicates the volume of the reaction solution in mL; and m is the initial amount of MCC in (mg).

The calculation for 5-HMF yield was as follows,

$$Y_{5-HMF} = \frac{C_{5-HMF} \times 180V}{126m} \times 100\% \quad (2)$$

where C_{5-HMF} represents the concentration of 5-HMF in mg/mL.

RESULTS AND DISCUSSION

Effect of DESs Type on Product Yield

An MCC:DESs ratio of 1:25 (mass ratio) was used. The yields of products of the reactions were calculated after 1.5 h, 2 h, 3 h, and 12 h at 170 °C, respectively. Table 1 shows the yields of 5-HMF and glucose from the degradation of cellulose varied when different types of DESs were used.

Table 1. Effect of DESs Types on Product Yields

Products Yields (%)	Types of DESs			
	G-DES	U-DES	C-DES	O-DES
5-HMF	0.59	0.26	1.8	8.6
Glucose	7.9	3.8	10.26	16.6

Among the four types of DESs, the yields of 5-HMF and glucose were the highest in O-DES, achieving 8.6% and 16.6% yields, respectively, followed by C-DES, and the lowest yields were obtained in U-DES. The viscosity of DESs had a great influence on the dissolution of MCC. A lower viscosity was more advantageous to the movement of ions, and the MCC was dissolved easily. However, the viscosity of U-DES was much higher than that of O-DES. When dissolving MCC, the reaction mixture was in a viscous state,

which resulted in the reactants sticking to the reactor wall, decreasing the contact between solvent molecules and MCC. The 5-HMF produced in the reaction was encapsulated by solvent, causing the reunion of 5-HMF with solvent molecules that increased the side reactions. Therefore, the dissolution effect of MCC by U-DES and the yields of products were poorer than that of three other DESs. In O-DES, the yield of glucose was 16.6%, while that of 5-HMF was only 8.6%. The 5-HMF was unstable in O-DES and did not extract from the solution in time, which led to side reactions. In the initial stage of reaction, the color of the reaction solution was transparent and colloidal. With increasing reaction time, the color of the solution changed to black (Wang *et al.* 2019). It was presumed that this was due to the instability of 5-HMF and this resulted in some side reactions as well.

The results showed that O-DES exhibited the highest solubility for MCC compared with the other three DESs, which was conducive to the production of 5-HMF. Therefore, O-DES was chosen as the next reaction solvent.

Effect of the Type of Catalyst Used on Product Yields

The MCC was added to O-DES solvent at the ratio of 1:25 (mass ratio). Then four metal chlorides were tested as catalysts, respectively. The yields of 5-HMF and glucose were explored at the reaction temperature of 150 °C for 1.5 h.

Table 2. Effect of Catalysts Types on Product Yields

Products Yields (%)	Types of Catalysts			
	SnCl ₄	CrCl ₃	CuCl ₂	FeCl ₃
5-HMF	11.06	10.79	6.91	7.60
Glucose	22.70	20.10	17.23	19.20

As shown in Table 2, the yields of 5-HMF and glucose varied depending on the types of catalysts used. Among the four catalysts, SnCl₄ had the best catalytic effect, and the maximum yields of 5-HMF and glucose were 11.06% and 22.7%, respectively. When CrCl₃ was used as the catalyst, the yields of 5-HMF and glucose were 10.79% and 20.1%, respectively. The catalytic effect of CrCl₃ was similar to that of SnCl₄. The worst catalyst was CuCl₂. Four metal chloride catalysts tested in this experiment all belonged to the Lewis acid category, which could meet the acidic environment required for the hydrolysis reaction of MCC (Matsumiya 2015). But the yields of the target product after catalysis were different. As the transitional metals Cr and Sn have many empty orbitals, the solitary pair electrons on oxygen atoms in MCC-hydroxyl group could enter the empty orbit of metal ions and form intermediate complexes with DESs through coordination. The complex thus formed can split and recombine with MCC hydroxyl group to form proton donor, which would make glucose isomerize into fructose and followed by dehydration into the target product 5-HMF (McClure *et al.* 1993). However, for Fe and Cu, they contain less empty orbitals than Cr and Sn, so the number of lone pair electrons of oxygen atoms in cellulose hydroxyl entering into empty orbitals is small, so the ability to destroy cellulose hydrogen bond is weak, so the catalytic effect is not as good as Cr and Sn.

