

Synthesis and Properties of Dehydroabietyl Glycidyl Ether Grafted Hydroxypropyl Chitosan

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A nonionic surfactant based on chitosan and rosin, *i.e.* dehydroabietyl glycidyl ether grafted hydroxypropyl chitosan (DAGE-g-HPCS), was synthesized for the first time through reaction between hydroxypropyl chitosan (HPCS) and dehydroabietyl glycidyl ether (DAGE). The surface activities of DAGE-g-HPCS in aqueous solution were investigated *via* determination of surface tension, and its emulsifying ability was evaluated according to the stability time of emulsion composed of benzene-water with DAGE-g-HPCS as emulsifier; the foam stability was evaluated using the *oscillation* method. Through modulating the molecular weight of HPCS and the grafting degree (DG) of DAGE-g-HPCS, the emulsion stability and foam stability were surprisingly controllable. Compared to HPCS and specific emulsifier, the stability time of emulsion composed of benzene-water with DAGE-g-HPCS showed a remarkable performance with DG of 16.5% as the emulsifier was 7320 s, and the foam retention of DAGE-g-HPCS with 2.0 g·L⁻¹ was 91.5%. Simultaneously, the critical micelle concentration (CMC) of DAGE-g-HPCS decreased with increased DG, while the emulsifying ability and foam stability exhibited opposite trend. The CMC, emulsifying ability, and foam stability of DAGE-g-HPCS increased with decreased HPCS molecular weight. These results demonstrated that the DAGE-g-HPCS was an excellent surfactant that should be considered as a promising substitute for petrochemical nonionic surfactant.

Keywords: Surfactant; Chitosan; Emulsifying ability; Foam stability

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INTRODUCTION

Rosin is a mixture of resin acids including different abietic and primary acids that have hydrophenanthrene structures, a certain quantity of fatty acids, and a few other neutral components (Maiti *et al.* 1989; Botham *et al.* 2008; Silvestre and Gandini 2008). Rosin is a biodegradable and biocompatible compound, and oral toxicity studies on animals have shown rosin to be practically non-toxic (Lin *et al.* 2018). The presence of a hydrophenanthrene ring in the structure of dehydroabietic alcohol could endow it with hydrophobicity and rigidity, and make it possible to prepare a surfactant with dehydroabietic alcohol as the chemical to form a hydrophobic group (Liang *et al.* 2018; Jia *et al.* 2019). Rosin can also be modified and grafted to obtain Gemini surfactants. First, rosin acid reacts with ethanol to obtain ethyl rosinate, which is then modified with fumaric acid. Finally, the modified ethyl rosinate is grafted with an epoxy quaternary ammonium salt to obtain a rosin-based Gemini surfactant. Maleic anhydride propyl chloride can be synthesized by the reaction of maleic acid with chlorobenzyl ester, which then reacts with

epichlorohydrin to form 2-hydroxy-3-L-maleic anhydride propyl chloroacetate, and finally 2-hydroxy-3-L-maleic anhydride propyl chloroacetate reacts with triethylamine to obtain bis-N-(3-levoperic acid maleate adduct-2-hydroxy)propyl-triethylammonium, a rosin-based cationic surfactant (Chen *et al.* 2012).

Chitosan (CTS) is a type of natural macromolecule with biocompatibility, biodegradability, nontoxicity, and renewability, *etc.* CTS is obtained by deacetylation of chitin, which is the second most abundant biopolysaccharide in nature, next to cellulose. The chitosan-based surfactants could be synthesized by grafting of chitosan's hydrophilic groups with hydrophobic groups (Yoshioka *et al.* 1995; Lee *et al.* 2005a, 2005b; Babak and Desbrieres 2006; Chatterjee *et al.* 2010; Gârlea *et al.* 2010; Negm and Ali 2010; Chatterjee *et al.* 2011; Bharmoria *et al.* 2013; Richardson *et al.* 2013; Atta *et al.* 2014; Scheeren *et al.* 2016; Li *et al.* 2018; Senra *et al.* 2018; Yang *et al.* 2018). The N-mercapto chitosan with different alkyl chain lengths could be obtained by the reaction between chitosan and glucosamine residue in the presence of high anhydride (Lasareva *et al.* 2018). Chitosan could also be depolymerized into low-molecular weight chitosan, then react with an aldehyde-terminated polyethylene oxide that was formed by an oxidizing hydroxy group at the terminal of the hydrophilic polyethylene oxide to synthesis chitosan-based surfactant in the presence of sodium cyanoborohydride (Mai-Ngam 2006).