Effect of Catalyst Dosage on Product Yields

The MCC was added to O-DES solvent at the ratio of 1:25 (mass ratio). The effect of various dosages of SnCl₄ catalyst on products yields was studied at 150 °C. Table 3 shows that with the increase of SnCl₄ dosage, the yields of 5-HMF and glucose were

increased first, then they remained stable, and they finally decreased. In the absence of the catalyst, the yield of 5-HMF was 6.68%, indicating that the solvent system itself exhibited some catalytic activity for MCC, but the catalytic effect was poor. As the catalyst dosage increased, the yields of 5-HMF and glucose continued to increase. When the amount of catalyst reached 1.42 wt%, the yield of 5-HMF was maximum (8.06%), and it continued to increase with the catalyst dosage. The yields of both products decreased when the catalyst dosage was less than 1.42 wt%. This was because of the acidity of the reaction system that did not reach the acidity level required for the reaction itself, and hence a good catalytic effect was not obtained. So the product yield continued to increase with the continuous addition of the catalyst. When the catalyst dosage was slightly more than 1.42 wt%, the Lewis acid concentration of the reaction system was improved, which enhanced the ability to break the MCC-glycosidic bonds. Therefore, the hydrolysis rate of MCC became faster, and the yields of glucose and 5-HMF increased. When the catalyst was excessive, the excessive acidity led to the decomposition of 5-HMF into humins, levulinic acid, and other by-products (Huddleston *et al.* 1998), which further reduced the yield of 5-HMF.

Table 3. Effect of the Amount of Catalyst on Product Yields

Product Yield (%)	Amount of catalyst (wt%)						
	0.00	0.71	1.42	2.13	2.84	3.55	4.26
5-HMF	6.68	7.31	8.06	8.05	8.04	7.95	7.65
Glucose	25.00	26.78	28.50	28.53	28.50	28.21	27.85

Effect of the Ratio of DESs to Cellulose on Product Yields

The effect of the ratio of DESs to MCC on the yields of glucose and 5-HMF was studied at the SnCl₄ dosage of 1.42 wt% and temperature of 140 °C, as shown in Table 4.

Table 4. Effect of the Mass Ratio of DES to Cellulose on Product Yields

Products Yields (%)	Ratio of DESs to cellulose						
	5.00	10.00	15.00	20.00	25.00	30.00	35.00
5-HMF	5.00	5.96	6.31	6.96	8.16	8.09	8.05
Glucose	9.78	13.02	17.55	18.78	18.93	18.98	19.01

As the amount of O-DES increased, the yields of glucose and 5-HMF also increased. When the ratio of O-DES to MCC reached 25:1, the yields of glucose and 5-HMF were at 18.9% and 8.2%, respectively. As the mass ratio of O-DES to MCC increased continuously, the yield of 5-HMF remained basically unchanged, and the rate of glucose formation decreased. When the ratio of O-DES to cellulose reached 35:1, the yield of glucose was only 19%, the rate remained basically unchanged. The reason why the target products first remained unchanged after the increase was that DES could increase the hydrolysis rate and hydrolysis efficiency of MCC. As the amount of DES increased, the hydrogen bond network of MCC was destroyed more and more, which led to increased glucose yield, and the yield of 5-HMF also increased. When DES was added in excess, the solvent was increased, the amount of the catalyst was unchanged, and the acidity of the reaction system became low. Thus, the hydrolysis of MCC and glucose was more difficult,

and the glucose yield was slightly decreased. On the other hand, if the generated 5-HMF was not separated in time and it can further converted into other substances, resulting in a decrease in 5-HMF yield.