However, there has been no report yet concerned with the preparation of a surfactant based on hydroxypropyl chitosan and dehydroabiatic alcohol. Herein, the authors report a novel nonionic polymeric surfactant, dehydroabiatic glycidyl ether grafted hydroxypropyl chitosan (DAGE-g-HPCS) with good emulsifying properties (the stability time of emulsion composed of benzene-water with DAGE-g-HPCS as the emulsifier was 7318 s) and foam stability (the foam retention of DAGE-g-HPCS with aqueous solution of $2.0 \text{ g} \cdot \text{L}^{-1}$ was 91.5%), which were obtained by the grafting reaction between dehydroabiatic glycidyl ether (DAGE), a derivative of dehydroabiatic alcohol, and hydroxypropyl chitosan (HPCS).

EXPERIMENTAL

Materials

Chitosan was purchased from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China). Its degree of deacetylation was 88.95%. Sodium hydroxide (NaOH) and isopropanol were analytical grade and purchased from Shanghai Lingfeng Chemical Reagent Co., Ltd. (Shanghai, China). Propylene oxide was analytical grade and purchased from Shanghai Zhanyun Chemical Co., Ltd. (Shanghai, China). Acetone was analytical grade and purchased from Shanghai Shenxiang Chemical Reagent Co., Ltd. (Shanghai, China). Anhydrous ethanol was purchased from Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China) and was analytically pure.

Analytical Methods

The Fourier transform infrared (FT-IR) spectra were measured on a Jasco-4100 (JASCO Co., Ltd., Tokyo, Japan) with KBr disks. The hydrogen-1 nuclear magnetic resonance (^1H NMR) was measured on a Bruker AVIII-500 spectroscope with TCI CryoProbe (Bruker Tech. and Serv. Co., Ltd., Beijing, China). Elemental analysis (C, H, and N) were performed on a FLASH 2000 Vario micro elemental analyzer (THERMO Inc., Waltham, MA, USA). The ultraviolet-visible (UV-Vis) spectra were measured on a UV-

5500 PC ultraviolet visible spectrophotometer (METASH Co., Ltd., Shanghai, China). The degree of substitution (DS) and grafting degree of (DG) were calculated based on the elemental analysis results.

Synthesis of HPCS

The CTS was alkalized with 30% NaOH solution for 4 days. Then, 20.0 g alkalized chitosan, 180 mL isopropanol, and 141.6 g propylene oxide were added to a flask and stirred at 50 °C for 36 h. The reacted material was filtered, and the solids were taken out and dissolved in 500 mL of distilled water. The obtained solution was placed in a dialysis bag and dialyzed in distilled water for 24 h before it was concentrated under reduced pressure. The concentrated mixture was precipitated with acetone, and the precipitate was collected by filtration. Finally, the obtained precipitate was freeze-dried to yield a pale yellow HPCS powder.

A total of 4 g HPCS, 150 mL of distilled water, 250 mL of 2% HCl solution, and an appropriate amount of 8% sodium nitrite solution were added to a flask and stirred at 60 °C for 0.5, 1, 1.5, 2, and 3 h. Then, the pH of the resulting solution was adjusted to 7.5 with aqueous ammonia, and 0.8 g sodium borohydride was added to the solution and stirred at 35 °C for 20 min. The obtained mixture was then concentrated and precipitated with acetone, finally, the obtained precipitate was freeze-dried to give a different molecular weight HPCS.

Synthesis of DAGE and DAGE-g-HPCS

A total of 10 g dehydroabietic alcohol, 80 mL toluene, and metered BF_3 -ether were added to a flask, the metered epichlorohydrin was added dropwise, and the temperature of the material was controlled below 25 °C during the dropping process. Then the resulting mixture was stirred at 65 °C for 4 h, and an appropriate amount of toluene and 4.5 g NaOH solution with mass fraction of 5% were added to the resulting mixture and stirred at 35 °C for 5 h. After alkalization treatment, the obtained mixture was washed with water to neutral, and dehydrated with anhydrous sodium sulfate to remove toluene to obtain the light yellow DAGE oil-like liquid.

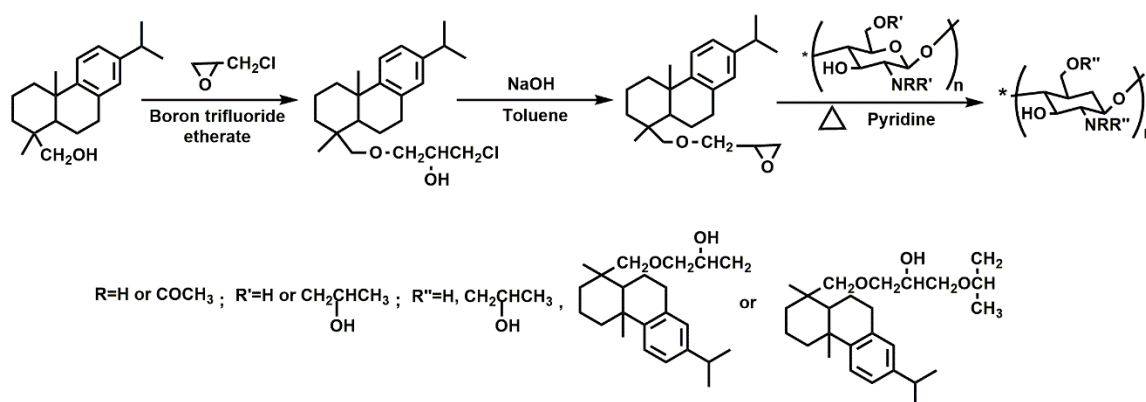


Fig. 1. The synthetic routes for the preparation of DAGE-g-HPCS

Next, 2 g HPCS, 60 mL pyridine, and a certain amount of DAGE were added to a flask and stirred at 50 °C for 4 h. The obtained mixture was then concentrated under reduced pressure to remove most of the pyridine and precipitated with acetone. Then the

resulting product was then dissolved in 300 mL distilled water and dialyzed for 24 h. The dialysate was concentrated under reduced pressure and precipitated with acetone, finally, the precipitated product was extracted using a Soxhlet extractor with acetone for 8 h. The obtained precipitate was freeze-dried to give a pale yellow DAGE-g-HPCS powder. The synthesis route of DAGE-g-HPCS is shown in Fig. 1.

Elemental Analysis of HPCS and DAGE-g-HPCS

The total amount of C and N of HPCS and DAGE-g-HPCS was measured by elemental analysis, and the C/N ratio was calculated. Then, the DS value of HPCS and DG value of DAGE-g-HPCS was calculated by the following equation based on the C/N ratio and DD value:

$$DS = \frac{7 \times \frac{C}{N} + 12DD - 48}{18} \quad (1)$$

$$DG = \frac{7 \times \frac{C}{N} - 48 + 12DD - 18DS}{138} \quad (2)$$

The C/N is the ratio of total carbon to total nitrogen in the product, *DD* is the degree of deacetylation of chitosan, *DS* is the degree of hydroxypropyl substitution of HPCS, and *DG* is the grafting degree of DAGE-g-HPCS.

Surface Activity of DAGE-g-HPCS

The DAGE solution of 2 g L⁻¹ was diluted to different concentrations of 1 g L⁻¹, 0.5 g L⁻¹, 0.25 g L⁻¹, 0.125 g L⁻¹, 0.062 g L⁻¹, 0.031 g L⁻¹, and 0.016 g L⁻¹. The surface tension (γ) of all the DAGE-g-HPCS solutions at 24 °C was determined by the pull ring method (Chen *et al.* 2011), and the γ of each concentration sample was measured three times and averaged. The γ -logC relationship (Origin Corporation, OriginLab, v.9.0, Northampton, MA, USA) curve was made to determine the critical micelle concentration (CMC), the surface tension value corresponding to CMC (γ_{CMC}) and the minimum surface tension (γ_{min}) of DAGE-g-HPCS.

Emulsifying Ability of DAGE-g-HPCS

The 0.25 g DAGE-g-HPCS was dissolved in 50 mL distilled water and filtered through a G3 sand core funnel. Then 40 mL of the filtrate and 40 mL benzene were transferred into a 100-mL stoppered cylinder. The obtained mixture stood for 2 min after shaking up and down vigorously 20 times, and the above operation was repeated 5 times. The time used to separate 10 mL of water from benzene-aqueous emulsion was measured three times, and the average of the three sets of data was taken as the emulsion stabilization time.

Foam Stability of DAGE-g-HPCS

A total of 100 mL DAGE-g-HPCS solution with the concentration of 2.0 g L⁻¹ was prepared, and then 50 mL of the prepared solution was transferred to a 100-mL stoppered cylinder. The resulting mixture was vigorously shaken up and down 50 times, and the foam height at 0 min and 5 min were recorded. The ratio of the foam height at 5 min to 0 min was taken as the foam retention of the surfactant. The above operation was repeated three

times to obtain three sets of data and the average value was taken as a measure of foam stability of the surfactant.

RESULTS AND DISCUSSIONS

Chemical Characterization

The results of DS of HPCS and DG of DAGE-g-HPCS are shown in Table 1.