Effect of Reaction Temperature on Product Yields

At a specific amount of solvent and MCC, at DES:MCC ratio of 25:1 (mass ratio), and with 1.42% SnCl₄, the effects of temperature and time on 5-HMF and glucose yields were investigated at 140 °C, 150 °C, 160 °C, and 170 °C, respectively. The experimental results were shown in Fig. 1.

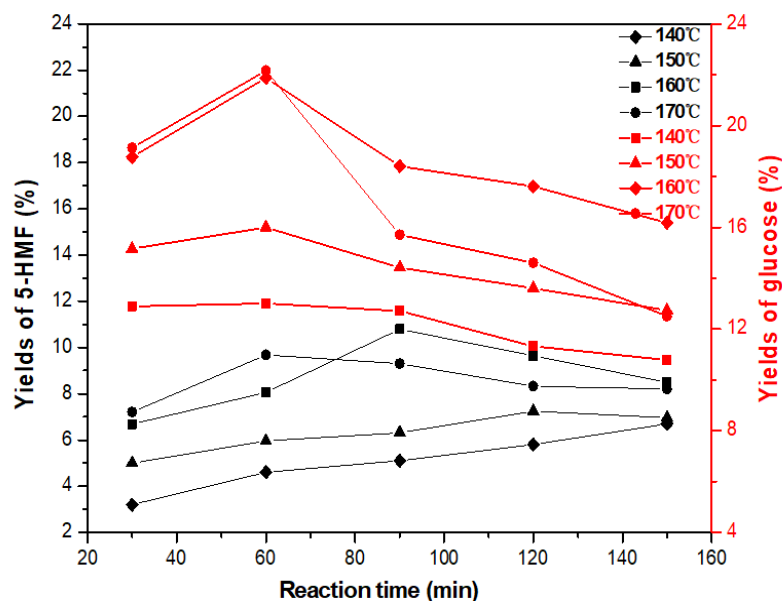


Fig. 1. Effect of reaction time and temperature on 5-HMF and glucose yields

With the increase of temperature, the yields of 5-HMF and glucose both increased first and then decreased. As the temperature was increased, the time required for 5-HMF and glucose to reach the highest yields were getting shorter and shorter. When the temperature was 140 °C and the reaction time was 30 min, the yield of 5-HMF was 3.2%. As the reaction progressed, the yield of 5-HMF increased. The yield of 5-HMF increased as the reaction progressed up to 150 min. Thus, the temperature was not high enough to reach the temperature required for the reaction. When the temperature continued to rise, the yield of 5-HMF increased extensively. When the temperature reached 160 °C, the maximum yield of 5-HMF reached at 90 min, which was 10.79%. When the temperature was continuously increased, the time at which the highest yield of 5-HMF obtained was significantly shortened, but the highest yield was 9.68%, which was lower than the maximum yield of 5-HMF at 160 °C. Similarly, at 140 °C, the maximum yield of glucose was 13% at 60 min, and at 160 °C and 170 °C, the reaction time was 60 min, the yield of glucose reached the maximum and remained the same.

The yields of 5-HMF and glucose increased first and then decreased with increasing temperature. This was because as the temperature increased, the movement of MCC molecules became more intense, the probability of collision increased, and the breakage of intermolecular and intramolecular glycosidic and hydrogen bonds of MCC also increased. Therefore, the rate of hydrolysis of MCC to glucose was improved, the time to the highest

yield was shortened, the yield of glucose became higher, and the yield of 5-HMF was also increased. However, when the temperature rose to 170 °C, the yields of 5-HMF and glucose was decreased. This was because the retention of 5-HMF in the solvent was lowered at temperatures above 170 °C (Yu and Tsang 2017), and 5-HMF was further hydrolyzed to by-products such as levulinic acid. In summary, when the reaction time was 90 min and the reaction temperature was 160 °C, the maximum yields of glucose and 5-HMF were obtained, which were 22% and 11%, respectively.