Table 1. The DS of HPCS and DG of DAGE-g-HPCS

Sample Name ^a	Content of C (%) ^b	Content of N (%) ^c	C/N	DS	DG
HPCS	41.49	5.69	7.30	0.76	
DAGE-g-HPCS1	42.16	4.71	8.96	0.76	0.0538
DAGE-g-HPCS2	40.77	4.88	8.36	0.76	0.0844
DAGE-g-HPCS3	43.39	4.61	9.40	0.76	0.1071
DAGE-g-HPCS4	44.61	4.47	9.97	0.76	0.1360
DAGE-g-HPCS5	45.76	4.36	10.55	0.76	0.1654
DAGE-g-HPCS I	40.87	4.80	8.51	0.76	0.0618
DAGE-g-HPCS II	41.98	4.96	8.46	0.76	0.0593
DAGE-g-HPCS III	42.90	5.01	8.55	0.76	0.0639
DAGE-g-HPCS IV	43.07	5.15	8.36	0.76	0.0542
DAGE-g-HPCS V	43.73	5.13	8.52	0.76	0.0627

^a Samples from DAGE-g-HPCS1 to DAGE-g-HPCS5 are defined by the feed of DAGE/HPCS, the number behind HPCS represents the feed molar percentage of DAGE/HPCS. Samples from DAGE-g-HPCS I to DAGE-g-HPCS V are defined by the molecular weight of HPCS, the number behind HPCS from I to V represents the molecular weight of HPCS from high to low;

^{b, c} Calculated from elemental analysis

The FT-IR spectra of CTS, HPCS, and DAGE-g-HPCS are shown in Fig. 2. In the FT-IR spectrum of CTS, the absorption peaks of 1639 and 1316 cm^{-1} represent amide I and amide III, respectively. The 3426 cm^{-1} represents the stretching vibration absorption peaks of O-H and N-H; 1385 cm^{-1} represents the C-H bending vibration peak of the methyl group; 1156 cm^{-1} reflects the absorption peak of the oxygen bridge; and 1426 cm^{-1} reflects the C-H deformation vibration and bending vibration absorption of the methyl group and the methylene group. The 1053 and 1083 cm^{-1} signals reflect the C-O stretching vibration absorption peak, and the peaks at 2971 and 2924 cm^{-1} are C-H stretching vibration absorption peaks reflecting methyl and methylene groups (Boamah *et al.* 2015; Skwarczynska *et al.* 2019; Zhang *et al.* 2019).

Compared to CTS, in the FT-IR spectrum of HPCS, the peak intensities at 2971 cm^{-1} , 2924 cm^{-1} , and 1457 cm^{-1} noticeably increased, and the appearance of a strong absorption peak at 1367 cm^{-1} , ascribing to stretching vibration of C-H, indicated the presence of more methylene groups in the HPCS structure. Meanwhile, an absorption peak appeared at 1075 cm^{-1} that was ascribed to the stretching vibration of C-O in the secondary hydroxyl group, and an absorption peak that appeared at 1303 cm^{-1} was ascribed to the stretching vibration of C-N in the secondary amine structure. Hence, the hydroxypropyl

groups are replaced on both hydroxyl groups and amino groups of CTS (Zhu *et al.* 2017; Kazemi *et al.* 2019).

In the FT-IR spectrum of DAGE-g-HPCS, the intensity of absorption peaks at 2927 and 2833 cm^{-1} ascribed to methyl and methylene groups remarkably increased, which showed that more groups were present in the DAGE-g-HPCS structure. The absorption peak that appeared at 1606 cm^{-1} was ascribed to the aromatic ring structure, demonstrating that the dehydroabietyl groups were successfully introduced into the HPCS structure. Meanwhile, the absorption peak at 1264 cm^{-1} was ascribed to the stretching vibration of C-O in a secondary alcohol structure or an ether structure (Niu *et al.* 2018; Singh *et al.* 2018; Lu *et al.* 2019).

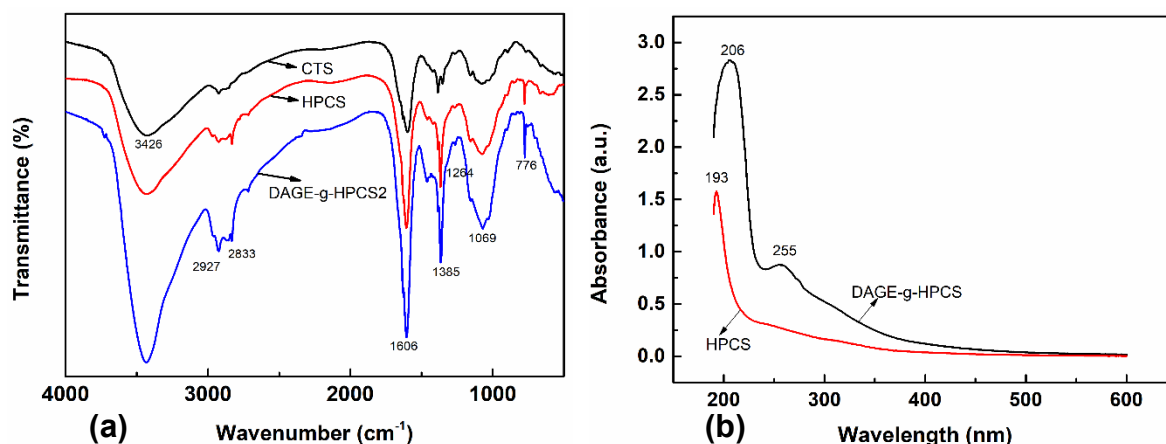


Fig. 2. The FT-IR spectra of CTS, HPCS, and DAGE-g-HPCS2 (a); UV spectrum of HPCS and DAGE-g-HPCS2 (b)

From the UV spectra of HPCS and DAGE-g-HPCS2, the HPCS solution exhibited a strong absorption peak at 193 nm with a narrow shape, while for the DAGE-g-HPCS2 it shifted to the right 13 nm compared with HPCS and appeared at 206 nm. Additionally, a new absorption peak was also formed at 255 nm, which indicated that DAGE had been successfully grafted onto HPCS and the graft site was mainly on the amino groups.

In the ^1H NMR spectra of HPCS (Fig. 3), 4.42 ppm was assigned to H of C-1 in glucosamine (Glc N) or N-acetylglucosamine (Glc NAc) units, 3.86 ppm was assigned to H of C-5 in O-substituted Glc N or Glc NAc units, 3.86 to 3.06 ppm peaks were assigned to H of C-3, C-6, C-2, and methylene of O-hydroxypropyl in Glc NAc unit, signals of 3.06 to 2.63 ppm were assigned to H of C-6 in the O-hydroxypropyl sugar unit, and the H of C-2, C-4 in the Glc N unit. Signals from 2.46 to 1.97 ppm were assigned to the H of methylene attached to N in the N-hydroxypropyl groups, the H of hydroxyl, and the acetyl group in Glc NAc.

In the ^1H NMR spectrum of DAGE-g-HPCS, besides the proton peaks of N,O-HPCS, the peaks of H of the aromatic ring in the dehydroabietyl group appeared at 8.42, 7.79, and 7.35 ppm. Meanwhile, the peaks of H in the groups, such as CH_2 and CH_3 in the dehydroabietyl group, appeared at 1.98 to 1.07 ppm, which further showed that DAGE had been successfully grafted onto N,O-HPCS (Lin *et al.* 2015; Lu *et al.* 2018; Dong *et al.* 2019; Xu *et al.* 2019).