CONCLUSIONS

1. Four deep eutectic solvents (DESs) were synthesized and used to degrade microcrystalline cellulose (MCC) to prepare glucose and 5-hydroxymethylfurfural (5-HMF). Among four DESs and four chloride catalysts studied, a combination of oxalic acid and choline chloride (O-DES) and SnCl₄ showed the best effect on the degradation of MCC to prepare 5-HMF and glucose. Experiments had shown that the reaction temperature, reaction time, and ratio of MCC to DESs all affected the product yield. When the MCC:O-DES ratio was 1:25 (mass ratio) and 1.42% SnCl₄, at 160 °C for 90 min, the yields of 5-HMF and glucose achieved were maximum, and the yields were 11% and 22%, respectively.
2. The results showed that it was feasible to use DESs as a solvent to catalyze the degradation of MCC with metal chloride as catalyst to prepare the high value-added biomass-derived chemical 5-HMF, which provided an important theoretical basis and practical application for the conservation and utilization of resources.

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SUPPLEMENTARY APPENDIX

Preparation of DESs

The required reagents were dried in a vacuum drying chamber at 50 °C for 48 h. Hydrogen bond donors and hydrogen bond acceptors (molar ratio=2:1) were added to a four-neck flask, stirred and heated under nitrogen in an oil bath at certain temperature. When a fully homogeneous transparent liquid was obtained, the reaction was stopped, and transferred to a plug bottle. Then, the prepared DESs were dried for 48 h in a vacuum dryer (vacuum dryer, DHG, Ta Company, USA) at 70 °C and stored in a desiccator. The synthesis conditions of four DESs are listed in Table 1.

Table S1. Synthesis Conditions of Four DESs

HBA	HBD	Synthesis conditions			Types of DESs
		Molar ratio(HBA:HBD)	Temperature (°C)	Time (min)	
Choline chloride	Citric acid	1:2	80	60	C-DES
	Glycerol		35	10	G-DES
	Urea		80	120	U-DES
	Oxalic acid		50	30	O-DES

The DESs synthesized with choline chloride as hydrogen bond acceptor and oxalic acid, citric acid, urea, and glycerol as hydrogen bond donors were abbreviated as O-DES, C-DES, U-DES, and G-DES, respectively.

Effect of Temperature on the Viscosity of DESs

It can be seen from Fig. S1 that the viscosity of DESs is sensitive to temperature change. With the increase of temperature, the viscosity of DESs decreased, because the effects of the van der Waals force and hydrogen bond force in the system are weakened gradually. At the same temperature, the viscosity of U-DES was significantly higher than that of the other three DESs, followed by G-DEs and C-DES, and O-DES had the smallest viscosity.

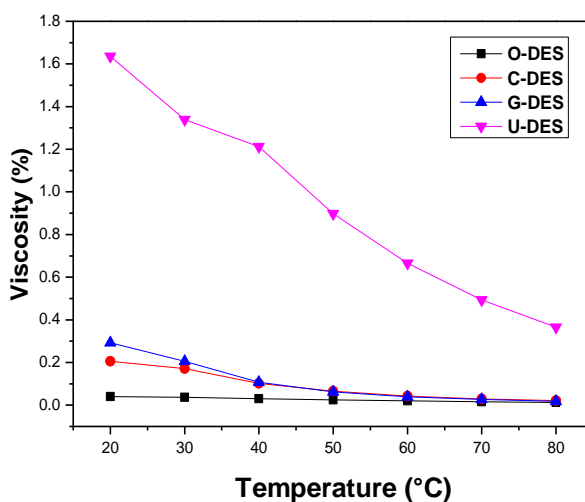


Fig. S1. Effect of temperature on the viscosity of DESs

Low viscosity is beneficial to the mass transfer between solute and solvent and provides higher permeability into cellulosic material having a tight structure. This is conducive to the formation of new molecular hydrogen bond between DESs and cellulose and increases the solubility of cellulose. When the temperature continued to rise to 40 °C, the decreasing trend of DESs' viscosity was not obvious. This is because the force between DESs molecules is not sensitive to the temperature change, so the temperature has a poor effect on the viscosity.