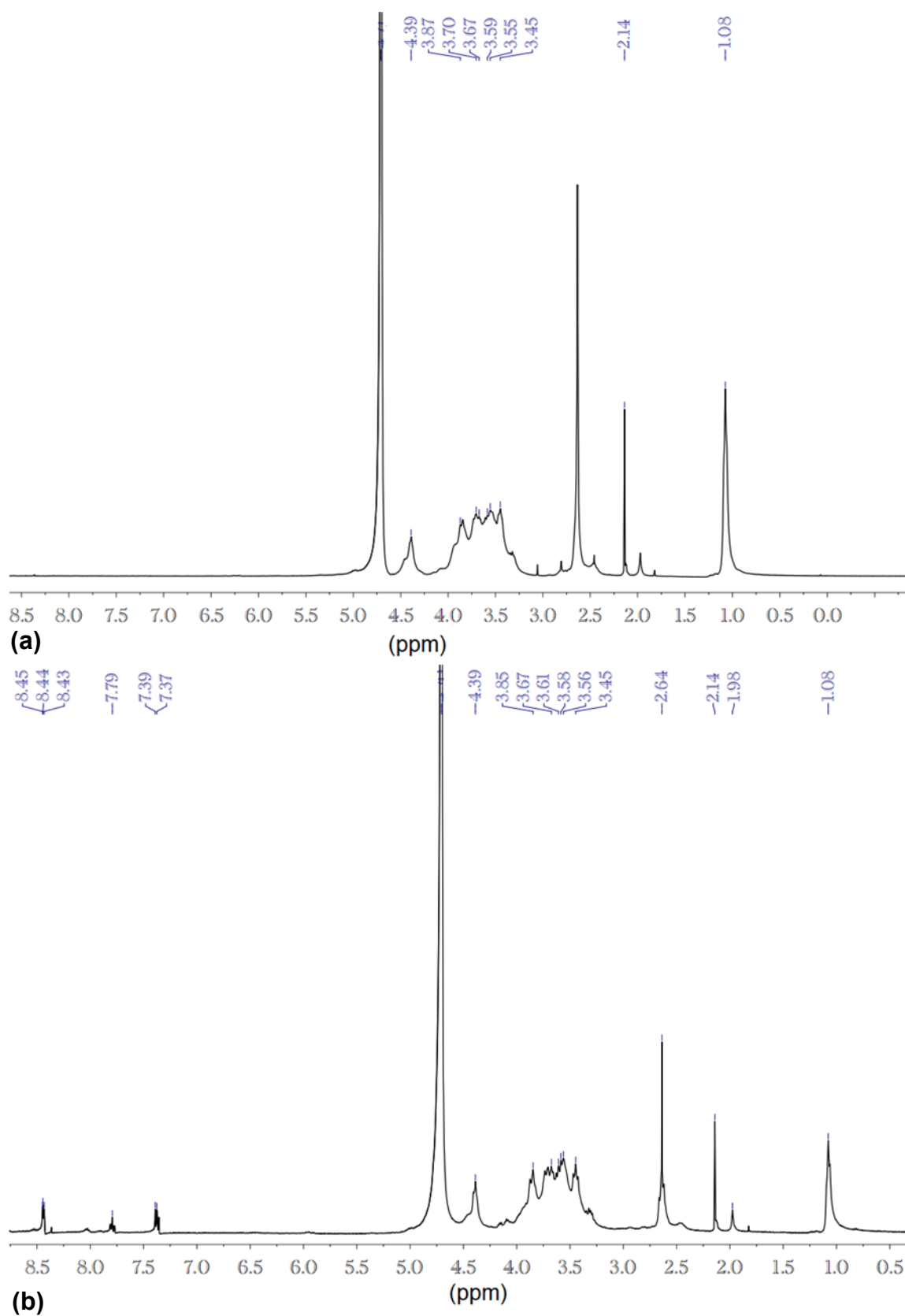


Fig. 3. The ^1H NMR spectrum of HPCS (a); the ^1H NMR spectrum of DAGE-g-HPCS2 (b)

Surface Activity

In Fig. 4, the CMC value of DAGE-g-HPCS decreased with increased DG value, while the γ_{CMC} value of DAGE-g-HPCS did the opposite. The γ_{min} values of DAGE-g-HPCS with different DG values were almost the same. This phenomenon was mainly related to the DG value of DAGE-g-HPCS. A larger DG value resulted in more dehydroabietyl groups that were introduced into HPCS, which enhanced the hydrophobicity of DAGE-g-HPCS. Meanwhile, enhanced hydrophobicity of DAGE-g-HPCS led to the easier migration of DAGE-g-HPCS in aqueous solution, which in turn gave DAGE-g-HPCS a stronger ability to form micelles in aqueous solution even at low concentrations. The γ_{min} value of DAGE-g-HPCS solution was not only related to the DG value but also the rigidity of the dehydroabietyl group and the hydrophilicity of the sugar chain.

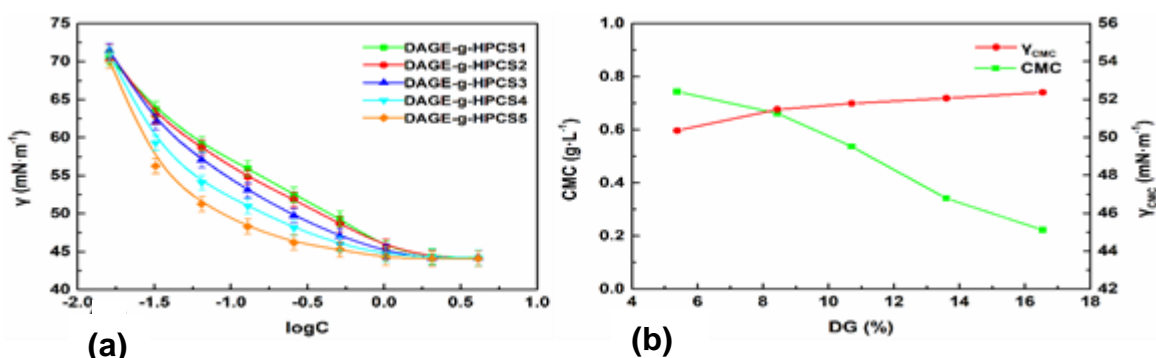


Fig. 4. γ -logC curves of DAGE-g-HPCS with different DG (a); the relationship curves between CMC, γ_{CMC} , and γ_{min} of DAGE-g-HPCS and DG (b)

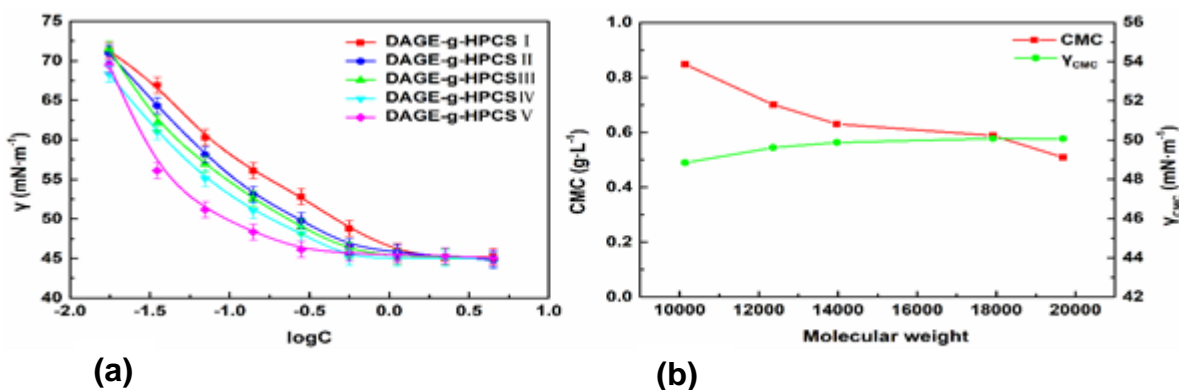


Fig. 5. γ -logC curves of DAGE-g-HPCS with different molecular weight (a); the relationship curves between CMC, γ_{CMC} , and γ_{min} of DAGE-g-HPCS and molecular weight of HPCS (b)

In Fig. 5, it is apparent that the CMC and γ_{CMC} values of DAGE-g-HPCS decreased with the decreasing molecular weight of HPCS, but the γ_{min} values of DAGE-g-HPCS were almost stable in the range of 45.6 ± 0.5 .

The phenomenon that appeared above was mainly related to molecular weight values of DAGE-g-HPCS: in the case of similar DG, the hydrophilicity of DAGE-g-HPCS increased with the increasing molecular weight of HPCS, which mainly was due to the high-molecular weight DAGE-g-HPCS. The large molecules moved slowly by diffusion in aqueous solution, causing the hydrophobic groups to aggregate with each other to form micelles with difficulty. The decrease in molecular weight of HPCS also made the volume and the steric hindrance of HPCS decrease, leading to the increase in reactive sites of HPCS. Then, the increased reactive sites of HPCS made a more reasonable distribution of hydrophobic and hydrophilic groups in the DAGE-g-HPCS structure, which further gave DAGE-g-HPCS a stronger ability to reduce the surface tension of aqueous solution. Simultaneously, the γ_{\min} values of DAGE-g-HPCS were stable due to the low DG (Wang *et al.* 2018).

Emulsifying Ability

As shown in Fig. 6, the emulsifying abilities of the whole series of DAGE-g-HPCS to benzene-water emulsion were much better than those of sucrose stearate, monoglyceride, and AEO-9. A larger DG value resulted in more dehydroabietyl groups that were grafted onto HPCS, causing enhanced surface activity of DAGE-g-HPCS and lower interfacial tension of benzene-water. Meanwhile, the strength of the benzene-water interface adsorption layer was higher because the rigidity of DAGE-g-HPCS structure was enhanced when the DAGE-g-HPCS molecule migrated to the benzene-water interface. All of the above factors were beneficial to improving the stability of the benzene-water emulsion. Meanwhile, with the decreasing molecular weight of HPCS, the emulsion stability time for benzene-water emulsion gradually increased, which was derived from the molecular weight reduction of DAGE-g-HPCS and beneficial for its better movement and arrangement in the benzene-water interface, effectively reducing the interfacial tension of benzene-water (Wang *et al.* 2018).

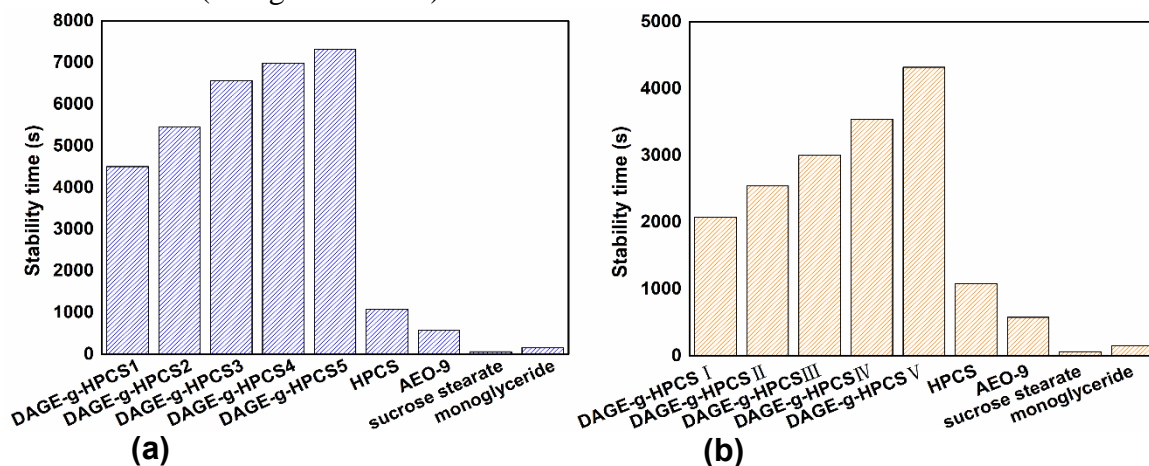


Fig. 6. Emulsifying ability of DAGE-g-HPCS with different DG and other samples to benzene-water emulsion (a); emulsifying ability of DAGE-g-HPCS with different molecular weight and other samples to benzene-water emulsion (b).

Evaluation Results of Foam Stability

The foam stability of a surfactant can be defined as the durability of the foam after the surfactant solution produces it, which is closely related to some factors such as the

nature of the liquid film and the structure of the surfactant. In Fig. 7, the foam retention rate of DAGE-g-HPCS solution gradually increased as DG increased. This phenomenon was mainly attributable to the ternary phenanthrene ring structure of DAGE. When DAGE-g-HPCS molecule was adsorbed on the surface of the bubble, the hydrophobic groups adsorbed in the liquid film increased as DG increased and enhanced the rigidity of liquid film and difficulty in draining, which further enhanced the stability of the bubble. Foam stability of DAGE-g-HPCS increased gradually as the molecular weight of HPCS decreased, which may have derived from the affinity of DAGE-g-HPCS with water that increased with the decreasing molecular weight of HPCS, resulting in lower surface tension of the solution. The surface-active molecules were adsorbed at the inner and outer surfaces of the bubble to form a bilayer film with strong stability when the foam was formed, enhancing the strength of the liquid film. Meanwhile, foam stabilities of the whole series of DAGE-g-HPCS were much better than those of sucrose stearate, monoglyceride, and fatty alcohol polyoxyethylene ether (AEO-9) (Li *et al.* 2003).

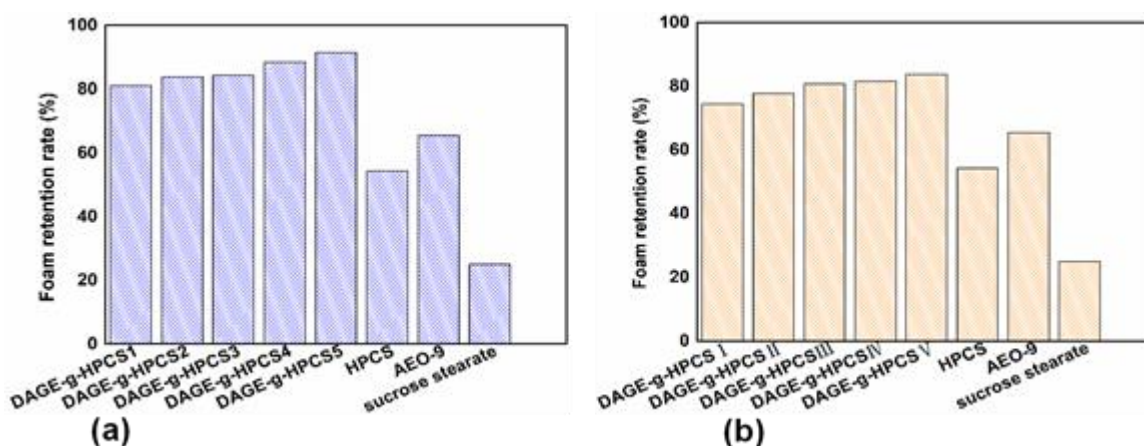


Fig. 7. Foam stability of DAGE-g-HPCS with different DG and other samples (a); the foam stability of DAGE-g-HPCS with different molecular weight and other samples (b)

CONCLUSIONS

1. In an acidic medium, hydroxypropyl chitosan (HPCS) was degraded to low-molecular weight HPCS with better water-solubility by sodium nitrite degradation, and the molecular weights of HPCS were 19700, 17900, 14000, 12400, and 10100 g/mole.
2. Through regulating the ratio of HPCS and dehydroabietyl glycidyl ether (DAGE), a nonionic surfactant DAGE-g-HPCS with different degree of grafting (DG) was obtained. The DG of DAGE-g-HPCS increased with an increasing ratio of DAGE and HPCS, and the highest DG value was 16.5%. The critical micelle concentration (CMC) value of DAGE-g-HPCS aqueous solution was 0.223 g L^{-1} , and the corresponding γ_{CMC} was 52.4 mN m^{-1} . The CMC decreased as DG increased, while the emulsion stability and foam stability did the opposite.
3. Different molecular weights of DAGE-g-HPCS could be obtained by regulating the molecular weight of HPCS. The CMC, emulsion stability, and foam stability of DAGE-g-HPCS increased as its molecular weight decreased.

4. Through comparing the emulsion stability and foam stability of DAGE-g-HPCS with that of HPCS, monoglycerides, sucrose esters, and fatty alcohol polyoxyethylene ether (AEO-9), it was found that the emulsion stability and foam stability of DAGE-g-HPCS were far better than other commonly used nonionic surfactants.

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